

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MARTINEZ, WILLIAM J.	2. Court or Organization DISTRICT COURT- COLORADO	3. Date of Report 10/15/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address ALFRED A. ARRAJ U.S. COURTHOUSE 901 19TH STREET, ROOM A841 DENVER, CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

MARTINEZ, WILLIAM J.

Date of Report

10/15/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Salary from employment with Denver Health
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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MARTINEZ, WILLIAM J.	10/15/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. E*Trade Securities	Brokerage margin account	P2
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Rental property; Greenwood Village, Colo; (2008) (\$740,000)	F	Rent	P1	W					
2. E*Trade Brokerage Account									
3. Alteryx stock [AYX]		None	M	T	Buy	04/20/20	L		
4. Baozun stock [BZUN]		None			Closed	02/13/20	M	E	
5. Cloudera stock [CLDR]		None			Closed	03/18/20	L	D	
6. CrowdStrike stock [CRWD]		None	P1	T	Buy	03/24/20	N		
7.					Buy (add'l)	05/06/20	N		
8.					Buy (add'l)	10/08/20	M		
9. Facebook stock [FB]		None	P1	T					
10. FANG ETN [FNGU]		None	P1	T					
11. Fastly stock [FSLY]		None	N	T	Buy	09/09/20	M		
12.					Buy (add'l)	09/29/20	M		
13. Fiverr stock [FVRR]		None	N	T	Buy	12/02/20	M		
14.					Buy (add'l)	12/21/20	M		
15. MercadoLibre stock [MELI]		None	O	T	Buy	09/14/20	N		
16.					Buy (add'l)	11/03/20	N		
17. Cloudflare stock [NET]		None	O	T	Buy	11/17/20	N		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	12/18/20	N		
19. NVIDIA stock [NVDA]	B	Dividend	P1	T					
20. Invitae stock [NVTa]		None	N	T	Buy	02/03/20	N		
21. Okta stock [OKTA]		None	P1	T					
22. Roku stock [ROKU]		None	O	T	Buy	03/16/20	N		
23.					Buy (add'l)	07/02/20	M		
24.					Buy (add'l)	10/09/20	M		
25. Sea Ltd stock [SE]		None	P1	T	Buy	09/16/20	N		
26.					Buy (add'l)	09/22/20	M		
27.					Buy (add'l)	10/29/20	N		
28.					Buy (add'l)	11/04/20	M		
29. Shopify stock [SHOP]		None	P1	T	Buy	03/20/20	N		
30.					Buy (add'l)	04/01/20	N		
31.					Buy (add'l)	04/16/20	M		
32.					Buy (add'l)	06/29/20	M		
33. Square stock [SQ]		None	O	T	Buy	11/12/20	N		
34.					Buy (add'l)	12/07/20	N		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

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R =Cost (Real Estate Only)

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H1 =\$1,000,001 - \$5,000,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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35. Teledoc Health stock [TDOC]		None	O	T	Buy	08/26/20	M		
36.					Buy (add'l)	09/11/20	M		
37.					Buy (add'l)	10/01/20	M		
38. TQQQ ETF [TQQQ]		None			Closed	03/18/20	M	E	
39. Tesla stock [TSLA]		None	P1	T	Buy	09/10/20	M		
40.					Buy (add'l)	09/29/20	M		
41.					Buy (add'l)	10/19/20	N		
42.					Buy (add'l)	11/02/20	M		
43. Trade Desk stock [TTD]		None	P1	T	Buy	11/09/20	N		
44.					Buy (add'l)	11/20/20	M		
45.					Buy (add'l)	11/30/20	M		
46.					Buy (add'l)	12/28/20	N		
47. Zscaler stock [ZS]		None			Closed	03/13/20	L	D	
48. E*Trade IRA Account									
49. FANG ETN [FNGU]		None	M	T					
50. TNA ETF [TNA]		None	M	T					
51. TQQQ ETF	A	Dividend	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

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P4 =More than \$50,000,000

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Name of Person Reporting

MARTINEZ, WILLIAM J.

Date of Report

10/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Vanguard IRA Account									
53. Vanguard Growth Index Fund	A	Dividend	K	T					
54. Vanguard Mid Cap Growth Index Fund	A	Dividend	L	T					
55. Vanguard Small Cap Growth Index Fund	A	Dividend	L	T					
56. [REDACTED] IRA Account									
57. Vanguard Growth Index Fund	A	Dividend	L	T					
58. Vanguard Income Index Fund	A	Dividend	L	T					
59. Deferred Comp Plan [REDACTED]									
60. Vanguard Mid Cap Index Fund	B	Dividend	O	T	Buy (add'l)	03/31/20	J		
61.					Buy (add'l)	06/30/20	J		
62.					Buy (add'l)	09/30/20	J		
63.					Buy (add'l)	12/31/20	J		
64. Vanguard Small Cap Index Fund	B	Dividend	O	T	Buy (add'l)	03/31/20	J		
65.					Buy (add'l)	06/30/20	J		
66.					Buy (add'l)	09/30/20	J		
67.					Buy (add'l)	12/31/20	J		
68. Wells Fargo Checking Account #1	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Wells Fargo Checking Account #2	A	Interest	J	T					
70. Wells Fargo Checking Account #3	A	Interest			Closed	01/24/20	J	A	
71. Wells Fargo Savings Account #1	A	Interest	J	T					
72. Wells Fargo Savings Account #2	A	Interest			Closed	06/05/20	J	A	
73. Vanguard Investment Account									
74. Vanguard Mid Cap Index Fund	B	Dividend	M	T					
75. Vanguard Small Cap Index Fund	B	Dividend	M	T					
76. Vanguard International Dividend Index Fund	B	Dividend			Closed	10/19/20	L	D	
77. Vanguard Large Cap Index Fund	B	Dividend	K	T					
78. Vanguard Growth Index Fund	B	Dividend	M	T					

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(See Columns B1 and D4)

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Name of Person Reporting	Date of Report
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

MARTINEZ, WILLIAM J.

Date of Report

10/15/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **WILLIAM J. MARTINEZ**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544