

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lee, John Z.	2. Court or Organization U.S. District Court, N.D.Ill.	3. Date of Report 06/14/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☒ Amended Report	
7. Chambers or Office Address 219 South Dearborn Street Room 2152 Chicago, Illinois 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

Lee, John Z.

Date of Report

06/14/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Self-employed physician
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Lee, John Z.	06/14/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

Name of Person Reporting

Lee, John Z.

Date of Report

06/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. LPL Financial IRA #1 (H)									
2. - Am. Beacon High Yield Fund	B	Dividend	K	T	Sold (part)	04/16/20	K	A	
3. - Am. Beacon Sm Cap Value Fund		None			Sold (part)	03/25/20	J	A	
4.					Sold	04/16/20	J	A	
5. - Am. Century Equity Income Fund	B	Dividend			Buy (add'l)	03/25/20	J		
6.					Buy (add'l)	04/16/20	K		
7.					Sold (part)	06/10/20	L	A	
8.					Sold (part)	10/23/20	J	A	
9.					Sold	11/23/20	M	B	
10. - Am. Century Mid Cap Value Fund	A	Dividend			Sold (part)	03/25/20	J	A	
11.					Sold (part)	04/16/20	J	A	
12.					Sold	06/10/20	K	A	
13. - Artisan Mid Cap Fund	D	Dividend	L	T	Sold (part)	03/25/20	J	A	
14.					Sold (part)	04/16/20	J	A	
15.					Sold (part)	06/10/20	J	A	
16. - Artisan International Value Fund	A	Dividend	K	T	Sold (part)	06/10/20	K	A	
17.					Buy (add'l)	11/23/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 16

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Lee, John Z.

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18. - Calamos Mkt Neutral Income Fund	A	Dividend	L	T	Sold (part)	06/10/20	J	A	
19. - Clearbridge Large Cap Growth Fund	A	Dividend	M	T	Buy (add'l)	03/25/20	J		
20.					Buy (add'l)	04/16/20	K		
21.					Sold (part)	06/10/20	K	C	
22.					Buy (add'l)	12/23/20	J		
23. - Clearbridge International Growth Fund	A	Dividend	K	T	Buy	10/23/20	K		
24. - Columbia Dividend Income Mutual Fund	A	Dividend	M	T	Buy	11/23/20	M		
25. - iShares Core S&P 500 ETF	B	Dividend	M	T	Sold (part)	06/10/20	M	A	
26.					Buy (add'l)	10/23/20	L		
27.					Buy (add'l)	11/23/20	K		
28.					Buy (add'l)	12/23/20	K		
29. - JP Morgan Govt Bond Mutual Fund	B	Dividend	L	T	Buy	06/10/20	M		
30.					Sold (part)	10/23/20	K	A	
31.					Sold (part)	11/23/20	K	A	
32.					Sold (part)	12/23/20	K	A	
33. - JP Morgan Prime Money Mkt Acct	A	Interest	J	T					
34. - Janus Venture Fund	A	Dividend	K	T	Sold (part)	03/25/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 16

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35.					Sold (part)	04/16/20	J	A	
36. - Oppenheimer Dev. Mkts Fund	A	Dividend	K	T	Sold (part)	06/10/20	K	C	
37.					Buy (add'l)	12/23/20	K		
38. - Prudential Total Return Bond Fund	C	Dividend	L	T					
39. - Prudential PGIM Core Bond Mutual Fund	B	Dividend	M	T	Buy	04/16/20	M		
40.					Buy (add'l)	06/10/20	M		
41. - SPDR Gold Shares ETF	A	Dividend	K	T	Buy	06/10/20	K		
42. - Vanguard Growth ETF	A	Dividend			Sold	06/10/20	L	E	
43. LPL Financial IRA #2 (H)									
44. - Vanguard Emerging Mkrs Select Stock Mutual Fund	A	Dividend	J	T	Buy	11/24/20	J		
45.					Buy (add'l)	12/23/20	J		
46. - Vanguard Intermed. Term Invest. Grade Bond Fund	A	Dividend	J	T	Buy (add'l)	06/10/20	J		
47.					Sold (part)	10/23/20	J	A	
48.					Sold (part)	11/23/20	J	A	
49.					Sold (part)	12/23/20	J	A	
50.									
51. - Vanguard High Yield Corporate Bond Mut. Fund	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 16

