

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ludington, Thomas L.	2. Court or Organization U.S. District Court MI (Eastern)	3. Date of Report 05/14/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address U.S. Courthouse 1000 Washington Ave Bay City, MI 48708		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Secretary	R. M. Gerstacker Foundation
2. Trustee	Albion College
3. President & Director	Ludington Family Foundation
4. Advisory Trustee	☐ Ludington Beneficial Trust
5. Advisory Trustee	☐ Ludington Beneficial Trust

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Michigan Judges Retirement Services	\$38,807.00
2. 2020	Michigan County Employees Pension Fund	\$6,296.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. TCF Bank checking & savings	A	Interest	K	T					
2. Dow Chemical Employees Credit Union	A	Interest	M	T					
3. Merrill Lynch Money Market	A	Interest	L	T					
4. -Amgen stock	A	Dividend	K	T					
5. -Laboratory CP American Hldg		None	J	T	Sold (part)	01/02/20	J	B	
6. -Blackrock Global Stock Fund	A	Dividend	M	T					
7.	D	Distribution	M	T					
8. -IBM stock	B	Dividend	K	T					
9. -Quest Diagnostics stock	A	Dividend	J	T	Sold (part)	01/02/20	J		
10. Interest Income Fund 401K	D	Int./Div.	N	T					
11. MKS Convertible Fund See 401K		None	L	T					
12. Am Cent US Real Estate 401K		None	K	T					
13. Fidelity Contrafund Pool Cl 2 401K		None	M	T					
14. TRP Is Mid Cap 401K	D	Int./Div.	M	T					
15. Vanguard Dev Mkt IndexIP 401K	B	Int./Div.	L	T					
16. MTL Insurance Co (ordinary) Life Policy		None	K	T					
17. Trust Mark (ordinary) Life Policy		None	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. Indianapolis (ordinary) Life Policy		None	K	T					
19. Fidelity Roth IRA #1 (H)									
20. -Fidelity Gov't Cash Reserves	A	Interest	J	T	Distributed (part)	10/15/20	J		
21. -DFA Emerging Markets Core Equities Fund	A	Dividend	K	T	Buy (add'l)	03/30/20	J		
22. -Janus Henderson Global Income Fund	A	Dividend			Sold	03/23/20	K		
23. -Matthews Emerging Asia Fund	A	Dividend	K	T					
24. -PrimeCap Odyssey Growth Fund	A	Dividend	K	T	Buy (add'l)	03/30/20	J		
25.	C	Distribution	K	T					
26. -DFA International Value Fund		None			Buy (add'l)	01/10/20	J		
27.		None			Sold	03/30/20	K		
28. -American Funds New Perspective Fund	A	Dividend	M	T	Buy (add'l)	03/30/20	K		
29.	D	Distribution	M	T					
30. -Schwab Total Stock Market Fund	A	Dividend	K	T	Buy	03/23/20	K		
31. -JP Morgan Europe Dynamic Fund	A	Dividend	J	T	Buy	03/30/20	J		
32. -DFA U.S. Large Cap Growth Port Fund	B	Dividend	L	T	Buy (add'l)	03/30/20	L		
33. Fidelity IRA #1 Rollover (H)									
34. -Fidelity Gov't Cash Reserves	A	Interest	K	T	Distributed (part)	04/03/20	L		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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35. -AQR Global Equity Fund	B	Dividend	M	T	Sold (part)	01/10/20	J	A	
36.		None	M	T	Buy (add'l)	03/30/20	K		
37. -Blackrock Strategic Income Fund	C	Dividend	L	T	Buy (add'l)	01/10/20	J		
38.	A	Distribution	L	T	Sold (part)	03/30/20	K		
