

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LaMothe, Louise A.	2. Court or Organization Central District of California	3. Date of Report 06/20/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge - Part Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States District Court Federal Building 1415 State Street, Room 202 Santa Barbara, CA 93101-2511		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Louise A. LaMothe - A Professional Corporation: Salary	\$195,500.00
2. 2017	Louise A. LaMothe, A Professional Corporation: 401K Excess deferral	\$462.00
3.		
4.		
5.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	California State Teachers' Retirement System
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. LPL FINANCIAL 7168 (LAL)									
2. -Allianzgi Short Duration High Income CL P (ASHPX)	E	Dividend	N	T					
3. -Angel Oak Multi Strategy Income INSTL CL (ANGIX)	D	Dividend	M	T					
4. -Eaton Vance National Mun Income CL I (EIHMX)	D	Dividend	M	T					
5. -Federated Strategic Value Dividend INSTL CL (SVAIX)	D	Dividend	M	T					
6. -Federated Strategic Value Dividend INSTL CL (SVAIX) (Partial)	D	Dividend			Sold (part)	10/25/17	J	A	
7. -Harbor Cap Apprec INSTL CL (HACAX)	A	Dividend	M	T					
8. -Harbor Cap Apprec INSTL CL (HACAX) (Partial)	D	Dividend			Sold (part)	10/25/17	K	D	
9. -JP Morgan Mortgage Backed Securities CL I (OMBIX)	D	Dividend	N	T					
10. -Maingate MLP (IMLPX)	C	Dividend	L	T					
11. -Main Stay Epoch Global Equity YIELD CL I (EPSYX)	C	Dividend	M	T					
12. -Main Stay Epoch Global Equity YIELD CL I (EPSYX) (Partial)	A	Dividend			Sold (part)	10/25/17	J	B	
13. -MFS Value (MEIIX)	B	Dividend	M	T					
14. -MFS Value (MEIIX) (Partial)	B	Dividend			Sold (part)	10/25/17	J	B	
15. -Pimco Investment Grade Corp Bond CL P (PBDPX)	D	Dividend	N	R					
16. -Pinco Investment Grade Corp Bond CL P (PBDPX)	D	Dividend			Sold	10/25/17	J	A	
17. -Royce Dividend Value Investment CL (RDVIX)	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Royce Dividend Value Investment CL (RDVIX) (Partial)	B	Dividend			Sold (part)	10/25/17	J	B	
19. -Vanguard Intermediate Term Treasury Admiral CL (VFIUX)	C	Dividend	M	T					
20. -Wells Fargo Diversified Income Bldr Instl CL (EKSYX) (Partial)	C	Dividend			Sold	10/25/17	J	A	
21. -Wells Fargo Diversified Income Bldr Admin CL (EKSDX)	A	Dividend			Merged (with line 20)	2017	J	A	
22.									
23. WELLS FARGO 5002 (LAL)									
24. -Ambridge Boro PA MUN AUTH SWR	A	Interest	J	T					
25. -Antelope VLY CA UN HIGH SCH DIST	A	Interest	J	T					
26. -Bakersfield CA Wastewater REV SER	A	Interest			Sold	09/15/17	K	A	
27. -Banning CA UTIL AUTH WTR Enterprise REV RFDG	A	Interest	K	T					
28. -Bellwood IL RFDG SER B G/O UNLTD	A	Interest	K	T	Buy	08/17/17	K		
29. -Burbank CA REDEV AGY SUCCESSOR AGY TAX ALLOCA	A	Interest	J	T					
30. -Columbus OH G/O RFDG B/E SER 2	A	Interest	J	T					
31. -Dodge City KS TEMP NTS	A	Interest	K	T	Buy	10/03/17	K		
32. -Eastern Mun Wtr Dis CA	A	Interest	J	T					
33. -Eugene OR Elec Util Rev RFDG B/E	A	Interest	K	T					
34. -Fort Bend TX MUN UTIL DIST NO 137	A	Interest	J	T					

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35. -Golden West SCHS Fing CA REF Beverly Hills UNI	A	Interest	K	T					
