

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mullin, Mark X.	2. Court or Organization U.S. Bankruptcy Court, Northern District of Texas	3. Date of Report 11/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☒ Amended Report	
7. Chambers or Office Address Eldon B. Mahon U.S. Courthouse 501 W. 10th Street, Rm. 147 Fort Worth, TX 76102-3643		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2014	Haynes and Boone LLP Partners' Retirement Plan (frozen), no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 04/21/2020	LexisNexis - (2020 Collier Handbook)	\$3,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Fifth Circuit Bkr. Bench Bar Conference - Center for American and International Law	2/5/2020 - 2/7/2020	New Orleans, LA	Speaker at Conference	Hotel, Travel Expenses
2.	American Bankruptcy Institute and St. John's University	3/1/2020 - 3/3/2020	New York, NY	Judge for Duberstein National Moot Court Competition	Hotel, Travel Expenses
3.					
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Cisco Systems, Inc. Common Stock	A	Dividend	J	T					
2. Minnesota Life - Adjustable Life Insurance	A	Dividend	J	T					
3. Bank of America - Cash Account		None	J	T					
4. Ameriprise Brokerage Account - AIMMA- tax qualified Retirement Account	A	Int./Div.	K	T					
5. Ameriprise Trust Company as Custodian for the Mark X Mullin IRA - (H)									
6. - Western Core PL Bond (risk tolerance Conservative/Moderate)	A	Int./Div.	O	T	Distributed (part)	06/03/20	L	A	
7.					Distributed (part)	07/20/20	L	A	
8. - Santa Barbara DG (risk tolerance Moderate/Aggressive)	A	Int./Div.	N	T					
9. - Lazard IA (risk tolerance Moderate/ Aggressive)	A	Int./Div.	M	T					
10. - Federated SV (risk tolerance Moderate/ Aggressive)	A	Int./Div.	M	T					
11. - Kayne Andrsn RDNK SC (risk tolerance Moderate/Aggressive)	A	Int./Div.	M	T					
12. Schwab One - Cash Account (X)	A	Int./Div.	M	T	Open	12/07/20	M		Inheritance
13.									
14.									
15.									
16.									
17.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts - lines 5-11. The IRA accounts listed in Part VII, lines 5-11 constitute IRA accounts that are controlled by third parties (each specifically identified in lines 6-11). The IRA accounts are held by Ameriprise Trust Company as custodian for the Mark X Mullin IRA (as identified in line 5). I do not own the underlying assets in the IRA accounts listed in lines 6-11 and I cannot control the selection of assets in these IRA accounts. I can only choose a general category of risk (conservative/moderate/aggressive) to be applied overall by Ameriprise Trust Company as custodian for the Mark X. Mullin IRA. Therefore, Column A for lines 6-11 only lists the name of the third party (and applicable category of risk) that controls the identified IRA account. Because the IRA accounts are controlled by the identified third parties and I do not have control over the selection of assets contained within these IRA accounts, pursuant to the instructions on page 39 of the filing instructions, the underlying assets that may be held in any of the IRA accounts on any given day are not specifically identified on Part VII.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mark X. Mullin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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