

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bloch, Alan N.	2. Court or Organization USDC/WDPA	3. Date of Report 05/14/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Suite 8370 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member, Emeritus Board of Directors	Make-A-Wish Foundation of Western Pennsylvania (non-profit corporation)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Bloch, Alan N.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.	Academy of Trial Lawyers of Allegheny County	10-1-2014 to 10-3-2014	Farmington, PA	Attend the Annual Retreat	Room and meals
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Philadelphia Pa. Gas Works Bond (12th Series)	A	Interest	J	T					
2. Montgomery County Bond	A	Interest			Redeemed	10/30/14	J	A	
3. Pa. Turnpike Bond (Series B)	A	Interest			Redeemed	09/17/14	J		
4. Altoona PA NTS Series A Bond	B	Interest	L	T					
5. Scranton PA Gen. Obligation (Series B) Bond	A	Interest	J	T					
6. Economy Pa. Municipal Sewer Authority	A	Interest			Redeemed	12/15/14	J		
7. Abington School District Bond	A	Interest			Redeemed	10/01/14	J		
8. Pa. State Ref. Project (1st Series) Bond	A	Interest			Redeemed	02/03/14	K		
9. Philadelphia Gas Works (5th Series)	A	Interest	K	T					
10. Ambridge Penn Area School District	A	Interest			Redeemed	11/03/14	J	A	
11. Garnet Valley School District	A	Interest	J	T					
12. Philadelphia Pa. School District	A	Interest	K	T					
13. State Public School Building Authority	A	Interest	J	T					
14. State Public School Building Authority	B	Interest	K	T					
15. State Public School Building Authority	A	Interest	J	T					
16. Philadelphia Pa. School District	B	Interest	K	T					
17. Spring-Ford Area School District	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. State Public School Building Authority	A	Interest	J	T					
19. Pa. State Higher Education Drexel University	A	Interest	K	T					
20. Philadelphia PA Water & Wastewater Series B Bond	B	Interest	K	T					
21. Aliquippa PA School District Bond	B	Interest	K	T					
22. Mahanoy PA Sewer Authority Bond	A	Interest	K	T					
23. Mars PA School District Bond	A	Interest	J	T					
24. Erie PA Water Authority Bond	A	Interest	J	T					
25. Altoona PA Area School District Bond	A	Interest	K	T					
26. Pa. State Turnpike Commission Bond	A	Interest	J	T					
27. West Mifflin PA Area School District Bond	A	Interest	J	T					
28. Uniontown PA Area School District Bond	A	Interest			Redeemed	10/01/14	J		
29. Erie PA Water Authority Water Bond	A	Interest	J	T					
30. Altoona PA Area School District Bond	A	Interest	J	T					
31. Reading PA School District Bond	A	Interest	K	T					
32. Coatesville PA Area School District Bond	A	Interest	J	T					
33. Reading PA School District Bond	A	Interest	J	T					
34. Interest-PNC Bank- Checking; Virginia Manor Shops, Pgh.	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Vanguard Pa. Insured Tax Free Fund	B	Dividend	K	T					
36. Capital Income Builder	D	Dividend	N	T					
37. --					Buy (add'l)	02/12/14	J		
38. --					Sold (part)	05/13/14	M	E	
39. --					Sold (part)	10/21/14	J		
40. Income Fund of America, Inc.	D	Dividend	N	T					
41. --					Buy (add'l)	02/12/14	J		
42. --					Sold (part)	05/13/14	M	E	
43. --					Sold (part)	10/21/14	J		
44. Fairholme FDS, Inc.	A	Dividend	K	T	Buy	05/19/14	K		
45. --					Sold (part)	05/23/14	J	A	
46. --					Sold (part)	07/14/14	J	A	
47. --					Buy (add'l)	10/08/14	J		
48. --					Sold (part)	11/10/14	J		
49. First Eagle FDS, Inc.	A	Dividend	K	T	Buy	05/19/14	K		
50. --					Sold (part)	05/23/14	J	A	
51. --					Buy (add'l)	10/08/14	J		