39.		None	L	T	Buy (add'l)	06/24/20	J		
40. -Carillon Reams Unconstrained Bond Fund	C	Dividend	L	T	Buy (add'l)	01/10/20	J		
41.		None	L	T	Sold (part)	03/30/20	K		
42.		None	L	T	Buy (add'l)	07/16/20	J		
43. -DFA Intl Small Cap Value Fund	A	Dividend	K	T	Buy (add'l)	03/30/20	J		
44. -DFA International Value Fund		None			Sold (part)	01/10/20	K	A	
45.		None			Sold	03/30/20	L		
46. -Schwab Total Stock Market Fund	A	Dividend	K	T	Buy	03/30/20	K		
47. -DFA US Large Cap Value Fund	B	Dividend	L	T	Buy (add'l)	03/30/20	K		
48. -Doubleline Total Return Bond Fund	C	Dividend	M	T	Buy (add'l)	01/10/20	J		
49.		None	M	T	Sold (part)	03/30/20	L		
50.		None	M	T	Buy (add'l)	04/08/20	J		
51. -Johcm International Select Fund	A	Dividend	K	T	Buy (add'l)	03/30/20	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52.	A	Distribution	K	T					
53. -JPMorgan Global Bond Opportunities	B	Dividend	K	T	Buy (add'l)	01/10/20	J		
54.		None	K	T	Sold (part)	03/30/20	K		
55.		None	K	T	Buy (add'l)	10/27/20	J		
56. -PIMCO Investment Grade Credit Bond Fund	C	Dividend	M	T	Buy (add'l)	01/10/20	J		
57.	A	Distribution	M	T	Sold (part)	03/30/20	K		
58.		None	M	T	Buy (add'l)	07/10/20	J		
59.		None	M	T	Buy (add'l)	11/03/20	K		
60. -PIMCO RAE Plus Institutional Intl Fund	D	Dividend	M	T	Buy	03/30/20	L		
61. -PIMCO RAE Plus Small Fund	C	Dividend	K	T	Buy	03/30/20	J		
62.	B	Distribution	K	T					
63. -Lehman Bond Escrow 01/18/12	A	Dividend	K	T					
64. -Griffith, IN Bond 7/5/2020	A	Interest			Matured	07/05/20	J		
65. -New York, NY Bond 11/1/2020	A	Interest			Matured	11/02/20	K		
66. -Jackson, MS Bond 05/1/21	A	Interest	K	T					
67. -Pembroke Pines, FL Bond 10/1/22	A	Interest	J	T					
68. -HSBC Bond 4/5/21	A	Interest	J	T					

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69. -Kane McHenry, IL Bond 12/1/21		None	J	T					
70. -Los Angeles, Co CA Bond 09/1/21	A	Interest	J	T					
71. -Michigan Hsg Auth Bond 10/1/21	A	Interest	K	T					
72. -MTA of NY Bond 11/15/21	A	Interest	J	T					
73. -Pennsylvania St Bond 7/15/21, callable 7/15/20	A	Interest			Redeemed	07/15/20	J		
74. -Georgia St Bond 01/01/21	A	Interest	J	T					
75. -Iowa Student, IA Bond 12/1/22	A	Interest	K	T					
76. MI Ed Savgs Plan Moderate Age-Based 18 FBO #2No Control		None	J	T					
77. TCF Bank Savings (FBO#2)		None	J	T					
78. TCF Bank Roth IRA (FBO #2) (H) X									
79. -Private Bank Advantage Sweep Money Market (FBO #2)	A	Interest	J	T					
80. -Wasatch Intl Growth Fund (FBO #2)	A	Distribution	J	T					
81. TCF Bank Trust (H) (FBO #2)									
82. -Private Bank Advantage Money Market	A	Interest	K	T					
83. -Ross Stores Inc (FBO#2)	A	Dividend	J	T					
84. -T Price Media & Tele Fund (FBO#2)	B	Distribution	K	T					
85. -Invesco QQQ ETF (FBO #2)	A	Dividend	J	T					

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86. -Wasatch Intl Growth Fund (FBO #2)	A	Distribution	J	T					
87. -Apple Inc (FBO #2)	A	Dividend	K	T					
88. -Vanguard S&P 500 ETF (FBO #2)	A	Dividend	K	T	Buy (add'l)	03/09/20	J		
89. -Invesco Ppty & Cas Ins ETF (FBO #2)	A	Dividend	J	T					
