

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Netburn, Sarah	2. Court or Organization U.S. District Court for the Southern District of New York	3. Date of Report 08/18/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full Time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Thurgood Marshall Courthouse 40 Foley Square, Room 430 New York, New York 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Netburn, Sarah

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Cuti Hecker Wang LLP -- salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Columbia Aggressive Growth NY 529 Portfolio Class A	D	Int./Div.	N	T					
2.									
3. IRA #1 Rollover; (H)									
4. - iShares S&P 500 Growth ETF	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
5.					Buy (add'l)	03/25/20	J		
6.					Buy (add'l)	06/04/20	J		
7. - iShares S&P 500 Value ETF	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
8.					Buy (add'l)	03/25/20	J		
9. - Vanguard FTSE All World Ex-US ETF	A	Dividend			Buy (add'l)	01/02/20	J		
10.					Sold	03/25/20	J		
11. - Vanguard FTSE Emerging Markets ETF	A	Dividend			Buy (add'l)	01/02/20	J		
12.					Sold	03/25/20	J		
13. - Vanguard Index Funds Vanguard Mid Cap ETF	A	Dividend			Sold (part)	01/02/20	J		
14.					Sold	03/25/20	J		
15. - Vanguard Index Funds Vanguard Small Cap ETF	A	Dividend			Sold (part)	01/02/20	J		
16.					Sold	03/25/20	J		
17. - iShares U.S. Financials ETF	A	Dividend			Sold (part)	01/02/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold	03/25/20	J		
19. - SPDR Gold Shares ETF	A	Dividend	J	T	Sold (part)	01/02/20	J		
20.					Sold (part)	03/25/20	J		
21. - Biotech Index FD ETF	A	Dividend	J	T	Sold (part)	01/02/20	J		
22.					Sold (part)	03/25/20	J		
23. - iShares U.S. Heathcare ETF	A	Dividend			Buy	01/02/20	J		
24.					Sold (part)	03/25/20	J		
25.					Sold	08/07/20	J		
26. - iShares Core MSCI Emerging Markets ETF	A	Dividend	J	T	Buy	01/02/20	J		
27. - iShares Core S&P MidCap ETF	A	Dividend	J	T	Buy	03/25/20	J		
28. - iShares MSCI EAFE ETF	A	Dividend	J	T	Buy	03/25/20	J		
29. - Schwab U.S. SmallCap ETF	A	Dividend	J	T	Buy	03/25/20	J		
30. - Technology Select Sector SPDR ETF	A	Dividend	J	T	Buy	03/25/20	J		
31. - Industrial Select Sector SPDR Fund ETF	A	Dividend	J	T	Buy	06/11/20	J		
32.					Buy (add'l)	06/15/20	J		
33. - First Trust Indxx Nextg ETF	A	Dividend	J	T	Buy	08/07/20	J		
34.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.									
36. Account (H)									
37. UBS Bank USA Deposit Account	A	Interest	N	T					
38.									
39.									
40. ROTH IRA # 2; (H)									
41. - UBS Bank USA Deposit Account	A	Interest	J	T					
42. - Eaton Vance Richard Bernstein Equity	A	Dividend	J	T					
43.									
44.									
45. IRA #3 ETF4; (H)									
46. - iShares S&P 500 Growth ETF	A	Dividend	J	T	Sold (part)	01/02/20	J		
47.					Sold (part)	03/04/20	J		
48.					Buy (add'l)	03/25/20	J		
49.					Sold (part)	06/04/20	J		
50. - iShares S&P 500 Value ETF	A	Dividend	J	T	Sold (part)	01/02/20	J		
51.					Buy (add'l)	03/04/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

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52.					Buy (add'l)	03/25/20	J		
53. - iShares U.S. Treas Bond ETF	A	Dividend	J	T	Sold (part)	01/02/20	J		
54.					Sold (part)	03/04/20	J		
55.					Buy (add'l)	03/25/20	J		
56. - iShares 1-3 Treas Bond	A	Dividend	J	T	Sold (part)	01/02/20	J		
57.					Sold (part)	03/04/20	J		
58.					Sold (part)	03/25/20	J		
59. - Vanguard FTSE Emerging Markets ETF	A	Dividend			Buy (add'l)	01/02/20	J		
60.					Sold (part)	03/04/20	J		
61.					Sold	03/25/20	J		
62. - Vanguard Index Funds Vanguard Mid Cap ETF DE	A	Dividend			Buy (add'l)	03/04/20	J		
63.					Sold	03/25/20	J		
64. - Vanguard Index Funds Vanguard Small Cap ETF DE Sol	A	Dividend			Buy (add'l)	01/02/20	J		
65.					Buy (add'l)	03/04/20	J		
66.					Sold	03/25/20	J		
67. - Vanguard FTSE All World Ex-US ETF	A	Dividend			Buy (add'l)	01/02/20	J		
68.					Buy (add'l)	03/04/20	J		