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52. - Vanguard International Value Mut. Fund	A	Dividend	J	T	Sold (part)	02/06/20	J	A	
53.					Sold (part)	05/06/20	J	A	
54.					Sold (part)	06/10/20	J	A	
55.					Sold (part)	08/06/20	J	A	
56.					Buy (add'l)	10/23/20	J		
57.					Sold (part)	11/06/20	J	A	
58. - Vanguard STAR Mut Fund	A	Dividend	J	T	Buy (add'l)	06/10/20	J		
59. - Vanguard Total Stock Market Mut. Fund	A	Dividend	J	T	Sold (part)	06/10/20	J	B	
60.					Buy (add'l)	10/23/20	J		
61.					Buy (add'l)	11/23/20	J		
62.					Buy (add'l)	12/23/20	J		
63. - Goldman Sachs Bank USA Cash Acct	A	Dividend	J	T					
64. Trust # 1 (H)									
65. - T. Rowe Price Ret. 2035 Mut. Fund	D	Dividend	N	T	Sold (part)	06/10/20	M	E	
66.					Sold (part)	07/02/20	M	E	
67.					Buy (add'l)	12/17/20	L		
68.					Buy (add'l)	12/21/20	K		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 16

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Lee, John Z.

Date of Report

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69. - T. Rowe Price Stable Value Fund	A	Dividend	N	T	Buy	06/16/20	M		
70.					Buy (add'l)	07/02/20	M		
71.					Sold (part)	12/17/20	L	A	
72. LPL Financial IRA #3 (H)									
73. - Am. Beacon Sm Cap Value Fund		None			Sold	04/16/20	J	A	
74. - Am. Century Mid Cap Value Fund	A	Dividend			Sold (part)	04/16/20	J	A	
75.					Sold	06/10/20	K	A	
76. - Am. Century Equity Income Mut Fund	B	Dividend			Buy (add'l)	04/16/20	J		
77.					Sold (part)	06/10/20	K	A	
78.					Sold (part)	10/23/20	J	A	
79.					Sold	11/23/20	L	A	
80. - Artisan International Value Mutual Fund		None	K	T	Buy	11/23/20	J		
81.					Buy (add'l)	12/23/20	J		
82. - Calamos Mkt Neutral Income Fund	A	Dividend	K	T	Sold (part)	06/10/20	K	A	
83. - Clearbridge International Growth Fund	A	Dividend	K	T	Sold (part)	04/16/20	J	A	
84.					Sold (part)	06/10/20	J	B	
85.					Buy (add'l)	10/23/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 9 of 16

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86. - Clearbridge Large Cap Growth	A	Dividend	L	T	Buy (add'l)	04/16/20	J		
87.					Sold (part)	06/10/20	J	A	
88. - Clearbridge Small Cap Fund	A	Dividend			Sold	10/16/20	J	A	
89. - Columbia Dividend Income Mutual Fund	A	Dividend	L	T	Buy	11/23/20	L		
90. - iShares Core S&P 500 ETF	B	Dividend	M	T	Sold (part)	06/10/20	L	E	
91.					Buy (add'l)	10/23/20	K		
92.					Buy (add'l)	11/23/20	K		
93.					Buy (add'l)	12/23/20	K		
94. - Janus Enterprise Fund	A	Dividend	K	T	Sold (part)	04/16/20	J	A	
95.					Sold (part)	06/10/20	J	B	
96. - JP Morgan Govt Bond Mutual Fund	A	Dividend	K	T	Buy	06/10/20	L		
97.					Sold (part)	10/23/20	K	A	
98.					Sold (part)	11/23/20	K	A	
99.					Sold (part)	12/23/20	K	A	
100. - Oppenheimer Developing Mkt Fund	A	Dividend	J	T	Sold (part)	04/16/20	J	A	
101.					Sold (part)	06/10/20	J	B	
102. - Invesco Oppenheimer Main Street Small Cap Mutual Fund	A	Dividend	K	T	Buy	10/16/20	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 16

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103. - PGIM Core Bond Mutual Fund	A	Dividend	L	T	Buy	06/10/20	L		
104. - PGIM Total Return Bond Fund	B	Dividend	L	T					
105. - PGIM Short Duration High Yield Fund	B	Dividend	K	T	Sold (part)	06/10/20	K	A	
106. - SPDR Gold Trust Gold ETF		None	K	T	Buy	06/10/20	K		
107. - Vanguard Growth Fund	A	Dividend			Sold	06/10/20	K	E	
108. - Morgan Stanley Private Bank Money Market Fund	A	Dividend	J	T					
109. Artisan International Value Mutual Fund	A	Dividend	J	T	Buy	11/23/20	J		
110. Calamos Market Neutral Income Mutual Fund	A	Dividend	K	T	Buy	06/10/20	K		
111. Clearbridge International Growth Fund	A	Dividend	J	T	Buy	10/23/20	J		
112. DWS Managed Municipal Bond Mutual Fund	A	Dividend			Sold	06/10/20	K	A	
113. DWS Intermediate Tax-Free Mut. Fund	A	Dividend	K	T					
114. Janus Enterprise Mut. Fund		None			Sold	07/23/20	J	B	
115. Janus Venture Mut. Fund		None			Sold	07/23/20	J	B	
116. Oakmark Fund Mutual Fund		None			Sold	03/11/20	K	A	
117. Goldman Sachs Bank USA Cash Acct	A	Interest	J	T					
118. JP Morgan Government Bond Mutual Fund	A	Dividend	L	T	Buy	06/10/20	L		
119.					Buy (add'l)	07/23/20	K		

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

Lee, John Z.

