

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'Toole, George A.	2. Court or Organization U.S. District Court	3. Date of Report 05/15/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address John Joseph Moakley United States Courthouse 1 Courthouse Way, Suite 7-130 Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Contract employee, Massachusetts Department of Public Health
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Antonin Scalia Law School Global Antitrust Institute	10/18/2020 to 10/23/2020	Scottsdale AZ	Educational seminar	Travel, lodging, and meals
2. George Mason University Law & Economics Center	11/11/2020 to 11/14/2020	San Antonio TX	Educational seminar	Travel, lodgin, and meals
3.				
4.				
5.				

Name of Person Reporting	Date of Report
O'Toole, George A.	05/15/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Abbott Labs	A	Dividend	K	T	Buy	01/08/20	J		
2.					Buy (add'l)	01/15/20	J		
3.					Buy (add'l)	03/13/20	J		
4.					Buy (add'l)	07/27/20	J		
5.					Sold (part)	01/08/20	J	A	
6. Abbvie	A	Dividend	J	T	Buy	02/27/20	J		
7.					Buy (add'l)	03/26/20	J		
8.					Buy (add'l)	05/22/20	J		
9. Accenture PLC Ireland Cl A	A	Dividend	K	T	Sold (part)	01/02/20	J	B	
10.					Sold (part)	01/08/20	J	A	
11.					Sold (part)	06/18/20	J	B	
12. Adobe Systems		None	J	T	Sold (part)	01/02/20	J	A	
13.					Sold (part)	01/08/20	J	A	
14.					Sold (part)	06/18/20	J	B	
15. Air Prodoucts & Chemicals	A	Dividend	J	T	Buy	01/31/20	J		
16.					Buy (add'l)	02/03/20	J		
17.					Buy (add'l)	02/04/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	02/05/20	J		
19.					Buy (add'l)	07/27/20	J		
20.					Sold (part)	06/26/20	J		
21.					Sold (part)	12/30/20	J		
22. Align Technology		None	J	T	Sold (part)	01/08/20	J		
23.					Sold (part)	08/24/20	J		
24. Allergan	A	Dividend			Sold (part)	01/02/20	J		
25.					Sold (part)	03/30/20	J	A	
26.					Sold (part)	03/31/20	J	A	
27.					Sold	05/11/20	J	A	
28. Alphabet Inc. Cl A		None	J	T	Sold (part)	01/02/20	J	A	
29. Alphabet Inc. Cl C		None	K	T	Sold (part)	06/18/20	J	A	
30. Altria Group	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
31.					Buy (add'l)	03/13/20	J		
32.					Buy (add'l)	07/27/20	J		
33.					Sold (part)	01/08/20	J		
34.					Sold (part)	06/26/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold (part)	12/30/20	J		
36. AMC Networks		None	J	T	Buy (add'l)	01/31/20	J		
37.					Buy (add'l)	07/27/20	J		
38.					Sold (part)	01/02/20	J		
39.					Sold (part)	06/26/20	J		
40. American Cap World Gr & Inc F2	A	Dividend	J	T					
41. American Express	A	Dividend	J	T	Buy (add'l)	01/10/20	J		
42. Anthem Inc.	A	Dividend	J	T					
43. Apple	A	Dividend	K	T	Buy (add'l)	01/02/20	J		
44.					Sold (part)	02/03/20	J	A	
45.					Sold (part)	07/23/20	J	C	
46.					Sold (part)	09/01/20	J	C	
47. AT&T	A	Dividend	J	T					
48. Autodesk Inc.		None	K	T	Sold (part)	01/02/20	J	C	
49.					Buy (add'l)	03/16/20	J		
50.					Buy (add'l)	03/17/20	J		
51.					Buy (add'l)	06/08/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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52.					Buy (add'l)	06/09/20	J		
53. Automatic Data Processing	A	Dividend			Sold (part)	01/02/20	J	A	
54.					Sold (part)	01/08/20	J	A	
55.					Sold (part)	08/05/20	J	A	
56.					Sold (part)	08/06/20	J	B	
57.					Sold	08/07/20	J	A	
58. Avalon Bay Communiities	A	Dividend			Buy	01/22/20	J		
59.					Sold	09/14/20	J		
60. Bank of America deposit accounts	A	Interest	J	T					
61. Becton Dickinson	A	Dividend			Sold (part)	01/10/20	J	A	
62.					Sold (part)	04/06/20	J	A	
63.					Sold	05/22/20	J	A	
64. Berkshire Hathaway Cl B		None	J	T	Buy (add'l)	01/02/20	J		
65.					Buy (add'l)	07/23/20	J		
66.					Buy (add'l)	07/27/20	J		
67.					Sold (part)	06/26/20	J		
68. Biogen		None	J	T	Buy (add'l)	01/31/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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69.					Buy (add'l)	07/27/20	J		