36. -Hawthorne CA CTF PARTN Refinancing Proj.	A	Interest	K	T					
37. -Henry & Whiteside CNTYS IL CMNTY UNIT SCHL DIST	A	Interest	K	T					
38. -Highland CA REDEV AGY	A	Interest	K	T					
39. -Los Angeles CA Dpt Arpts Sub LA Intl Arpt	A	Interest	K	T					
40. -Montclair CA Pub FING Auth LSE	A	Interest	J	T					
41. -New York State MTG AGY HMOWNR MTG	A	Interest	J	T					
42. -Rialto CA REDEV Agy Successor AGY Tax	A	Interest	J	T					
43. -San Francisco CA City & Cnty Arpts Comm Ntl	A	Interest			Matured	05/01/17	J	A	
44. -Tennessee HSG DEV AGY	A	Interest	K	T	Buy	11/09/17	K		
45. -University CA REVS LTD Proj SER E	A	Interest	J	T					
46. -University Tex Univ RVS REF SYS	A	Interest			Matured	08/15/17	K	A	
47. -Val Verde CA SCH DIST	A	Interest	K	T					
48. -Ventura CNTY CA Cmnty College Dist	A	Interest	K	T					
49. -Washington CA UNI SCH DIST Fresno CNTY	A	Interest	J	T					
50. -Watsonville CA REDEV AGY Sucessor AGY	A	Interest	J	T					
51. -Western Placer CA UNI SCH DIST ELECTION 2016	A	Interest	K	T	Buy	05/24/17	K		

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52. -Whittier CA REDEV SUCCESSOR AGY TAX ALLOC	A	Interest	K	T					
53.									
54. WELLS FARGO 3545 (LAL)									
55. -Abbott Laboratories (ABT)	A	Dividend	J	T					
56. -Abbvie Inc (ABBV)	A	Dividend	J	T					
57. -American International Group Inc (AIGWS)			J	T					
58. -Automatic Data Processing (ADP)	A	Dividend	J	T					
59. -CDK Global Holdings LLC (CDK)	A	Dividend	J	T					
60. -Colgate Palmolive Co (CL)	A	Dividend	J	T					
61. -Exxon Mobil Corp (XOM)	A	Dividend	J	T					
62. -General Electric Company	A	Dividend			Sold	12/04/17	J	A	
63. -General Mills Inc (GIS)	A	Dividend	J	T					
64. -Intel Corp (INTC)	A	Dividend	J	T					
65. -Kohls Corp (KSS)	A	Dividend	J	T					
66. -McDonald's Corp (MCD)	A	Dividend	J	T					
67. -Medtronic PLC (MDT)	A	Dividend	J	T					
68. -Microsoft Corp (MSFT)	A	Dividend	J	T					

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69. -Pfizer Inc (PFE)	A	Dividend	J	T					
70. -Schlumberger Ltd (SLB)	A	Dividend	J	T					
71. -Walgreens Boots Alliance Inc (WBA)	A	Dividend	J	T					
72. -Wal-Mart Stores Inc (WMT)	A	Dividend	J	T					
73. -Wells Fargo & Co New (WFC)	A	Dividend	K	T					
74.									
75. WELLS FARGO 3480 (LAL)									
76. -General Elec Cap Corp Medium Term Notes CPN 5.400%	A	Interest			Matured	02/15/17	J	A	
77. -Goldman Sachs Group Inc Notes CPN 5.950%	A	Interest			Sold	08/02/17	J	A	
78. -Jackson National Life Ins - Annuity (Contract 10060099)		None			Sold	10/05/17	N	F	
79. -Jackson National Life Ins - Annuity (Contract 1006093546)		None			Sold	09/28/17	N	G	
80.									
81. LPL FINANCIAL 9907 (LAL)									
82. -Capital Income Builder CL F2	C	Dividend	L	T					
83. -Capital Income Builder CL F2 (Partial)	C	Dividend			Sold (part)	09/08/17	M	D	
84. -New World CL F2	A	Dividend	M	T					
85.									

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86. LPL FINANCIAL 8747 (LAL)									
87. -FS Investment Corp III	D	Dividend	M	T					
88.									
89. COMPUTERSHARE TRUST CO (LAL) (Transfer agent for Spar Group, Inc.)									
90. -Spar Group Inc					Sold	11/29/17	J	A	
91.									