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69.					Sold	03/25/20	J		
70. - Vanguard Short Term Corporate BD ETF DE	A	Dividend	J	T	Sold (part)	01/02/20	J		
71.					Sold (part)	03/04/20	J		
72.					Sold (part)	03/25/20	J		
73.					Sold (part)	08/11/20	J		
74. - iShares U.S. Financials	A	Dividend			Sold (part)	01/02/20	J		
75.					Buy (add'l)	03/04/20	J		
76.					Sold	03/25/20	J		
77. - First Trust Enhanced Short Mat Fund	A	Dividend	J	T	Sold (part)	01/02/20	J		
78.					Sold (part)	03/04/20	J		
79.					Sold (part)	03/25/20	J		
80. - iShares iBoxx \$ Investment Grade Corporate Bond ETF	A	Dividend	J	T	Sold (part)	01/02/20	J		
81.					Sold (part)	03/04/20	J		
82.					Sold (part)	03/25/20	J		
83. - First Trust Low Duration Mortgage Opportunities EFT	A	Dividend	J	T	Sold (part)	01/02/20	J		
84.					Sold (part)	03/04/20	J		
85.					Buy (add'l)	03/25/20	J		

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2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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86. - SPDR Gold Shares ETF (GLD-448FQ1)	A	Dividend	J	T	Sold (part)	01/02/20	J		
87.					Sold (part)	03/04/20	J		
88.					Sold (part)	03/25/20	J		
89. - Biotech Index FD ETF	A	Dividend	J	T	Sold (part)	01/02/20	J		
90.					Sold (part)	03/04/20	J		
91.					Sold (part)	03/25/20	J		
92. - Schwab US TIPS ETF	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
93.					Sold (part)	03/04/20	J		
94.					Buy (add'l)	03/25/20	J		
95. - Invesco Emerging Markets Sovereign Debt ETF	A	Dividend			Buy	01/02/20	J		
96.					Buy (add'l)	03/04/20	J		
97.					Sold	03/25/20	J		
98. - iShares U.S. Healthcare ETF	A	Dividend			Buy	01/02/20	J		
99.					Sold	08/07/20	J		
100. - iShares Broad USD High Yield Bond ETF	A	Dividend			Buy	03/04/20	J		
101.					Sold	03/20/20	J		
102. - iShares Core MSCI Emerging Markets ETF	A	Dividend	J	T	Buy	03/25/20	J		

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103. - iShares Core S&P Mid Cap ETF	A	Dividend	J	T	Buy	03/25/20	J		
104. - iShares MSCI EAFE ETF	A	Dividend	J	T	Buy	03/25/20	J		
105. - Schwab U.S. Small Cap ETF	A	Dividend	J	T	Buy	03/25/20	J		
106. - Technology Select Sector SPDR ETF	A	Dividend	J	T	Buy	03/25/20	J		
107. - Industrial Select Sector SPDR Fund ETF	A	Dividend	J	T	Buy	06/11/20	J		
108.					Buy (add'l)	06/15/20	J		
109. - First Trust Indxx Nextg ETF	A	Dividend	J	T	Buy	08/07/20	J		
110. - JP Morgan Ultra-Short Income ETF	A	Dividend	J	T	Buy	08/11/20	J		
111.									
112.									
113. Joint Int Muni Acct; EX 									
114. - NEW YORK ST DORM AUTH ST RV BE/R/ 5 DUE 031539 DTD 062819 CALLABLE F	B	Interest	L	T	Buy	02/06/20	L		
115. - BATTERY PK CITY NY SR B RV BE/R/ 5 DUE 110138 DTD 080619 CALLABLE FC	A	Interest	L	T	Buy	02/11/20	L		
116. - METROPOLITAN TRANSN AUTH RV NTS BE/R/ 4 DUE 020122 DTD 010820 FC 051	A	Interest	J	T	Buy	02/11/20	K		
117.					Sold (part)	04/27/20	K		
118. - TRIBOROUGH BRDG & TUNL A RV LOC CITIB BE/R/ .98 110132 DTD 103018 CA	A	Interest	L	T	Buy	02/27/20	L		

