

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Major, Barbara L.	2. Court or Organization US District Court-SDCA	3. Date of Report 05/18/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 333 West Broadway, Suite 1110 San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Board Member	Enright Inn of Court
3. Board Member	Federal Bar Association
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Bernstein Litowitz Berger & Grossmann-salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. AT&T	B	Dividend	J	T					
2. First Energy	A	Dividend	J	T					
3. Neuberger Berman Guardian Fund Investor Class	A	Dividend	J	T					
4. IRA #1 (H)									
5. -DFA Real Estate Securities Portfolio (DFREX)	B	Dividend	L	T					
6. -DFA US Micro Cap Portfolio (DFSCX)	A	Dividend			Sold	03/13/20	M	C	
7. -DFA US Small Cap Value Portfolio (DFSVX)	A	Dividend			Sold	03/13/20	K		
8. -DFA US Large Cap Value Portfolio (DFLVX)	A	Dividend			Sold	03/13/20	L	D	
9. -DFA US Targeted Value Portfolio (DFFVX)	A	Dividend			Sold	03/13/20	K		
10. -DFEQX	A	Dividend	L	T					
11. -TGBAX	A	Dividend			Sold	03/13/20	K		
12. -DFITX	A	Dividend	L	T					
13. -GLD			L	T					
14. -Cash (swkxx)	A	Int./Div.	N	T	Buy	03/13/20	N		
15. Trust #1 (H)									
16. -Cabrillo Federal Credit Union-Accounts	A	Interest	M	T					
17. -DFA Emerging Markets Portfolio (DFEMX)	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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18. -DFA Emerging Markets Small Cap Portfolio (DEMSX)	B	Dividend	L	T					
19. -DFA Emerging Markets Value Portfolio (DFEVX)	A	Dividend	K	T					
20. -DFA International Small Cap Value Portfolio (DISVX)	A	Dividend			Sold	03/13/20	L		
21. -DFA International Small Company Portfolio (DFISX)	B	Dividend	L	T					
22. -DFA Tax-Mng Marketwide Value (DTMMX)	C	Dividend	M	T					
23. -DFA Tax Managed US Targeted Value Portfolio (DTMVX)	A	Dividend			Sold	03/13/20	L		
24. -DFA Tax-Mng. International Value (DTMIX)	A	Dividend			Sold	03/13/20	K		
25. -Schwab CA Muni Money Fund	A	Dividend	M	T	Sold (part)	02/26/20	N		
26. -DFCMX	A	Dividend	L	T					
27. -GLD			M	T	Buy (add'l)	02/27/20	M		
28.					Sold (part)	03/13/20	K		
29. -VFSUX	C	Dividend	M	T	Buy	02/27/20	M		
30. Trust #2 (H)									
31. -SWVXX	A	Dividend	J	T					
32. IRA #2 (H)									
33. Vanguard Emerging Mkts Stock Idx Adm	A	Dividend	K	T					
34. Vanguard Developed Markets Index Admiral	A	Dividend	K	T					

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35. Vanguard Small Cap Index Adm	A	Dividend	K	T					
36. Vanguard 500 Index Admiral	A	Dividend	K	T					
37. Bernstein Intermediate Duration Bond Fund	C	Dividend	L	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Assets on lines 30-35 were deleted because they were sold in 2019.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barbara L. Major**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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