92. WELLS FARGO 0204 (DK)									
93. -Capital Income Bldr FD CL C CIBCX (140193301)	A	Interest	J	T					
94. -GE Capital Internotes Medium Term Notes	A	Interest	J	T					
95. -Goldman Sachs Group Notes Medium Term Notes	A	Interest	K	T					
96. -Pacific Life Ins Co Pacific Journey Select Var Annuity		None	M	T					
97.									
98. LPL Financial 2201 (DK)									
99. -Abbott Laboratories (ABT)	A	Dividend			Sold	07/06/17	J	B	
100. -Abbvie Inc (ABBV)	A	Dividend			Sold	07/06/17	J	C	
101. -Air Products & Chemicals Inc (APD)	A	Dividend			Sold	07/06/17	J	C	
102. -American Funds CL F2 (AMBFX)	A	Dividend			Sold	07/06/17	J	B	

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103. -Automatic Data Processing Inc (ADP)	A	Dividend			Sold	07/06/17	J	D	
104. -American Funds CL F1 (BALFX)	A	Dividend			Merged (with line 102)	2017	J	A	
105. -American Global Growth (GGPCX)	A	Dividend			Sold	07/06/17	J	A	
106. -American Global Growth CL F2 (PGWFX)	A	Dividend			Sold	07/06/17	J	A	
107. -Capital Income Bldr CL F2 (CAIFX)	A	Dividend			Sold	07/06/17	K	A	
108. -CDK Global Inc (CDK)	A	Dividend			Sold	07/06/17	J	B	
109. -Cohen & Steers Real Estate SECS (CSCIX)	A	Dividend			Sold	07/06/17	K	B	
110. -Conoco Phillips (COP)	A	Dividend			Sold	07/06/17	J	A	
111. -Costco Wholesale Corp (COST)	A	Dividend	J	T					
112. -Costco Wholesale Corp (COST) (Partial)	A	Dividend			Sold (part)	07/06/17	K	D	
113. -Federated Strategic Value Divident INSTL CL (SVAIX)	A	Dividend	K	T	Buy	07/06/17	J		
114. -GE Capital Internotes (36966TCU4)	A	Interest			Sold	07/07/17	J	A	
115. -GE Capital Internotes (36966TBY7)	A	Interest			Sold	07/07/17	J	A	
116. -GE Capital Internotes (36966TCK6)	A	Interest			Sold	07/07/17	J	A	
117. -GE Capital Internotes (36966TC23)	A	Interest			Sold	07/07/17	J	A	
118. -General Mills Inc (GIS)	A	Dividend			Sold	07/06/17	J	B	
119. -Goldman Sachs Group Notes Inc Medium Term Note (38141ET90)	A	Interest			Sold	07/07/17	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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LaMothe, Louise A.

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06/20/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -HCP Inc (HCP)	A	Dividend			Sold	07/06/17	K	D	
121. -HSBC Bank USA (40434ANX3)	A	Interest			Sold	07/07/17	J	A	
122. -John Hancock Life Signature Notes (41013HAZ3)	A	Interest			Sold	07/07/17	J	A	
123. -Johnson & Johnson (JNJ)	A	Dividend			Sold	07/06/17	J	D	
124. -Kellogg Company (K)	A	Dividend			Sold	07/06/17	J	B	
125. -Los Angeles Cnty CA Redev Tax Alloc RFDG (54465AAS1)	A	Interest			Sold	07/06/17	J	A	
126. -Madera Cnty CA Brd ED (55646DAG0)	A	Interest			Sold	07/06/17	K	A	
127. -Paychex Inc (PAYX)	A	Dividend			Sold	07/06/17	J	C	
128. -Phillips 66 (PSX)	A	Dividend			Sold	07/06/17	J	C	
129. -Proctor & Gamble Co PG (PG)	A	Dividend			Sold	07/06/17	J	B	
130. -Quality Care Properties Inc (QCP)	A	Interest			Sold	07/06/17	J	A	
131. -Target Corp (TGT)	A	Dividend			Sold	07/06/17	J	A	
132. -United Parcel Service Inc CL B (UPS)	A	Dividend			Sold	07/06/17	J	C	