70.					Sold (part)	01/02/20	J		
71.					Sold (part)	06/26/20	J		
72.					Sold (part)	12/30/20	J		
73. Blackrock Inc.	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
74. Blackrock High Yield Fund	A	Dividend			Sold	01/02/20	J		
75. Blackrock Low Dur Bd Inv Inst	B	Dividend	L	T	Buy (add'l)	01/03/20	J		
76.					Buy (add'l)	01/13/20	J		
77.					Buy (add'l)	12/10/20	J		
78.					Sold (part)	01/08/20	J		
79. Blackrock Multi-Asset	A	Dividend			Sold	01/02/20	J		
80. Blackstone Alt Multi Strat Inst		None	K	T	Buy	01/02/20	J		
81. Booking Holdings, Inc.		None			Sold (part)	01/08/20	J	A	
82.					Sold	03/20/20	J		
83. Broadcom	A	Dividend	J	T	Buy (add'l)	03/19/20	J		
84.					Sold (part)	01/02/20	J	B	
85.					Sold (part)	06/18/20	J	B	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
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P3 =\$25,000,001 - \$50,000,000
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K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

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H1 =\$1,000,001 - \$5,000,000
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P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
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H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. Calamos Market Neutral Inc I	A	Dividend	M	T	Buy (add'l)	01/02/20	L		
87.					Buy (add'l)	01/03/20	J		
88.					Buy (add'l)	12/10/20	J		
89.					Sold (part)	01/08/20	J		
90. Carnival CP New Paired	A	Dividend			Buy (add'l)	01/02/20	J		
91.					Buy (add'l)	01/30/20	J		
92.					Sold (part)	01/08/20	J		
93.					Sold	03/13/20	J		
94. Center Coast MLP Focus		None			Sold	01/02/20	K		
95. Cerence Inc.		None	J	T	Sold (part)	01/02/20	J	A	
96.					Buy (add'l)	01/31/20	J		
97. Charles Schwab New	A	Dividend	J	T	Buy	01/10/20	J		
98. Chevron common	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
99.					Buy (add'l)	05/04/20	J		
100.					Buy (add'l)	07/27/20	J		
101.					Sold (part)	06/26/20	J		
102.					Sold (part)	12/30/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2021

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Chubb Ltd	A	Dividend	J	T					
104. Cincinnati Financial Ohio	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
105. Cisco Systems	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
106.					Buy (add'l)	11/20/20	J		
107.					Sold (part)	01/08/20	J		
108. Citrix Systems Inc.	A	Dividend	J	T	Buy (add'l)	09/08/20	J		
109.					Sold (part)	01/02/20	J	A	
110.					Sold (part)	12/30/20	J		
111. Clearbridge Aggressive Growth (SAGYX)	B	Dividend			Sold	01/02/20	K		
112. CME Group	A	Dividend	J	T	Sold (part)	01/10/20	J	A	
113. Coca Cola Co.	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
114.					Buy (add'l)	07/07/20	J		
115.					Sold (part)	06/26/20	J		
116. Cohen & Steers Real Estate Securities (CSDIX)	A	Dividend	K	T	Sold (part)	12/17/20	J		
117. Cogate Palmolive	A	Dividend	J	T	Buy (add'l)	11/20/20	J		
118. Comcasst (New) Cl A	A	Dividend	K	T	Buy (add'l)	12/10/20	J		
119.					Sold (part)	01/02/20	J	B	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Cree Research		None	J	T	Sold (part)	01/02/20	J	A	
121.					Sold (part)	06/18/20	J	A	
122. Crown Castle Intl Corp	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
123. CVS Health Corp	A	Dividend	K	T					
124. CyrusOne, Inc.	A	Dividend	J	T	Buy (add'l)	09/14/20	J		
125.					Sold (part)	02/19/20	J	A	
126. Delta Airlines	A	Dividend			Buy	01/02/20	J		
127.					Sold (part)	01/08/20	J		
128.					Sold	05/04/20	J		
129. Diageo ADR	A	Dividend	J	T	Buy (add'l)	01/03/20	J		
130.					Buy (add'l)	07/27/20	J		
131.					Buy (add'l)	09/30/20	J		
132.					Sold (part)	06/26/20	J		
133. Discovery Communications Ser.A		None	J	T	Buy (add'l)	05/06/20	J		
134.					Buy (add'l)	05/14/20	J		
135.					Buy (add'l)	07/27/20	J		
