

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Leichty, Damon R.	2. Court or Organization United States District Court, Northern District of Indiana	3. Date of Report 05/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address United States District Court 204 South Main Street South Bend, Indiana 46601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor	University of Notre Dame (Law School)
2. Director	Boys and Girls Club of St. Joseph County, Inc.
3. Director	Family & Children's Center, Inc.
4. Director	Family & Children's Center Foundation, Inc.
5. President and Director	Ronald A. Leichty Scholarship Foundation, Inc.
6. Director	Indiana University South Bend Center for Sustainable Future Advisory Board
7. Attorney-in-Fact	POA #1

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2007	Barnes & Thornburg LLP Profit Sharing Plan and Trust (former firm retirement plan)
2. 2020	University of Notre Dame, compensation for teaching fall semester 2020
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Barnes & Thornburg LLP	\$52,155.00
2. 2020	University of Notre Dame (teaching)	\$3,400.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	University of Notre Dame (administrative assistant services)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Teachers Credit Union Account 1	A	Interest	J	T					
2. Teachers Credit Union Account 2	A	Interest	M	T					
3. Teachers Credit Union Account 4	A	Interest	J	T					
4. Teachers Credit Union Account M	A	Interest	J	T					
5. Teachers Credit Union Account W	A	Interest	J	T					
6. Wells Fargo IRA (H)									
7. Franklin Mutual Global Discovery Fund (TEDIX)	A	Dividend	J	T					
8. Calvert Global Water Fund (CFWAX)	A	Dividend	J	T					
9. Templeton Developing Markets Trust Fund (TEDMX)	A	Dividend	J	T					
10. BT 401(k) (H)									
11. Fidelity Low Price Stock Fund (FLPSX)	A	Dividend	M	T					
12. Pimco Total Return Institutional Class Fund (PTTRX)	A	Dividend	M	T					
13. Templeton Institutional Foreign Equity Fund (TFEQX)	B	Dividend	L	T					
14. Vanguard Extended Market Index Fund (VIEIX)	G	Dividend	O	T					
15. Fidelity 500 Index Institution	F	Dividend	O	T					
16. ND 403(b) (H)									
17. Vanguard Extended Market Index Fund (VIEIX)	F	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Vanguard Real Estate Index Fund (VGSNX)	A	Dividend	K	T					
19. Vanguard Total Stock Market IP (VSMPX)	F	Dividend	N	T					
20. CollegeChoice Advisor 529 Plan (H)									
21. College Portfolio Class A (Fund Code 2832)	A	Dividend	J	T					
22. 2022 Enrollment Portfolio Class A (Fund Code 2822)	A	Dividend	J	T					
23. Core Bond Index Portfolio Class A (Fund Code 2800)	A	Dividend	J	T					
24. Pimco Total Return Portfolio Class A (Fund Code 2816)	A	Dividend	J	T					
25. Boston Company Dynamic Large Cap Value Port. Class A (Fund Code 2853)	A	Dividend	J	T					
26. Large Cap Index Portfolio Class A (Fund Code 2802)	A	Dividend	K	T					
27. T Rowe Price Large Cap Growth Portfolio Class A (Fund Code 2808)	D	Dividend	K	T					
28. Diamond Hill Small-Mid Cap Portfolio Class A (Fund Code 2842)	A	Dividend	J	T					
29. International Equity Index Portfolio Class A (Fund Code 2814)	A	Dividend	J	T					
30. Emerging Markets Equity Index Portfolio Class A (Fund Code 2855)	A	Dividend	J	T					
31. Equitable Variable Life Insurance (H)									
32. Guaranteed Interest Account (Unloaned)	A	Interest	J	T					
33. AXA Large Cap Value Managed Fund	A	Dividend	J	T					
34. AXA Moderate Allocation Fund	A	Dividend	J	T					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. EQ/Common Stock Index Fund	A	Dividend	J	T					
36. EQ/Equity 500 Index Fund	A	Dividend	J	T					
37. Multimanager Aggressive Equity Fund	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III, Row 1. Undistributed return of capital.

Part VII, Row 10. There is no profit sharing component to my participation in the BT 401(k), known as the Barnes & Thornburg Profit Sharing Plan and Trust, just investments in mutual funds held in retirement plan.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Damon R. Leichty**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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