

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MOSS, RANDOLPH D.	2. Court or Organization U.S DISTRICT COURT, DISTRICT OF COLUMBIA	3. Date of Report 11/15/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES DISTRICT JUDGE, ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☒ Amended Report	
7. Chambers or Office Address E. BARRETT PRETTYMAN UNITED STATES COURTHOUSE 333 CONSTITUTION AVENUE, NORTHWEST WASHINGTON D.C. 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. LIMITED PARTNER	S.C. FUNDAMENTAL PARTNERSHIP
2. ADVISOR	AMERICAN LAW INSTITUTE
3. BOARD MEMBER	THE HISTORICAL SOCIETY OF THE D.C. CIRCUIT
4. TUTOR	J.O. WILSON ELEMENTARY SCHOOL
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

MOSS, RANDOLPH D.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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Name of Person Reporting

MOSS, RANDOLPH D.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. CHASE	CREDIT CARD OUSTANDING BALANCE AS OF 12/31/2020	J
2.		
3.		
4.		
5.		

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Name of Person Reporting

MOSS, RANDOLPH D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. FIDELITY BROKERAGE ACCOUNT #1									
2. FIDELITY MONEY MARKET PREMIUM CLASS (FZDXX)	A	Interest			Sold	03/17/20	J	A	
3. FIDELITY TREASURY MONEY MARKET (FZFXX)	A	Interest	J	T					
4.									
5. FIDELITY REVOCABLE TRUST ACCOUNT									
6.									
7. FIDELITY TAX EXEMPT MONEY MARKET PREMIUM CLASS (FZEXX)	A	Interest			Sold	03/17/20	N	A	
8. FIDELITY TREASURY MONEY MARKET (FZFXX)	A	Interest	O	T					
9. VANGUARD INTERMEDIATE TERM TAX-EXEMPT FUND (VWIUX)	E	Dividend	P1	T					
10. SPDR BLOOMBERG BARCLAYS 1-3 MONTH T-BILL ETF (BIL)	C	Dividend			Sold	06/11/20	P1	A	
11. FIDELITY 500 INDEX FUND (FXAIX)	E	Dividend	P1	T					
12. FIDELITY TOTAL MARKET INDEX FUND (FSKAX)	E	Dividend	P1	T					
13. FIDELITY TOTAL MARKET INDEX FUND (FSKAX)					Sold (part)	07/16/20	M	E	
14. FIDELITY TOTAL MARKET INDEX FUND (FSKAX)					Sold (part)	08/03/20	N	G	
15. SPDR TR UNIT SER 1 ETF (SPY)	D	Dividend	O	T					
16. I-SHARES 0-3 MONTH TREASURY BOND ETF (SGOV) (X)	A	Dividend	P1	T	Buy	06/11/20	P1		
17.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

