

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Millett, Patricia A.	2. Court or Organization U.S. Court of Appeals for the DC Circuit	3. Date of Report 7/6/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 333 Constitution Ave., NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	The [REDACTED] Living Trust dated February 25, 1998, as amended
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 02/2020	Georgetown Law School teaching compensation (1/15-4/22/2020)	\$8,625.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Florida Sugar Cane League, Inc. -- spouse's salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Law Institute	1/15-17/2020	Philadelphia, PA	ALI Council meetings	Transportation & meals
2.	Stanford University Law School	2/7-8/2020	Stanford, CA	Stanford Supreme Court at Midterm Conference	Transportation
3.	Duke University School of Law	2/24-25/2020	Durham, NC	Moot Court Competition Judge	Transportation
4.					
5.					

Name of Person Reporting Millet, Patricia A.	Date of Report 7/6/2021
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. US Treasury Bonds	A	Interest	K	T					
2. Wells Fargo Bank - Various accounts	A	Interest	N	T					
3. Florida Sugar Cane League, Inc. 401(k) Plan (H)									
4. -WF Stable Fund C	A	Dividend	J	T					
5. -FID FDM idx 2025 INV	D	Int./Div.	N	T	Buy (add'l)	03/31/20	J		
6.					Buy (add'l)	06/30/20	J		
7.					Buy (add'l)	09/30/20	J		
8.					Buy (add'l)	12/31/20	J		
9. American Funds VCSP/College America 529 Plan (H)									
10. -AF U.S. Government Money Market - 529A	A	Dividend	M	T	Buy (add'l)	06/29/20	J		
11.					Buy (add'l)	12/14/20	J		
12.					Sold (part)	06/24/20	J		
13.					Sold (part)	08/07/20	J		
14.					Sold (part)	08/13/20	K		
15.					Sold (part)	12/14/20	K		
16.					Sold (part)	12/28/20	J		
17. Brokerage Account #1 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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18. -Lockheed Martin Corp - Common	E	Int./Div.	O	T					
19. Brokerage Account #2 (H)									
20. -Raymond James Bank	A	Interest		T					
21. -T. Rowe Price Capital Appreciation Fund		None			Sold	03/24/20	M		
22. Brokerage Account #3 UTMA (H)									
23. -T. Rowe Price Capital Appreciation Fund	A	Dividend	J	T					
24. Brokerage Account #4 UTMA (H)									
25. -T. Rowe Price Capital Appreciation Fund	A	Dividend	J	T					
26. Prudential (H)									
27. -AST Academic Strategies Asset Alloc Port	A	Interest	J	T					
28. Revocable Trust Liv Trust Jackson Na (H)									
29. -JNL/Lazard Emerging		None	J	T					
30. -JNL/Mellon S&P 400		None			Sold	03/20/20	J	A	
31. -JNL/Mellon Cap Small Cap		None			Sold	03/12/20	J	A	
32. -JNL/Mellon Tech		None	J	T					
33. -JNL/Mellon Healthcare		None	J	T					
34. -JNL/Invesco China-India		None			Sold	03/12/20	J	A	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. -JNL T.Rowe Price Mgd Vol		None	K	T					
36. -JNL Tactical ETF Growth		None	K	T	Sold (part)	03/14/20	K	A	
37. -JNL Tactical ETF Growth		None	K	T	Sold (part)	11/04/20	K	A	
38. -JNL/Lazard IntlStratEq		None			Sold	03/25/20	J	A	
39. Brokerage- - non-retirement (H)									
40. -Oppenheimer Global		None	J	T	Sold (part)	03/12/20	J	A	
41. -Invesco/Oppenheimer Senior Floating Rate CL C	A	Dividend			Sold	03/12/20	J	A	
42. -Invesco/Intermediate Term Muni Income	A	Dividend	J	T	Buy	03/12/20	J		
43. -Invesco/Intermediate Term Muni Income	A	Dividend	J	T	Buy	03/12/20	J		
44. Brokerage -- retirement (H)									
45. -Putman Absolute Return 700		None	J	T	Sold (part)	04/07/20	J	A	
46. -Principal Global Diversivied Income	A	Dividend	K	T	Sold (part)	04/07/20	J	A	
47. -Putnam Multi Cap Core	A	Dividend	J	T	Sold (part)	03/25/20	J	A	
48. -Highland Small Cap		None			Sold	03/12/20	J	A	
49. -Principal Balance	A	Dividend	J	T	Sold (part)	03/25/20	J		
50. -AM Beacon Global Frontier	A	Dividend	J	T					
51. -AM Balance Fund	A	Dividend	J	T					

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52. -Putnam HI Yield	A	Dividend	J	T	Buy	04/07/20	J		
53. -Prinicpal HI Yield	A	Dividend	J	T	Buy	04/07/20	J		
54. -JNL/American Funds New World		None	J	T	Buy	11/04/20	J		
55. -JNL/BlackRock Large Cap Growth		None	J	T	Buy	11/04/20	J		
56. -JNL/Morgan MidCap Growth		None	J	T	Buy	11/04/20	J		
57. -JNL/Invesco Small Cap Growth		None	J	T	Buy	11/04/20	J		
58. -JNL/American Fund Balance		None	J	T	Buy	11/04/20	J		
59. -JNL/Vanguard Moderate ETF		None	J	T	Buy	03/12/20	J		
60. -JNL/Global Multisector Bond		None	J	T	Buy	03/12/20	J		
61. -JNL/WMC Gov't Money Market		None	J	T	Buy	03/25/20	J		
62. -JNL/WMC Gov't Money Market		None	J	T	Sold (part)	11/04/20	J	A	
63. -JNL/PIMCO Investment Credit Bond		None			Sold	11/04/20	J	A	
64. -JNL/JP Morgan US Gov't & Quality Bond		None	J	T	Buy	03/12/20	J		
65. -JNL/Franklin Templeton Global Multisector Bond		None	J	T	Buy	03/12/20	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

(1) Part VII, Lines 26-65: These assets are in [REDACTED] Revocable Living Trust; I am Trustee and a named beneficiary. I have no current financial interest or income from these assets or from the Living Trust.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Patricia A. Millett**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544