90. -Invesco Sm Cap Health ETF (FBO #2)		None	J	T					
91. -Sherwin Williams Co stock (FBO #2)	A	Dividend	J	T					
92. -SPDR Utilities ETF (FBO #2)	A	Dividend	J	T					
93. -FMI International (FBO #2)		None			Sold	03/04/20	J	A	
94. -Taiwan Semiconductor (FBO #2)	A	Dividend	K	T					
95. -FedEx Corp (FBO #2)	A	Dividend	J	T					
96. -Carillon Eagle Mid Cap Growth Fund (FBO #2)	A	Distribution	K	T					
97. -Fidelity Select Port Fund (FBO #2)	A	Distribution	J	T					
98.	A	Dividend	J	T					
99. -iShares Core S&P Small Cap ETF (FBO #2)	A	Dividend	J	T					
100. -Vanguard Consumer Dis ETF (FBO #2)	A	Dividend	J	T					
101. -Chevron Corp stock (FBO #2)	A	Dividend	J	T					
102. -CSX stock (FBO #2)	A	Dividend	J	T					

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(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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103. -Enterprise Products Partners stock (FBO #2)	A	Dividend	J	T					
104. -Raytheon Technologies Corp stock (FBO #2)	A	Distribution	J	T					
105. -Carrier Global Corp stock (FBO #2)	A	Distribution	J	T	Spinoff (from line 104)	04/03/20	J		
106. -Otis Worldwide Corp stock (FBO #2)	A	Dividend	J	T	Spinoff (from line 104)	04/03/20	J		
107. -BlackRock Health Science Opportunities Fund (FBO #2)	A	Dividend	J	T					
108.	A	Distribution	J	T					
109. -T Rowe Price Health Sciences Fund (FBO #2)	B	Distribution	J	T	Buy (add'l)	03/04/20	J		
110. -Vanguard Info Technology Index Fund (FBO #2)	A	Dividend	J	T					
111. -Vanguard Small Cap Index Fund (FBO #2)	A	Dividend	J	T					
112. -Invesco Oppenheimer Intl Small Mid Co Fund (FBO #2)	A	Distribution	J	T					
113. -Tweedy Brown Global Value Fund (FBO #2)	A	Dividend	J	T					
114. -Vanguard International Growth Fund (FBO #2)	A	Dividend	J	T	Buy (add'l)	03/10/20	J		
115.	A	Distribution	J	T					
116. -iShares DJ US Industrials Sec ETF (FBO #2)	A	Dividend	J	T					
117. -SPDR S&P Dividend ETF (FBO #2)	A	Dividend	J	T					
118. -Vanguard Consumer Staples (FBO #2)	A	Dividend	J	T					
119. -Vanguard Financials ETF (FBO #2)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Ludington, Thomas L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. -Vanguard Utilities ETF (FBO #2)	A	Dividend	J	T					
121. -Technology Select SPDR ETF (FBO #2)	A	Dividend	K	T	Buy	03/04/20	J		
122. -Vanguard Dev Market Index Fund (FBO #2)	A	Dividend	J	T	Buy	03/04/20	J		
123. -Memphis, TN Bond 11/1/21 (FBO #2)	A	Interest	J	T					
124. -Dallas, TX Bond 2/15/20 (FBO #2)	A	Interest			Matured	02/15/20	J		
125. -Washington, PA Bond 9/1/21 (FBO #2)	A	Interest	K	T					
126. -Atlanta, GABond 01/01/22 (FBO #2)	A	Interest	J	T					
127. -Colorado HSG Bond 05/01/23 (FBO #2)	A	Interest	J	T					
128. -Maine Muni Bond 09/01/21 (FBO #2)	A	Interest	J	T					
129. -Oakland, CA Bond 12/15/22 (FBO #2)		None	J	T					
130. -Missouri St Bond 10/01/20 (FBO #2)	A	Interest			Matured	10/01/20	J		
131. -S Carolina St Bond 12/01/22 (FBO #2)	A	Interest	J	T					
132. -Elkhart IN Comty Schools Bond 7/20/23 (FBO #2)	A	Interest	J	T					
133. TCF Bank Thomas Ludington Cust AGY (H)		None							