Date of Report

06/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	10/23/20	J	A	
121.					Sold (part)	11/23/20	J	A	
122.					Sold (part)	12/23/20	J	A	
123. Loomis Sayles Growth Mut. Fund	B	Dividend	K	T	Buy (add'l)	03/16/20	J		
124. Oppenheimer Dev. Markets Mut. Fund	A	Dividend	J	T	Buy (add'l)	03/16/20	J		
125.					Sold (part)	06/10/20	J	A	
126.					Buy (add'l)	12/23/20	J		
127. Prudential PGIM Core Bond Mutual Fund	B	Dividend	K	T	Buy	06/10/20	K		
128. Vanguard FTSE Dev. Mkts Fund	A	Dividend	J	T					
129. Vanguard Growth ETF	A	Dividend			Buy (add'l)	04/15/20	J		
130.					Sold	06/10/20	K	C	
131. Vanguard Total Stock Market ETF	A	Dividend	K	T	Buy	10/23/20	J		
132.					Buy (add'l)	11/23/20	J		
133.					Buy (add'l)	12/23/20	K		
134. WisdomTree US Large Cap Dividend ETF	A	Dividend	K	T	Buy	03/11/20	K		
135.					Buy (add'l)	04/15/20	K		
136. WisdomTree US Mid Cap Dividend Fund	A	Dividend			Sold	06/10/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

Lee, John Z.

Date of Report

06/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. iShares Core S&P 500 ETF	A	Dividend			Buy (add'l)	03/11/20	K		
138.					Buy (add'l)	03/13/20	J		
139.					Sold (part)	06/10/20	K	C	
140.					Sold	07/23/20	K	C	
141. iShares Russell 1000 Value ETF		None			Sold	03/11/20	K	A	
142. Bright Directions 529 Savings #1									
143. - Bright Directions College Savings 17-18 Portfolio		None			Buy (add'l)	01/21/20	L		
144.					Buy (add'l)	02/20/20	J		
145.					Sold	05/18/20	M	E	
146. - Bright Directions 529 College Savings Invesco Govt & Ag Portfolio	A	Dividend	M	T	Buy	05/18/20	M		
147.					Buy (add'l)	08/18/20	K		
148.					Sold (part)	09/04/20	K	A	
149.					Buy (add'l)	09/17/20	J		
150.					Buy (add'l)	10/19/20	J		
151.					Sold (part)	10/23/20	K	A	
152.					Buy (add'l)	11/17/20	J		
153.					Buy (add'l)	12/17/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

Lee, John Z.

Date of Report

06/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Sold (part)	12/18/20	K	A	
155. - Bright Dictions 529 College Savings Northern Funds Index Portfolio		None	K	T	Buy	10/23/20	K		
156.					Sold (part)	12/18/20	J	A	
157. Bright Directions 529 Savings #2									
158. - Bright Directions College Savings Baird Short-Term Bond Fund		None	K	T	Buy (add'l)	01/17/20	L		
159.					Buy (add'l)	02/18/20	J		
160.					Buy (add'l)	07/15/20	J		
161. - Bright Directions College Savings T Rowe Price Balanced Portfolio		None			Buy (add'l)	01/17/20	J		
162.					Buy (add'l)	02/18/20	J		
163.					Sold	07/15/20	L	D	
164. - Bright Directions 529 College Savings Fidelity US Bond Index		None	K	T	Buy	01/17/20	L		
165.					Buy (add'l)	02/18/20	J		
166.					Sold (part)	07/15/20	J	A	
167. - Bright Directions 529 College Savings Invesco Govt & Ag. Portfolio	A	Dividend	L	T	Buy	07/15/20	L		
168. Apple (AAPL)		None	K	T	Buy	12/31/20	K		
169. Amazon (AMZN)		None	K	T					
170. Johnson & Johnson (JNJ)	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Revolution Lighting (RVLTL)		None	J	T					
172. Schwab One Interest Account	A	Interest	J	T					
173. JP Morgan Chase Bank Accounts	A	Interest	M	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting	Date of Report
Lee, John Z.	06/14/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Lee, John Z.

Date of Report

06/14/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John Z. Lee**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544