133. -Vanguard Intermediate Term Treasury Admiral CL (VFIUX)	A	Dividend	J	T	Buy	07/07/17	J		
134. -Vanguard Intermediate Term Treasury Admiral CL (VFIUX)	A	Dividend			Sold	11/20/17	K	A	
135. -Versum Materials Inc (VSM)	A	Dividend			Sold	07/06/17	J	A	
136. -Wells Fargo & Co Medium Term Sr Note (94986RPW5)	A	Interest			Sold	10/07/17	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Wells Fargo & Co Medium Term Note (94986RBZ3)	A	Interest			Sold	07/07/17	J	B	
138. -Wells Fargo & Co Medium Term Note (94986RUQ2)	A	Interest			Sold	07/07/17	J	A	
139. -Wells Fargo Bank NA Domestic ETF (94986TWW9)	A	Interest			Sold	07/07/17	J	A	
140. -Wells Fargo Bank NA S&P 500 (94986TRV1)	A	Interest			Sold	07/06/17	J	A	
141. -Wisdom Tree Emerging ET Markets (DEM)	A	Dividend			Sold	07/06/17	J	A	
142. -Wisdom Tree Intl Mid Cap DIV ETF (DIM)	A	Dividend			Sold	07/06/17	J	A	
143. -Wisdom Tree Intl Small Cap Dividend (DLS)	A	Dividend			Sold	07/06/17	J	A	
144. -Wisdom Tree US Large Cap Div ETF (DLN)	A	Dividend			Sold	07/06/17	J	B	
145. -Wisdom Tree Midcap Div ETF (DON)	A	Dividend			Sold	07/06/17	J	B	
146. -Wisdom Tree US Mid Cap Earnings ETF (EZM)	A	Dividend			Sold	07/06/17	J	B	
147. -Wisdom Tree US Small Cap Div ETF (DES)	A	Dividend			Sold	07/06/17	J	A	
148.									
149. WELLS FARGO 9281 (DK)									
150. -Aflac Inc (AFL)	A	Dividend	J	T	Buy	07/19/17	J		
151. -Allianzgi Short Duration High Income CL P (ASHPX)	B	Dividend	L	T	Buy	07/06/17	J		
152. -Alphabet Inc CL A (GOOGL)	A	Dividend	J	T	Buy	07/19/17	J		
153. -Angel Oak Multi Strategy Income INSTL CL (ANGIX)	A	Dividend	K	T	Buy	07/07/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Angel Oak Multi Strategy Income INSTL CL (ANGIX) (Partial)	A	Dividend			Sold (part)	10/25/17	J		
155. -AT&T Inc (T)	A	Dividend	J	T					
156. -AT&T Inc (T) (Partial)	A	Dividend			Sold (part)	07/19/17	J	A	
157. -Berkshire Hathaway Inc. DE CL B NEW (BRK/B)	A	Dividend	J	T	Buy	07/19/17	J		
158. -Cisco Systems Inc. (CSCO)	A	Dividend	J	T	Buy	07/19/17	J		
159. -Dollar General Corp New (DG)	A	Dividend	J	T	Buy	07/19/17	J		
160. -Eaton Vance National Mun Income CL 1 (EIHMX)	A	Dividend	K	T	Buy	07/06/17	J		
161. -Eli Lilli & Company (LLY)	A	Dividend	J	T					
162. -Eli Lilli & Company (LLY) (Partial)	A	Dividend			Sold (part)	07/19/17	J	C	
163. -Eversource Energy (ES)	A	Dividend			Sold	07/19/17	J	C	
164. -Federated Strategic Value Divident INSTL CL (SVAIX)	A	Dividend	K	T	Buy	07/06/17	J		
165. -Goldman Sachs Group Inc Medium Term Note (38141ET90)	A	Interest	J	T					
166. -Harbor Cap Apprec Instl CL (HACAX)	A	Dividend	K	T	Buy	07/06/17	J		
167. -Harbor Cap Apprec Instl CL (HACAX) (Partial)	A	Dividend			Sold (part)	10/25/17	J	A	
168. -Ishares China Large Cap ETF (FXI)	A	Dividend	J	T	Buy	07/19/17	J		
169. -JPMorgan Mortgage Backed Securities CL 1 (AMBLX)	B	Dividend	L	T	Buy	07/06/17	J		
170. -Kimberly Clark Corp (KMB)	A	Dividend	J	T	Buy	07/19/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Maingate MLP CL 1 (IMLPX)	A	Dividend	J	T					
172. -Maingate MLP CL 1 (IMLPX) (Partial)	A	Dividend			Sold (part)	11/20/17	K	A	
