

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LEITMAN, MATTHEW F.	2. Court or Organization U.S. DISTRICT COURT, EASTERN DISTRICT OF MICHIGAN	3. Date of Report 4/30/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 600 Church Street, Room 125 Flint, Michigan 48502		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

LEITMAN, MATTHEW F.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	
2. 2020	State of Michigan
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
LEITMAN, MATTHEW F.	4/30/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IRA Account No. 1 (H)									
2. -Bond Fund of America Class F2 American Funds NL [ABNFX]	A	Dividend	L	T	Buy	05/01/20	L		
3.					Buy (add'l)	06/09/20	J		
4.					Buy (add'l)	10/27/20	K		
5. -Calamos Market Neutral Income Fund Class I [CMNIX]	A	Dividend	K	T					
6. -Champlain Small Company Fund Institutional Class N/L [CIPNX]	C	Dividend	L	T					
7. -Columbia Dividend Income Fund Inst CL N/L [GSFTX]	B	Dividend	L	T					
8. -Doubleline Total Return Bond Fund Class I N/L [DBLTX]	B	Dividend	K	T	Buy (add'l)	10/27/20	J		
9. -Doubleline Shiller Enhanced Cape Fund Class I [DSEEX]	A	Dividend	K	T					
10. -First Eagle Gold Fund Class I N/L [FEGIX]	A	Dividend	K	T	Buy	05/01/20	K		
11. -Goldman Sachs Emerging Markets Equity Insights Fund Inv Cl [GIRPX]	A	Dividend	K	T					
12. -Grandeur Peak Intl Stalwarts Fund Inst Class [GISYX]	A	Dividend	K	T	Sold (part)	10/27/20	K		See note in Section VII
13. -iShares TR Core US Aggregate Bond ETF [AGG]	A	Dividend			Sold (part)	03/06/20	L		
14.					Sold	05/01/20	L		
15. -iShares TR Core MSCI EAFE [IEFA]	B	Dividend	L	T	Sold (part)	10/27/20	J		
16. -iShares TR USA Quality Factor [QUAL]	A	Dividend	K	T					
17. -MFS International Diversification Fund Class I N [MDIUX]	A	Dividend	K	T	Buy	09/22/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -MFS Emerging Markets Debt Fund Class I [MEDIX]	B	Dividend	K	T					
19. -Oakmark International Fund Investor Class [OAKIX]		None			Sold	09/21/20	J		
20. -Principal Global Diversified Income Fund Inst Class [PGDIX]	A	Dividend			Sold	08/25/20	K		
21. -T. Rowe Price Real Assets Fund Investor Class N/L [PRAFX]	A	Dividend	J	T	Sold (part)	05/01/20	K		
22. -Vanguard Short Term Investment Grade FD Admiral Shares [VFSUX]	B	Dividend	M	T	Buy	03/06/20	L		
23.					Buy (add'l)	08/25/20	K		
24.					Buy (add'l)	10/27/20	K		
25. -Vanguard Small Cap Value ETF [VBR]	B	Dividend	L	T	Sold (part)	10/27/20	K		
26. -Vanguard Index Funds S&P 500 ETF SHS NEW [VOO]	B	Dividend	L	T					
27. Raymond James Deposit Accounts	A	Interest	K	T					
28. Chase Bank Cash-Equivalent Accounts	A	Interest	J	T					
29. New York Whole Life Insurance Policy	A	Interest	K	T					
30. IRA Account No. 2 (H)									
31. -Doubleline Total Return Bond Fund Class I [DBLTX]	A	Dividend	K	T					
32. -Grandeur Peak Intl Stalwarts Fund Inst Class [GISYX]	A	Dividend	J	T					See note in Section VIII
33. MFS International Diversification Fund Class I N [MDIIX]	A	Dividend	K	T	Buy	09/22/20	K		
34. -Oakmark International Fund Investor Class [OAKIX]		None			Sold	09/21/20	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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35. -T. Rowe Price Real Assets Fund Investor Class N/L [PRAFX]	A	Dividend	J	T					
36. IRA Account No. 3 (H)									
37. -American Funds Growth Fund of America Class F2 [GFFFX]	A	Dividend	K	T					
38. -Davis New York Venture Fund Class Y [DNVYX]	A	Dividend	J	T					
39. -Deutsche Managed Municipal Bond Fund Inst Class [SMLIX]	A	Dividend			Sold	11/12/20	K		
40. -Grandeur Peak INTL Stalwarts Inst Class CLS [GISYX]	A	Dividend	K	T					See note in Section VIII
41. -Vanguard Long Term Tax Exempt Fund Admiral Shares N/L [VWLUX]	A	Dividend	K	T	Buy	11/12/20	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII -Line 12: Grandeur Peak Intl Stalwarts Fund Investor Class [GISOX] transferred to Grandeur Peak Intl Stalwarts Fund Inst Class [GISYX] due to a direct volume cross exchange.

Section VII -Line 32: Grandeur Peak Intl Stalwarts Fund Investor Class [GISOX] transferred to Grandeur Peak Intl Stalwarts Fund Inst Class [GISYX] due to a direct volume cross exchange.

Section VII -Line 40: Grandeur Peak Intl Stalwarts Fund Investor Class [GISOX] transferred to Grandeur Peak Intl Stalwarts Fund Inst Class [GISYX] due to a direct volume cross exchange.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ MATTHEW F. LEITMAN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544