134. -Private Bank Advantage Money Market	A	Interest	L	T	Distributed (part)	01/21/20	J		
135.		None	L	T	Distributed (part)	01/30/20	J		
136.		None	L	T	Distributed (part)	07/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Ludington, Thomas L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.		None	L	T	Distributed (part)	07/24/20	L		
138.		None	L	T	Distributed (part)	09/15/20	K		
139.		None	L	T	Distributed (part)	09/18/20	K		
140. -Apple Inc	B	Dividend	M	T	Sold (part)	06/25/20	K	E	
141. -Bristol-Myers Squibb Co	A	Dividend	K	T					
142. -Conocophillips	A	Dividend	J	T					
143. -Exxon Mobil Corp	A	Dividend			Sold (part)	04/13/20	J		
144.		None			Sold	06/25/20	J		
145. -Intel Corp	A	Dividend	K	T					
146. -Lowes	A	Dividend	J	T					
147. -Boeing Co	A	Dividend			Sold	06/25/20	K	C	
148. -Walgreens Boots Alliance	A	Dividend			Sold	06/25/20	J	B	
149. -Aflac, Inc	A	Dividend	J	T					
150. -Boston Properties Inc	A	Dividend			Sold	06/25/20	J		
151. -Clorox Co	A	Dividend	K	T					
152. -Invesco QQQ Trust	A	Dividend	M	T					
153. -Johnson & Johnson	A	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Ludington, Thomas L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. -Microsoft Corp	B	Dividend	M	T	Sold (part)	06/25/20	K	E	
155. -Nextera Energy Inc	B	Dividend	L	T					
156. -Pepsi Co	A	Dividend	K	T					
157. -Phillips 66	A	Dividend	J	T					
158. -Prudential Financial	B	Dividend	K	T					
159. -SPDR Gold Shares ETF		None	L	T	Buy (add'l)	09/21/20	K		
160. -Deere & Co	A	Dividend	K	T					
161. -Disney	A	Dividend	K	T					
162. -Fastenal Co Com	A	Dividend	J	T					
163. -Principal Mid Cap Blend Fund	A	Distribution	L	T					
164. -Union Pac Corp	A	Dividend	K	T					
165. -T Rowe Price New Horizons Fund	D	Distribution	L	T					
166. -Vanguard Inst Index Fund	B	Dividend	L	T					
167.	B	Distribution	L	T					
168. -JP Morgan Chase stock	A	Dividend	K	T					
169. -Vanguard Strategic Small Cap Fund		None			Sold	06/25/20	J		
170. -Amazon Inc stock		None	L	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. -McDonalds stock	A	Dividend	J	T					
172. -AbbVie Inc stock	A	Dividend	J	T					
173. -KLA-Tencor Corp stock	A	Dividend	K	T					
174. -Exelon Corp stock	A	Dividend			Sold	06/25/20	J		
175. -Vanguard Financials ETF	A	Dividend	K	T					
176. -Ross Stores, Inc stock	A	Dividend	K	T					
177. -Taiwan Semiconductor stock	A	Dividend	L	T					
178. -Auto Zone stock		None	K	T					
179. -Extra Space Storage stock	A	Dividend	K	T					
180. -Invesco Property & Casualty Ins ETF	A	Dividend			Sold	06/25/20	K		
181. -John Hancock Intl Growth Fund	A	Dividend	L	T					
182. -Vanguard Total Intl Stock Index Fund	A	Dividend	K	T					
183. -iShares DJ US Fin Sec ETF	A	Dividend	K	T					
184. -South Carolina St Public Util Bond 12/1/23	A	Interest	K	T					
185. -Burien, WA Bond 12/1/2020	A	Interest			Matured	12/01/20	J		
186. -Hempstead, NY Bond 7/15/2020	A	Interest			Matured	07/15/20	J		
187. -Oakland, CA Bond 12/15/21		None	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Ludington, Thomas L.