MOSS, RANDOLPH D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. FIDELITY REVOCABLE TRUST ACCOUNT									
19.									
20. FIDELITY TREASURY MONEY MARKET (FZFX)	A	Interest	P1	T					
21. BLACKROCK MUNICIPAL STRATEGIC OPPORTUNITIES FUND (MAMTX)	D	Dividend			Sold	03/23/20	P1		
22. GOLDMAN SACHS DYNAMIC MUNICIPAL INCOME FUND (GSMTX)	C	Dividend			Sold	03/24/20	O		
23. VANGUARD LIMITED TERM T/E FUND (VMLUX)	A	Dividend	K	T					
24. SPDR BLOOMBERG BARCLAYS 1-3 MONTH (BIL) T-BILL ETF	A	Dividend			Sold	06/11/20	N		
25. EXXON MOBIL CORP COMMON STOCK (XOM)	C	Dividend	K	T					
26. FIDELITY CONTRAFUND (FCNTX)	E	Dividend	O	T	Sold (part)	07/21/20	M	F	
27. GLENMEDE LARGE CAP CORE INSTITUTIONAL FUND (GTLIX)	A	Dividend			Sold	03/30/20	O		
28. ISHARES RUSSELL 1000 VALUE ETF (IWD)	B	Dividend			Sold	04/09/20	M		
29. SPDR S&P 400 ETF (MDY)	C	Dividend			Sold	04/02/20	M	E	
30. VANGUARD 500 INDEX FUND (VFIAX)	D	Dividend	N	T					
31. ISHARES CORE S&P TOTAL U.S. STOCK MARKET ETF (ITOT)	C	Dividend			Sold (part)	04/23/20	M		
32. ISHARES CORE S&P TOTAL U.S. STOCK MARKET ETF (ITOT)					Sold	07/24/20	N	E	
33. VANGUARD INT TRM T/E ADM FD (VWIUX) (X)	B	Dividend	M	T	Buy	08/18/20	M		
34. ISHARES RUSSELL 1000 GROWTH FUND (IWF) (X)	C	Dividend	O	T	Buy	04/02/20	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. ISHARES RUSSELL 1000 GROWTH FUND (IWF) (X)					Buy (add'l)	04/09/20	M		
36. ISHARES ULTRA SHORT TERM BOND ETF (ICSH) (X)	A	Dividend	M	T	Buy	08/18/20	M		
37. ISHARES 0-3 MONTH TREASURY BOND ETF (SGOV) (X)	A	Dividend	N	T	Buy	06/11/20	N		
38. JP MORGAN ULTRA SHORT INCOME ETF (JPST) (X)	C	Dividend	O	T	Buy	06/24/20	O		
39. JP MORGAN ULTRA SHORT INCOME ETF (JPST) (X)					Buy (add'l)	08/18/20	M		
40. FIDELITY 500 INDEX FUND (FXAIX)	D	Dividend	J	T	Buy (add'l)	03/30/20	N		
41. FIDELITY 500 INDEX FUND (FXAIX)					Sold (part)	07/21/20	M	E	
42. FIDELITY 500 INDEX FUND (FXAIX)					Sold	08/10/20	N	F	
43.									
44. FIDELITY IRA ROLLOVER #1									
45. FIDELITY CASH RESERVES	A	Interest	J	T					
46. FIDELITY MONEY MARKET PREMIUM CLASS (FZDXX)	A	Interest			Sold	03/17/20	J	A	
47. FIDELITY 500 INDEX FUND (FXAIX)	A	Dividend	J	T					
48. ISHARES CORE S&P TOTAL U.S. STOCK MARKET ETF (ITOT)	A	Dividend	J	T					
49.									
50. FIDELITY IRA ROLLOVER #2									
51. FIDELITY CASH RESERVES	A	Interest	O	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. FIDELITY MONEY MARKET PREMIUM (FZDXX)	B	Interest			Buy	02/07/20	N		
53. FIDELITY MONEY MARKET PREMIUM (FZDXX)					Sold	03/17/20	O	A	
54. SPDR BLOOMBERG BARCLAYS 1-3 MONTH T-BILL ETF	C	Dividend			Sold	06/11/20	O		
55. FIDELITY 500 INDEX FUND (FXAIX)	B	Dividend	K	T	Sold (part)	02/07/20	N	G	
56. FIDELITY 500 INDEX FUND (FXAIX)					Sold (part)	08/18/20	M	E	
57. FIDELITY CONTRAFUND (FCNTX)	D	Dividend	M	T	Sold	10/14/20	K	E	
58. FIDELITY TOTAL MARKET INDEX FUND (FSKAX)	B	Dividend	M	T	Sold (part)	08/18/20	M	E	
59. ISHARES CORE S&P TOTAL U.S. STOCK MARKET ETF (ITOT)	B	Dividend	K	T	Sold (part)	06/02/20	N	E	
60. ISHARES RUSSELL 1000 VALUE ETF (IWD)	B	Dividend			Sold	04/09/20	M		
61. ISHARES TR S&P MIDCAP 400 (IJH)	A	Dividend			Sold	04/02/20	L		
62. ISHARES RUSSELL 1000 GROWTH FUND ETF (IWF) (X)	B	Dividend	M	T	Buy	04/02/20	L		
63. ISHARES RUSSELL 1000 GROWTH FUND ETF (IWF) (X)					Buy (add'l)	04/09/20	M		
64. ISHARES RUSSELL 1000 GROWTH FUND ETF (IWF) (X)					Sold	10/14/20	M	F	
65. ISHARES ULTRA SHORT TERM BOND ETF (ICSH) (X)	C	Dividend	O	T	Buy	06/24/20	O		
66. ISHARES 0-3 MONTH TREASURY BOND ETF (SGOV) (X)	A	Dividend	O	T	Buy	06/11/20	O		
67.									
68. IBM COMMON STOCK	A	Dividend	K	T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. CHEVRON COMMON STOCK	A	Dividend	J	T					
70. UTAH 529 EDUCATIONAL SAVINGS PLAN AGE-BASED MODERATE	A	Dividend	L	T					
71. COLLEGE SAVINGS PLAN OF MARYLAND #1 - PORTFOLIO FOR COLLEGE	A	Int./Div.	K	T					
72. COLLEGE SAVINGS PLAN OF MARYLAND #2 - PORTFOLIO FOR COLLEGE	A	Int./Div.	J	T					
73. CITIBANK CASH ACCOUNTS	A	Interest	M	T					
74. SC FUNDAMENTAL PARTNERSHIP	A	Int./Div.	J	U					
75. NEWMONT MINING CORPORATION COMMON STOCK	A	Dividend	J	T					
76. SOURCE CAPITAL INC. COMMON STOCK	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Lines 71-72

The Line 72 entry "College Savings Plan of Maryland #2" was fully distributed during the 2020 year and used for educational purposes. This account had a zero balance as of 12/31/2020 and will be removed from future annual filings. We have marked "A" in column B1 for income during the reporting period for these entries. These two entries are 529 plans from which no reportable income information was recieved by filer in 2020. Based on the published asset allocations for these plans (listed below) it is likely that there was some amount of interest and dividends paid by the underlying fund holdings. Filer has estimated this amount to be within the category A income level (\$0-\$1,001) based on the size of the 529 plans at year end. Both plans were invested in the "Portfolio for Education Today" allocation which held the following T. Rowe Price investments as of 3/31/2021:

US Stock: 17.61%

Foreign Stock: 2.39%

Real Estate: 0%

Bond: 80%

Short Term Bond: 0%

Cash: 0%

Part VII

Prior year entry for Virginia parcel of Real Property has been removed from this report. This property is still owned but did not produce rental income in 2020.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ RANDOLPH D. MOSS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544