173. -Mainstay Epoch Global Equity Yield CL 1 (APSYX)	A	Dividend	K	T	Buy	07/06/17	J		
174. -MFS Value CL 1 (MEIIX)	A	Dividend	K	T	Buy	07/06/17	J		
175. -Microsoft Corp (ASFT)	A	Dividend	J	T	Buy	07/19/17	J		
176. -Nestle S A SPNSD ADR REPSTING REG SHS (NSRGY)	A	Dividend	J	T	Buy	07/19/17	J		
177. -Oppenheimer Steelpath MLP Select 40 CL Y (MLPTX)	A	Dividend	K	T	Buy	11/20/17	J		
178. -Pimco Investment Grde Corp Bond CL P (PBDPX)	A	Dividend	M	T	Buy	07/07/17	J		
179. -Royce Dividend Value Investment CL (RDVIX)	A	Dividend	K	T	Buy	07/06/17	J		
180. -Scana Corp New (SCG)	A	Dividend			Sold	07/19/17	J	B	
181. -Sysco Corporation (SYU)	A	Dividend	J	T					
182. -Sysco Corporation (SYU) (Partial)	A	Dividend			Sold (part)	07/19/17	J	A	
183. -Wells Fargo 6.5 Yr MLN	A	Interest	J	T					
184. -Wells Fargo Diversified Income Builder INSTL CL (EKSUX)	A	Dividend	K	T	Buy	07/06/17	J		
185. -Wells Fargo Diversified Income Builder INSTL CL (EKSUX)	A	Dividend			Sold	10/25/17	K	A	
186.									
187. TIAA									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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188. -TIAA Annuity Contracts (TIAA Traditional)	A	Interest	K	T					
189. -CREF Annuity Contracts (CREF Stock R3)	D	Interest			Merged (with line 188)	2017	J	A	
190.									
191. TROWE PRICE									
192. -TROWE PRICE IRA (Global Tech) (2785965471--6)	B	Dividend	K	T					
193. -TROWE PRICE IRA (Spectrum Growth)	A	Dividend			Merged (with line 188)	2017	J	A	
194.									
195. CHARLES SCHWAB 9314 (DK)									
196. -Buffalo Flexible Income Fund (BUFBX)	A	Dividend			Sold	10/18/17	J	A	
197. -Parnassus Core Equity Investor CL (PRBLX)	A	Dividend			Sold	10/18/17	J	A	
198. -Schwab US Div Equity ETF (SCHD)	A	Dividend			Sold	10/18/17	J	C	
199.									
200. CHARLES SCHWAB 0109 (LAL)									
201. -Alphabet Inc (GOOG)	A	Dividend	J	T					
202. -Alphabet, Inc. (GOOGL)	A	Dividend	J	T					
203. -Amazon.com Inc (AMZN)	B	Dividend	K	T					
204. -Costco Wholesale Co (COST)	D	Dividend	K	T					

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205. -Ford Motor Company (F)	A	Dividend	J	T					
206. -Matthews Pacific Tiger (MAPTX)	C	Dividend	K	T					
207. -Parnassus Core EQTY FD (PRBLY)	D	Dividend	K	T					
208. -Qualcomm Inc (QCOM)	D	Dividend	J	T					
209. -Salesforce Com (SRM)		None	J	T					
210. -Tesla Motors Inc (TSLA)		None	L	T					
211.									
212. Charles Schwab Bank Checking Account 4108	A	Interest	M	T					
213. Citibank Checking Account	A	Interest	J	T					
214. City National Bank Chkg #1 (Trust 1990)	A	Interest			Closed	2017	J	A	
215. City National Bank Chkg #2 (Trust 1997)	A	Interest	J	T					
216. City National Bank Chkg #3 (Trust)	A	Interest	J	T					
217. Crown Classic Chkg Acct (DK)	A	Interest	J	T					
218. Crown Classic Chkg Acct #2 (Joint)	A	Interest	J	T					
219. John Hancock Whole Life	C	Dividend	M	T					
220. Northwestern Mutual Life Ins Policy (Whole life)	C	Dividend	M	T					
221. USAA (Savings Acct) (DK)	A	Interest			Sold	12/12/17	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Louise A. LaMothe**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544