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05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. -San Mateo, CA Bond 8/1/21	A	Interest	J	T					
189. -American Express CD 09/06/22	A	Interest	K	T					
190. -Metro, NY Transit Bond 11/15/22	A	Interest	K	T					
191. -Pembroke Pines, FL Bond 10/1/22	B	Interest	K	T					
192. -Hisperia, CA Bond 2/1/23	A	Interest	J	T					
193. -Silver City, NM Bond 12/1/22	A	Interest	K	T					
194. TCF Bank Katrina Ludington Trust AGY (H)		None							
195. -Private Bank Advantage Money Market	A	Interest	M	T	Distributed (part)	01/08/20	K		
196.		None	M	T	Distributed (part)	02/11/20	J		
197.		None	M	T	Distributed (part)	03/17/20	L		
198.		None	M	T	Distributed (part)	07/27/20	M		
199. -Matthews Pacific Tiger Fund		None			Sold	03/20/20	K	C	
200. -FMI International Fund		None			Sold	03/03/20	K		
201. -Fidelity Select Portfolio Fund	A	Dividend			Sold	06/25/20	K		
202.	B	Distribution							
203. -Delaware Emerging Markets Fund	A	Dividend	J	T					
204.	A	Distribution	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. -Inv Opp Developing Markets Fund	A	Dividend	J	T					
206. -Vanguard Dev Market Index	A	Dividend	K	T					
207. -Vanguard Small Cap Index	A	Dividend	K	T					
208. -Boston Properties stock	A	Dividend			Sold	06/25/20	J		
209. -Emerson Elec Co stock	A	Dividend	J	T					
210. -CSX Corp stock	A	Dividend	J	T					
211. -Travelers Companies stock	A	Dividend	J	T					
212. -V.F. Corp stock	A	Dividend			Sold	11/02/20	J		
213. -Chevron Corp stock	A	Dividend	J	T					
214. -Cisco Systems stock	A	Dividend			Sold	11/02/20	J		
215. -Analog Devices Inc stock	A	Dividend	J	T					
216. -Nike Inc stock	A	Dividend	K	T					
217. -Salesforce.com stock		None	K	T					
218. -Comcast Corp stock	A	Dividend	K	T					
219. -Becton Dickinson stock	A	Dividend	K	T					
220. -Broadcom Inc stock	A	Dividend	K	T					
221. -Abbott Labs stock	A	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. -AT&T Inc stock	A	Dividend	J	T					
223. -Royal Dutch Shell stock	A	Dividend			Sold	06/25/20	J		
224. -Alliant Corp stock	A	Dividend	J	T					
225. -Vanguard Comm Service ETF	A	Dividend	K	T	Buy (add'l)	11/02/20	J		
226. -Stryker Corp stock	A	Dividend	K	T	Buy	02/28/20	J		
227. -American Funds T/E Bond Fund	A	Dividend	K	T	Buy	11/02/20	K		
228. -Lockheed Martin Corp stock	A	Dividend	J	T	Buy	03/12/20	J		
229. -Accenture PLC stock	A	Dividend	J	T	Buy	03/09/20	J		
230. -Autodesk Inc stock		None	K	T	Buy	11/02/20	K		
231. -Xcel Energy Inc stock	A	Dividend	J	T	Buy	03/12/20	J		
232. -Vanguard MidCap Index ETF	A	Dividend	J	T	Buy	03/03/20	J		
233. -Vanguard Intl Growth	A	Dividend	K	T	Buy	03/03/20	K		
234.	A	Distribution	K	T					
235. -Clark Cnty Nev Arpt Bond 7/1/20	B	Interest			Redeemed	01/01/20	K		
236. -Fenton, MI Bond 5/1/2022	A	Interest	K	T					
237. -Fidelity Inter Muni Inc Fund #036	A	Dividend			Sold	11/02/20	K	B	
238.	A	Distribution							

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. -Fidelity MI Muni Inc Fund #081	A	Dividend	K	T					
240. -Illinois ST Agm Bond 1/1/21	B	Interest	K	T					
241. -Manistique,MI Bond 5/1/2020	A	Interest			Matured	05/01/20	K		
242. -Rapid River Mich Public Schools Bond 5/1/2020	A	Interest			Matured	05/01/20	J		
243. -Waterford Mich School Dist Bond 5/1/2022	A	Interest			Redeemed	05/01/20	K	A	