136.					Sold (part)	01/02/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Sold (part)	06/26/20	J		
138. Dolby Cl A	A	Dividend	J	T	Sold (part)	01/02/20	J	A	
139. Dollar General Corp.	A	Dividend	J	T	Sold (part)	01/02/20	J	A	
140.					Sold (part)	01/08/20	J	A	
141. Dominion Resources	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
142.					Sold (part)	01/08/20	J		
143.					Sold (part)	12/30/20	J		
144. Duke Realty	A	Dividend	J	T	Buy	10/30/20	J		See note
145.					Buy (add'l)	11/02/20	J		
146.					Buy (add'l)	11/03/20	J		
147.					Buy (add'l)	11/20/20	J		
148. Enterprise Bancorp common	B	Dividend			Sold (part)	07/24/20	K	D	
149.					Sold (part)	07/27/20	K	C	
150.					Sold	07/28/20	J		
151. Exxon Mobile common	A	Dividend	J	T					
152. Facebook Cl A		None	K	T	Buy (add'l)	03/19/20	J		
153.					Sold (part)	01/08/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. Fastenal	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
155.					Sold (part)	01/08/20	J		
156.					Sold (part)	08/31/20	J	A	
157.					Sold (part)	09/01/20	J	A	
158. Fidelity National Information Services	A	Dividend	J	T					
159. FireEye		None	J	T	Buy (add'l)	03/17/20	J		
160.					Buy (add'l)	07/27/20	J		
161.					Sold (part)	01/02/20	J	A	
162.					Sold (part)	06/26/20	J		
163. Fluor Corp	A	Dividend	J	T	Sold (part)	01/02/20	J		
164. Franklin Resources, Inc.	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
165.					Buy (add'l)	01/31/20	J		
166.					Buy (add'l)	07/27/20	J		
167.					Sold (part)	01/08/20	J	A	
168.					Sold (part)	06/26/20	J		
169. Freeport-McMoran	A	Dividend	J	T	Buy (add'l)	01/31/20	J		
170.					Sold (part)	01/02/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	06/26/20	J		
172. Gartner Inc.		None	J	T	Sold (part)	01/02/20	J	A	
173.					Sold (part)	01/08/20	J		
174. GCI Liberty		None			Sold	01/02/20	J	A	
175. Guardant Health		None	J	T	Buy	02/27/20	J		
176.					Buy (add'l)	03/16/20	J		
177.					Buy (add'l)	07/27/20	J		
178. Harding Loevner Intl Equity	B	Dividend	N	T	Sold (part)	01/08/20	J	A	
179. Honeywell		None	J	T					
180. Illumina Inc.		None	J	T	Buy	10/08/20	J		
181.					Buy (add'l)	10/09/20	J		
182. Immunogen		None	J	T	Sold (part)	01/02/20	J		
183.					Sold (part)	06/26/20	J		
184. Ingersoll-Rand (Name change)					Sold (part)	03/10/20	J	A	See Part VIII note 3.
185. Intel	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
186.					Sold (part)	01/08/20	J		
187. International Flavors and Fragrances	A	Dividend	J	T	Buy (add'l)	05/22/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	09/11/20	J		
189. Intuitive Surgical		None	J	T	Buy	09/01/20	J		
190.					Buy (add'l)	09/02/20	J		
191. Invesco Premier Inst	A	Dividend	J	T	Buy (add'l)	12/17/20	J		
192. Ionis Pharmaceuticals		None	J	T	Buy (add'l)	01/31/20	J		
193.					Sold (part)	01/02/20	J	A	
194.					Sold (part)	12/30/20	J		
195. IQ Hedge Multi-Strat Tracker	A	Dividend	K	T	Sold (part)	01/02/20	K	B	
196. iShares Core S&P US Growth	A	Dividend	J	T	Buy (add'l)	06/26/20	J		
197.					Buy (add'l)	12/30/20	J		
198.					Sold (part)	01/31/20	J	A	
199.					Sold (part)	03/13/20	J	A	
200.					Sold (part)	07/27/20	J	A	
201. iShares Core S&P 500 ETF		None	J	T	Buy (add'l)	06/26/20	J		
202.					Buy (add'l)	12/30/20	J		
203.					Sold (part)	01/02/20	J	A	
204.					Sold (part)	01/31/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

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W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Sold (part)	07/27/20	J	A	
206. iShares Russell 1000		None			Sold	01/31/20	J		
207. Johnson & Johnson common	A	Dividend	K	T	Buy (add'l)	01/02/20	J		
208.					Buy (add'l)	07/23/20	J		
209.					Buy (add'l)	09/30/20	J		
210. Johnson Controls	A	Dividend	J	T	Sold (part)	01/02/20	J	A	
211. JP Morgan Chase & Co.	A	Dividend	J	T	Sold (part)	01/10/20	J	A	
212. Kinder Morgan	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
213.					Sold (part)	01/08/20	J		
