

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McCord, Andrea K.	2. Court or Organization U.S. Bankruptcy Court (INSB)	3. Date of Report 08/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Lee H. Hamilton Federal Building and Courthouse 121 W. Spring Street New Albany, IN 47150		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2007	State of Indiana Judicial Pension (vested)
2.	
3.	

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Name of Person Reporting

McCord, Andrea K.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Indiana State Police (pension)
2. 2020	Indiana Gaming Commission (salary)
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Chase	Credit Card	K
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. ACCOUNT #1 (H)									
2. CHEVRON CORP (CVX)	A	Dividend	J	T	Buy	03/09/20	J		
3. CSX CORP (CSX)	A	Dividend	J	T	Buy	03/09/20	J		
4. DIAGEO PLC (DEO)	A	Dividend	J	T	Buy	03/09/20	J		
5. ELI LILLY & CO (LLY)	A	Dividend	J	T	Buy	03/09/20	J		
6. FORTIS INC (FTS)	A	Dividend	J	T	Buy	03/09/20	J		
7. PAYPAL HOLDINGS (PYPL)		None	K	T	Buy	03/09/20	J		
8. REGIONS FINANCIAL CORP (RF)	A	Dividend	J	T	Buy	03/09/20	J		
9. SOUTHWEST AIRLINES CO (LUV)		None	J	T	Buy	03/09/20	J		
10. TRACTOR SUPPLY CO (TSCO)	A	Dividend	J	T	Buy	03/09/20	J		
11. WALT DISNEY CO (DIS)		None	J	T	Buy	03/09/20	J		
12. AMERICAN FUNDS BOND FUND OF AMER A (ABNDX)	A	Dividend	K	T	Buy	01/29/20	J		
13.					Buy (add'l)	03/09/20	J		
14. AMERICAN FUNDS FUNDAMENTAL INV A (ANCFX)	A	Dividend	J	T	Buy	01/29/20	J		
15. AMERICAN FUNDS GROWTH FD OF AMER A (AGTHX)	A	Dividend	J	T	Buy	01/29/20	J		
16. AMERICAN FUNDS HIGH-INCOME TRUST A (AHITX)	A	Dividend	J	T					
17. AMERICAN FUNDS INT BD FD OF AMER A (AIBAX)	A	Dividend			Sold	01/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. AMERICAN FUNDS INVEST CO OF AMER A (AIVSX)		None			Sold	01/29/20	J		
19. AMERICAN FUNDS NEW PERSPECTIVE A (ANWPX)	A	Dividend	J	T	Sold (part)	01/29/20	J		
20. AMERICAN FUNDS NEW WORLD A (NEWFX)	A	Dividend	J	T	Buy	01/29/20	J		
21.					Buy (add'l)	03/09/20	J		
22. AMERICAN FUNDS SMALL CAP WORLD A (SMCWX)	A	Dividend	J	T	Buy (add'l)	03/09/20	J		
23. ACCOUNT #2 (H)									
24. STATE ST TARGET RET 2025 SL CL V (SSBSX)		None	J	T					
25. FIDELITY DIVERSIFIED INTL FUND (FDIVX)	A	Dividend	J	T					
26. STATE ST RUSSELL SMALL/MID IDX SL C1 II (SSMKX)		None	J	T					
27. T. ROWE PRICE BLUE CHIP GROWTH TR-T2 (TRBCX)		None	J	T					
28. ACCOUNT #3 (H)									
29. STATE ST TARGET RET 2025 SL CL V (SSBSX)		None	K	T					
30. FIDELITY DIVERSIFIED INTL FUND (FDIVX)	A	Dividend	K	T					
31. STATE ST RUSSELL SMALL/MID IDX SL C1 II (SSMKX)		None	K	T					
32. VANGUARD CAPITAL OPPORTUNITY ADM (VHCAX)	B	Dividend	K	T					
33. MFS MID CAP VALUE R3 (MVCHX)	A	Dividend	K	T					
34. T. ROWE PRICE BLUE CHIP GROWTH TR-T2 (TRBCX)		None	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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35. PIMCO TOTAL RETURN FUND A (PTTAX)	C	Dividend	L	T					
36. INDIANA STABLE VALUE FUND		None	M	T					
37. ACCOUNT #4 (H)									
38. STATE ST TARGET RET 2035 SL CL V (SSCKX)		None			Sold	02/14/20	J		
39. ACCOUNT #5 (H)									
40. STATE ST TARGET RET 2035 SL CL V (SSCKX)		None			Sold	02/14/20	K		
41. FIDELITY DIVERSIFIED INTL K6 (FKIDX)		None			Sold	02/14/20	K		
42. STATE ST RUSSELL SMALL/MID IDX XL CL II (SSMKX)		None			Sold	02/14/20	K		
43. T. ROWE PRICE BLUE CHIP GROWTH (TRBCX)		None			Sold	02/14/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

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H1 =\$1,000,001 - \$5,000,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Andrea K. McCord**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544