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52. Harris Assoc. (Oakmark Equity and Income Fund)	A	Dividend	K	T	Buy	05/19/14	K		
53. --					Buy (add'l)	10/08/14	J		
54. --					Sold (part)	11/10/14	J	A	
55. --					Buy (add'l)	11/12/14	J		
56. Hotchkis & Wiley FDS	A	Dividend	K	T	Buy	05/19/14	K		
57. --					Sold (part)	05/23/14	J	A	
58. --					Buy (add'l)	10/08/14	J		
59. --					Sold (part)	11/10/14	J	A	
60. Ivy FDS, Inc.	A	Dividend	K	T	Buy	05/19/14	K		
61. --					Sold (part)	05/23/14	J	A	
62. --					Buy (add'l)	10/08/14	J		
63. --					Sold (part)	11/10/14	J	A	
64. Loomis Sayles FDS II	A	Dividend	J	T	Buy	05/19/14	J		
65. --					Buy (add'l)	10/08/14	J		
66. --					Buy (add'l)	11/12/14	J		
67. Oppenheimer Dev. Markets	A	Dividend	K	T	Buy	05/19/14	K		
68. --					Sold (part)	05/23/14	J	A	

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69. --					Buy (add'l)	10/08/14	J		
70. --					Buy (add'l)	11/12/14	J		
71. Parnassus Income Tr.	A	Dividend	K	T	Buy	05/19/14	K		
72. --					Sold (part)	05/23/14	J	A	
73. --					Buy (add'l)	10/08/14	J		
74. --					Sold (part)	11/10/14	J	A	
75. Permanent Portfolio FD	A	Dividend	K	T	Buy	05/19/14	K		
76. --					Buy (add'l)	10/08/14	J		
77. --					Buy (add'l)	11/12/14	J		
78. Pimco FDS Pac Inv.	A	Dividend			Buy	05/19/14	K		
79. --					Buy (add'l)	10/08/14	J		
80. --					Sold	11/10/14	K	A	
81. Pimco Inv. Grade Corp. Class I	A	Dividend	J	T	Buy	05/19/14	J		
82. --					Buy (add'l)	10/08/14	J		
83. --					Buy (add'l)	11/12/14	J		
84. Prudential Jennison Natural Resources FD	A	Dividend	J	T	Buy	05/19/14	J		
85. --					Sold (part)	05/23/14	J	A	

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86. --					Buy (add'l)	10/08/14	J		
87. --					Buy (add'l)	11/12/14	J		
88. Royce FD	A	Dividend	K	T	Buy	05/19/14	K		
89. --					Sold (part)	07/14/14	J	A	
90. --					Buy (add'l)	10/08/14	J		
91. --					Sold (part)	11/10/14	J	A	
92. Sunamerica Ser. Inc. (Focused Dividend Strategy Portfolio)	A	Dividend	K	T	Buy	05/19/14	K		
93. --					Buy (add'l)	10/08/14	J		
94. --					Sold (part)	11/10/14	J	A	
95. FPA FDS	A	Dividend	K	T	Buy	05/19/14	K		
96. --					Sold (part)	05/23/14	J	A	
97. --					Buy (add'l)	10/08/14	J		
98. --					Buy (add'l)	11/12/14	J		
99. Van Eck FDS (International Invs. Gold)	A	Dividend	J	T	Buy	05/19/14	J		
100. --					Sold (part)	05/23/14	J	A	
101. --					Sold (part)	07/14/14	J	A	
102. --					Buy (add'l)	10/08/14	J		

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103. --					Buy (add'l)	11/12/14	J		
104. AMG Funds (Yackman Focused Fund)	A	Dividend	K	T	Buy	05/19/14	K		
105. --					Sold (part)	05/23/14	J		
106. --					Buy (add'l)	10/08/14	J		
107. --					Sold (part)	11/10/14	J	A	
108. --					Buy (add'l)	11/12/14	J		
109. Doubleline FDS Tr. (Core Fixed Income Fd. Cl. I)	A	Dividend	K	T	Buy	11/10/14	J		
110. --					Buy (add'l)	11/12/14	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Alan N. Bloch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544