244. -White Cloud Mich Public Schools Bond 5/1/2020	A	Interest			Matured	05/01/20	K		
245. -Houghton-Portage MI Bond 5/1/23	A	Interest	K	T					
246. -Wyoming, MI Bond 5/1/20	A	Interest			Matured	05/01/20	K		
247. -Petosky, MI Bond 4/1/23	A	Interest	K	T					
248. -Lansing, MI Board Water Bond 7/1/20	A	Interest			Matured	07/01/20	K		
249. -Niles, MI Community Schools Bond 5/1/22	A	Interest	K	T					
250. -Grand Blanc, MI Community Bond 11/1/21	A	Interest	K	T					
251. -Southwest, TX Higher Ed Auth Bond 10/1/23	A	Interest	J	T					
252. -Oregon St Bd of Edu Bond 6/30/22		None	J	T					
253. -Lawrence Co, PA Bond 11/15/23	A	Interest	J	T					
254. -Newark, NJ Bond 4/1/2020	A	Interest			Matured	04/01/20	L		
255. -Salmon River, NY Bond 6/15/20	A	Interest			Matured	06/15/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256. -Florida St Bond 7/1/21	A	Interest	J	T					
257. -Jackson, MS Bond 5/1/21	A	Interest	J	T					
258. -Los Angeles, CA Bond 11/1/21	A	Interest	J	T					
259. -Oneida Co, NY Bond 08/1/22	A	Interest	J	T					
260. -Passaic Co NJ Bond 4/1/21	A	Interest	J	T					
261. -Pembroke Pines, FL Bond 10/1/22	B	Interest	K	T					
262. TCF Bank Savings	A	Interest	L	T					
263. Fidelity Bene IRA (H)									
264. -Fidelity Cash Reserves		None	J	T					
265. -Doubleline Total Return Bond Fund	A	Dividend	K	T					
266. Fidelity Bene Roth IRA (H)									
267. -Fidelity Cash Reserves	A	Interest	J	T	Distributed (part)	07/15/20	J		
268. -Janus Henderson Global Income Fund	A	Dividend			Sold	03/23/20	K		
269. -Schwab Total Stock Market Fund	A	Dividend	K	T	Buy	03/23/20	K		
270.		None	K	T	Buy (add'l)	03/30/20	J		
271. Fidelity IRA #2		None	J	T	Distributed (part)	10/16/20	J		
272. Fidelity IRA Roth #2 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Ludington, Thomas L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. -Fidelity Gov't Cash Reserves		None	J	T					
274. -JohnCM Intl Select Fund	A	Dividend	J	T					
275.	A	Distribution	J	T					
276. -JP Morgan Europe Dynamic Fund	A	Dividend	K	T					
277. -PIMCO RAE Plus Small Fund	C	Dividend	K	T	Buy (add'l)	09/24/20	J		
278.	B	Distribution	K	T					
279. -AQR Global Equity Fund	A	Dividend	K	T					
280. -DFA Intl Value Fund	A	Dividend			Buy (add'l)	01/10/20	J		
281.		None			Sold	03/30/20	J		
282. -DFA US Large Cap Growth Fund	A	Dividend	K	T					
283. -DFA US Large Cap Value Fund	A	Dividend	K	T					
284. -American Funds New Perspective Fund	A	Dividend	K	T					
285.	B	Distribution	K	T					
286. -DFA Emerging Market Core Equity Fund	A	Dividend	J	T	Buy	03/30/20	J		
287. -Matthews Emerging Asia Institutional Fund	A	Dividend	J	T	Buy	03/30/20	J		
288. -PIMCO RAE Plus International Fund	A	Dividend	J	T	Buy	10/19/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII. Line 63 - Lehman Brothers Bond Escrow is still held. Some principle has been returned, with the possibility of future repayment of principle.
2. Part VII. Line 104 - United Technologies changed named to Raytheon Technologies corp stock on 4/3/20.
3. Part VII. Lines 78-80 - TCF Bank Roth FBO #2 did not previously meet reporting guidelines.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas L. Ludington**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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