

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) UNGARO, URSULA	2. Court or Organization UNITED STATES DISTRICT COURT	3. Date of Report 06/07/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT COURT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 1/1/2016 to 12/31/2016
7. Chambers or Office Address 400 N. MIAMI AVENUE ROOM 12-4 MIAMI, FLORIDA 33128		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Miami Federal Credit Union Cash Account (X)	A	Interest	J	T					
2. MetLife Vintage 3 Annuity (H)									
3. --American Funds Growth Fund		None	K	T					
4. --ClearBridge Variable Aggressive Growth Portfolio		None	K	T					
5. --ClearBridge Variable Div Strategy Portfolio		None	K	T					
6. --Harris Oakmark Intl Portfolio		None	J	T					
7. --JP Morgan Small Cap Value Portfolio		None	J	T					
8. --Met/Aberdeen Emerging Markets Equity (Class B)		None	J	T					
9. --MFS Value Portfolio(Class A)		None	K	T					
10. --NeubergerBerman Genesis Portfolio		None	J	T					
11. --Templeton Foreign VIP Fund		None	J	T					
12. --Western Asset Mgmt Strategic Bond Opp.		None	K	T					
13. Sterne Agee Insured/Deposit Program	A	Interest	J	T					
14. Jea Fla Elec Sys Rev Bond	A	Interest	K	T					
15. Regional Transn Dist Co Ref Fastracks Proj Bond	A	Interest	J	T					
16. Texas St Bond	A	Interest	J	T					
17. Pa.StHigher Ed Auth Rev Bond	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Edgewood Tex Indpt Sch Dist Be Bond	A	Interest	K	T					
19. Harris Cnty Tex Bond	A	Interest			Sold	01/25/16	J		
20. Ivy Tech Cmnty College Ind Bond	A	Interest	K	T					
21. King Cnty Wash Sch Dist No 409 Bond	A	Interest	K	T					
22. King Cnty Was Swr Rev Bond	A	Interest	K	T					
23. Mesa Cnty Colo Vy Sch Dist No Bond	A	Interest	K	T					
24. New York St Dorm Auth Sales Ta Bond	A	Interest	K	T					
25. Oregon St For Issues Drt Prior Bond	A	Interest	K	T					
26. Snohomish Cnty Wash Pub Util D Bond	A	Interest	K	T					
27. West Virginia St Wtr Dev Auth Bond	A	Interest	K	T					
28. Wisconsin St Health & Edl Bond	A	Interest	J	T					
29. New York St Dorm Auth St Bond	A	Interest	J	T					
30. Phoenix Ariz Civic Impt Corp Bond	A	Interest	K	T					
31. DFA US Core Equity 1 Fund	B	Dividend	L	T	Buy (add'l)	02/05/16	J		
32. DFA US Large Company Fund	C	Dividend	L	T	Buy (add'l)	02/05/16	J		
33. DFA US Small Cap Fund	B	Dividend	L	T	Buy (add'l)	02/05/16	J		
34. DFA International Small Company Fund	C	Dividend	L	T	Buy (add'l)	02/05/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. DFA Emerging Markets Small Cap Fund	B	Dividend	K	T	Buy (add'l)	02/05/16	J		
36. DFA Emerging Markets Small Cap Fund	B	Dividend	K	T	Sold (part)	10/12/16	J	A	
37. TCW Emerging Markets Income Fund	A	Int./Div.			Sold	02/04/16	K	A	
38. Nuveen High Yield Municipal Bond Fund	A	Int./Div.			Sold	02/04/16	K	C	
39. Templeton Global Bond Fund	A	Int./Div.			Sold	02/04/16	L	A	
40. DFA 5 Year Global Fixed Income Fund	C	Int./Div.	M	T	Buy	02/05/16	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Although not required, I am reporting the following: I own a 1.1% limited partnership interest in Mt. Blue Ltd. Partners which owns a 2.73% interest in another entity, the Shopping Center Partnership. I did not report this interest until 2010r because, during my entire tenure, it has never had a value of \$1,000 or more.

In other words, there has not been a secondary market for my interest at any time during my service as a District Judge. However, it carries the potential for a tax liability (the amount of which and timing I cannot predict). Although I am not obligated to report this interest as an asset, I am continuing to report it in an abundance of caution because under the current economic conditions, I am concerned that some tax liability could arise in connection with the interest. It should be noted, however, that I have no information from the general partner and no information regarding the partnership from any other source that suggests any current tax liability exposure; I am relying simply on my knowledge of current economic conditions, my understanding of the tax liabilities that can be associated with limited partnership interests generally and my knowledge of the history of these entities.

On January 4, 2010, My Allianz Franklin Valuemark IV deferred variable annuity contract, originally purchased in 2003, was renamed MetLife Vintage 3 due to a business acquisition by MetLife. I am reporting this as a disposition of the Franklin Valuemark IV annuity and acquisition of the MetLife Vintage 3 annuity as of 1/1/2013 even though this was only a name change of the product and sponsoring insurance company.

Breakdown of income per fund in the annuity is not available.

Sterne Agee Insured/Deposit Program was formerly reported as Muni Cash Trust, but is the same money market account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ URSULA UNGARO**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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