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Name of Person Reporting

Netburn, Sarah

Date of Report

08/18/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
119. - NEW YORK ST DORM AUTH RE SR A RV BE/R/ 5 DUE 070130 DTD 040220 FC 07	A	Interest	L	T	Buy	03/12/20	L		
120. - NEW YORK ST DORM AUTH ST RV BE/R/ 5 DUE 021530 DTD 010320 FC 081520	A	Interest	K	T	Buy	03/18/20	K		
121. - NASSAU CNTY NTS BE/R/ 2 DUE 091420 DTD 121219 FC 091420 1.759% YIELD	A	Int./Div.			Buy	04/08/20	L		
122.					Redeemed	09/14/20	L		
123. - NEW YORK NY CITY TRANSI C-1 RV BE/R/ 5 DUE 050133 DTD 052020 CALLABL	A	Interest	L	T	Buy	05/14/20	L		
124. - WESTCHESTER CNTY NY BE/R/ 5 DUE 121527 DTD 121819 FC 121520 0.900% Y	B	Interest	L	T	Buy	05/14/20	L		
125. - NEW YORK CITY TRANSITION SR B BE/R/ 5 DUE 020121 DTD 082802 0.199% Y	A	Interest	K	T	Buy	05/27/20	K		
126. - LONG IS PWR AUTH NY ELE SR A RV BE/R/ 5 DUE 090132 DTD 082020 CALLAB	A	Interest	K	T	Buy	08/07/20	K		
127.									
128.									
129. Joint PMP Notes Acct; 									
130. - BOFA FINANCE LLC L/O TA-CYN NDX XSPDSX 02/17/2023	A	Interest			Buy	02/14/20	J		
131.					Redeemed	11/18/20	J		
132. - JPMORGAN CHASE NA BAR MLCD SPX 02/28/2022	A	Interest	K	T	Buy	02/25/20	K		
133. - CITIGROUP GLOBAL MARKETS L/O TA CYN SPX SOLEW 02/28/2030	A	Interest	K	T	Buy	02/26/20	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Netburn, Sarah

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
134. - ROYAL BANK OF CANADA L/O TA-CYN NDX RTY 03/09/2023	A	Interest			Buy	03/06/20	J		
135.					Redeemed	09/10/20	K		
136. - BOFA FINANCE LLC TA-CYN SPX 03/17/2022	A	Interest			Buy	03/13/20	J		
137.					Redeemed	09/16/20	J		
138. - BARCLAYS BANK PLC TC-YN SPX 06/30/2021 7.50%	A	Interest			Buy	06/24/20	K		
139.					Redeemed	09/28/20	K		
140. - BOFA FINANCE LLC L/O TA-CYN MDY SPY 07/06/2022	A	Interest	K	T	Buy	07/01/20	K		
141. - CREDIT SUISSE AG L/O TA-CYN DJI RTY 07/15/2024	A	Interest	K	T	Buy	07/10/20	K		
142. - MORGAN STANLEY FINANCE TA-CYN MDY 07/21/2022	A	Interest	K	T	Buy	07/17/20	K		
143. - GS FINANCE CORP TC-YN MDY 07/27/2021 6.90%	A	Interest			Buy	07/22/20	K		
144.					Redeemed	10/27/20	K		
145. - CREDIT SUISSE AG TC-YN SPX 08/04/2021 7.10%	A	Interest			Buy	07/31/20	K		
146.					Redeemed	12/02/20	K		
147. - MORGAN STANLEY FINANCE L/O TA-CYN RTY SPX 08/10/2023	A	Interest	K	T	Buy	08/07/20	K		
148. - MORGAN STANLEY FINANCE TA-CYN RSP 08/17/2022	A	Interest			Buy	08/12/20	K		
149.					Redeemed	11/16/20	K		
150. -GS FINANCE CORP TC-YN SPX 09/21/2021 7.00%	A	Interest			Buy	09/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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08/18/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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151.					Redeemed	12/21/20	J		
152. - BANK OF NOVA SCOTIA TA-CYN SPX 09/21/2023	A	Interest			Buy	09/18/20	J		
153.					Redeemed	12/22/20	J		
154. - BARCLAYS BANK PLC L/O TA-CYN NDX SPX 10/06/2022	A	Interest	K	T	Buy	10/02/20	K		
155. - MORGAN STANLEY FINANCE L/O TACYN NDX RTY 11/16/2023	A	Interest	K	T	Buy	11/13/20	K		
156. - CITIGROUP GLOBAL MARKETS L/O TACYN SOLEW SPX 11/29/2030	A	Interest	K	T	Buy	11/25/20	K		
157. - CITIGROUP GLOBAL MARKETS L/O TACYN EEM IWM 12/09/2025	A	Interest	K	T	Buy	12/04/20	K		
158. - MORGAN STANLEY FINANCE L/O TACYN NDX RTY 12/16/2025	A	Interest	K	T	Buy	12/11/20	K		
159. - GS FINANCE CORP L/O TA-CYN NDX RTY 12/29/2023	A	Interest	K	T	Buy	12/29/20	K		
160.									
161.									
162. Acct [REDACTED]									
163. - UBS Portfolio Management Program -- Moderate Risk Profile	A	Int./Div.			Buy	02/04/20	L		
164.					Sold	06/19/20	L		
165.									
166.									
167. Acct [REDACTED]									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
168. - UBS Portfolio Management Program -- Moderate Risk Profile	A	Int./Div.			Buy	02/04/20	L		
169.					Sold	06/19/20	L	C	
170.									
171.									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Netburn, Sarah

Date of Report

08/18/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Account listed as "IRA #4 (H)" in my 2019 Report was closed before 2020. Accounts EX [REDACTED] and EX [REDACTED] were opened in 2020 and closed in 2020.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Netburn, Sarah

Date of Report

08/18/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sarah Netburn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544