

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MEISEL, STACEY L.	2. Court or Organization UNITED STATES BANKRUPTCY COURT DISTRICT OF NEW JERSEY	3. Date of Report 05/19/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address M. L. KING JR. FED. BLDG. 50 WALNUT ST NEWARK NJ 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. JUDICIAL ADVISOR	ASSOCIATION OF THE FEDERAL BAR
2. MEMBER	NATIONAL CONFERENCE OF BANKRUPTCY JUDGES CORNELIUS BLACKSHEAR SCHOLARSHIP COMMITTEE
3. JUDICIAL CO-CHAIR	ABI MID-ATLANTIC CONFERENCE
4. GOVERNOR-AT LARGE	NATIONAL CONFERENCE OF BANKRUPTCY JUDGES
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 03/26/08	Becker Meisel LLC 401(k) Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	West Services Inc. - book royalties	\$2,492.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Becker Meisel LLC - draw and membership distribution "Self Employed Attorney"
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. TD Bank Cash Accounts	A	Int./Div.	O	T					
2. Hooper Holmes (a)	A	Dividend	J	T					
3. Targa Resources Pfd 9% (a)	A	Interest			Sold	12/21/20	K	A	
4. Calamos Strategic Total Return (a)	A	Dividend	J	T					
5. Intel Corp (a)	A	Dividend	J	T					
6. Franklin Income Fund (a)	A	Dividend	K	T					
7. Citigroup Note 10% 8/19/35 (a)	A	Int./Div.	K	T					
8. United States Oil Fund (a)	A	Dividend	J	T					
9. US Bank N.A. (a) Cash Account	A	Interest	K	T					
10. 1. Becker LLC 401(k) Plan (a) H									
11. 2. Blackrock Lifepath Inde 2035	A	Int./Div.	N	T					
12. 1. Becker LLC 401 (k) Plan (c) H									
13. 2. Blackrock Lifepath Index 2035	A	Int./Div.	N	T					
14. 3. Blackrock Lifepath Index 2060	A	Int./Div.	J	T					
15. 4. John Hancock Bond Fund	A	Interest	J	T					
16. 5. Blue Chip Growth Fund	A	Int./Div.	J	T					
17. 6. 500 Index Fund	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. 7. Invesco International Growth	A	Int./Div.	J	T					
19. 8. DFA U.S. Small Cap Fund	A	Int./Div.	J	T					
20. Franklin Income Fund 529 Plan (d)	A	Dividend	M	T					
21. Alibaba (b)	A	Dividend	M	T					
22. American Int'l Group (b)	A	Dividend	J	T					
23. Exxon Mobil (b)	D	Dividend	M	T					
24. Targa Resources Pfd (b)	A	Interest			Sold	12/21/20	J	A	
25. Citibank CD (b)	A	Interest	K	T					
26. EPR PPTYS 5.75 PFD (b)	B	Dividend	K	T					
27. Morgan Stanley 10% due 08/19/33 (b)	A	Int./Div.	K	T					
28. Vornado Rlty PFD (b)	B	Dividend	K	T					
29. Insured (c) Cash Account	A	Interest	J	T					
30. Alibaba (c)	A	Dividend	L	T					
31. Citibank CD 209% 11/15/33 (c)	A	Int./Div.	J	T					
32. Citigroup Note 10% 11/26/34 (c)	A	Int./Div.	K	T					
33. JP Morgan Chase CD 10% 7/21/34 (c)	A	Int./Div.	K	T					
34. Franklin Income Fund (c)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. Exxon Mobil (d)	B	Dividend	K	T					
36. Targa Resources PFD 9% (a)	A	Interest			Sold	12/21/20	J	A	
37. TransAmerica Universal Life Insurance (a)	A	Dividend	K	T					
38. 1. Mass Mutual Variable Universal Life Insurance (c) policy 710 (H)									
39. 2. -MML Equity Index (c)	A	Dividend	K	T					
40. 3. -Oppenheimer Main Street/Invesco Opp Total Return Bond (merged)	A	Dividend	K	T					
41. 4. -MML Money Market	A	Dividend	J	T					
42. 5. -Invesco Oppenheimer Total Return Bond	A	Dividend	J	T					
43. 6. -Janus Henderson Gbl Research	A	Dividend	J	T					
44. 1. Mass Mutual Variable Universal Life Insurance (c) policy 712 (H)									
45. 2. -MML Equity Index (c)	A	Dividend	K	T					
46. 3. -Invesco Opp V.I. Main St/Oppenheimer Main Street (merged)	A	Dividend	K	T					
47. 4. -MML Money Market	A	Dividend	J	T					
48. 5. -Invesco Oppenheimer Total Return Bond	A	Dividend	J	T					
49. 6. -Janus Henderson Gbl Research	A	Dividend	J	T					
50. Seelaus Money Market (c) cash account	A	Interest	J	T					
51. Seelaus Money Market (a) cash account	A	Interest	K	T					

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52. Seelaus Money Market (b) cash account	A	Interest	N	T					
53. Seelaus Money Market (d) cash account	A	Interest	J	T					
54. Citigroup Inc Med Term 2/27/35 (b)	B	Interest	K	T					
55. United Sts Stl New 6.65% 6/1/37 (b)	D	Interest	L	T					
56. iShares TR Russell 2000 ETF (b)	B	Dividend	M	T	Buy	04/16/20	M		
57. SPDR S&P 500 ETF (b)		None	J	T	Buy	04/02/20	L		
58. SPDR S&P 500 ETF (b)		None	J	T	Buy (add'l)	04/03/20	L		
59. SPDR S&P 500 ETF (b)		None		T	Sold (part)	05/28/20	L	E	
60. SPDR S&P 500 ETF (b)		None		T	Sold (part)	05/28/20	L	E	
61. Disney Walt Co Disney Com (b)		None	N	T	Buy	04/07/20	N		
62.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The John Hancock 401(k) information has been valued as of 12/31/20. I estimated the income and gains on the sale of the 401(k) assets because I could not obtain that information from John Hancock.

As per my broker, the cash value of the TransAmerica life insurance policies are held by TransAmerica and not a third party intermediary like a bank. I have Universal Life Insurance policies. To the extent the premiums exceed the basic cost of insurance, they are considered to be the cash value of the account but are left in the company's general account. The company uses the general account to pay claims, meet policyholder demands and set aside reserves against future claims as required by various State Insurance Commissioners. The company credits policyholder funds in the general account with interest. The company guarantees a minimum interest rate but may set the rate higher than the minimum. The interest crediting rates are set annually by management and approved by the Board.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ STACEY L. MEISEL

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544