214.					Sold (part)	05/04/20	J		
215. L-3 Technologies	A	Dividend	J	T	Sold (part)	01/02/20	J	B	
216.					Sold (part)	06/18/20	J	A	
217. Linde PLC	A	Dividend	J	T					
218. Lockheed Martin	A	Dividend	J	T					
219. Lord Abbett Sht Duration Inc (LDLFX)	B	Dividend	K	T	Buy (add'l)	12/17/20	J		
220. Lowes Companies	A	Dividend	K	T	Buy (add'l)	01/02/20	J		
221. Manulife Financial common	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. Marsh & McLennan	A	Dividend	J	T					
223. Mastercard , Inc. Cl A	A	Dividend	K	T	Sold (part)	01/02/20	J	A	
224.					Sold (part)	01/08/20	J	A	
225. McDonalds Corp	A	Dividend	J	T	Buy	04/06/20	J		
226.					Buy (add'l)	12/21/20	J		
227. Merger Fund	A	Dividend	J	T					
228. Medtronic	A	Dividend	J	T	Buy (add'l)	11/20/20	J		
229.					Sold (part)	01/02/20	J	A	
230.					Sold (part)	02/27/20	J		
231. Merck & Co.	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
232.					Buy (add'l)	03/30/20	J		
233.					Buy (add'l)	05/22/20	J		
234.					Sold (part)	01/08/20	J	A	
235. Metropolitan West Tot Ret Bd I (MWTIX)	D	Dividend	M	T	Buy (add'l)	01/02/20	K		
236.					Buy (add'l)	01/03/20	J		
237.					Buy (add'l)	01/13/20	J		
238.					Buy (add'l)	12/10/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

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B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Sold (part)	01/08/20	J		
240. Microsoft common	A	Dividend	K	T	Sold (part)	01/08/20	J	A	
241.					Sold (part)	03/26/20	J	A	
242.					Sold (part)	06/18/20	J	A	
243.					Sold (part)	06/26/20	J	A	
244.					Sold (part)	01/29/20	J	C	
245. Mondelez Intl Inc.	A	Dividend	J	T					
246. Morgan Stanley Bank cash/MM account	A	Interest	J	T					
247. Motorola Solutions	A	Dividend	J	T	Buy	06/22/20	J		
248.					Buy (add'l)	10/09/20	J		
249. MSCI Inc.	A	Dividend	J	T	Sold (part)	08/04/20	J	A	
250.					Sold (part)	08/05/20	J	A	
251. National Oilwell Varco	A	Dividend	J	T	Buy (add'l)	01/31/20	J		
252.					Buy (add'l)	07/27/20	J		
253.					Sold (part)	01/02/20	J		
254.					Sold (part)	06/26/20	J		
255. Nestle Spon ADR	A	Dividend	J	T	Buy (add'l)	03/13/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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O'Toole, George A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256.					Sold (part)	01/02/20	J	A	
257.					Sold (part)	01/08/20	J	A	
258.					Sold (part)	01/15/20	J	A	
259.					Sold (part)	03/13/20	J	A	
260.					Sold (part)	03/16/20	J	A	
261. Nextera Energy	A	Dividend	J	T	Sold (part)	04/06/20	J	A	
262. Nike Inc. B	A	Dividend	K	T	Sold (part)	01/02/20	J	A	
263.					Sold (part)	01/08/20	J	A	
264.					Sold (part)	06/11/20	J	A	
265. Nintendo	A	Dividend	J	T	Buy	09/01/20	J		
266. Norfolk Southern	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
267. Now Inc		None			Buy (add'l)	01/31/20	J		
268.					Buy (add'l)	07/27/20	J		
269.					Sold (part)	01/02/20	J		
270.					Sold (part)	06/26/20	J		
271.					Sold	12/30/20	J		
272. Nuance Communications Inc.		None	J	T	Sold (part)	01/02/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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O'Toole, George A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Nucor Corp	A	Dividend	J	T	Buy (add'l)	07/27/20	J		
274.					Sold (part)	01/02/20	J		
275.					Sold (part)	06/26/20	J		
276. Nuveen Santa Barbara Div Gr I	A	Dividend	J	T					
277. Occidental Petroleum		None			Buy (add'l)	01/31/20	J		
278.					Sold (part)	01/02/20	J	A	
279.					Sold	04/29/20	J		
280. O'Reilly Automotive New		None			Sold (part)	01/08/20	J	A	
281.					Sold (part)	01/17/20	J	B	
282.					Sold	03/24/20	J	A	
283. Paccar Inc	A	Dividend			Buy (add'l)	01/02/20	J		
284.					Sold (part)	01/08/20	J		
285.					Sold (part)	02/03/20	J	A	
286.					Sold	02/04/20	J	A	
287. Packaging Corp	A	Dividend	J	T	Sold (part)	09/14/20	J	A	
288. Paychex	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
289. PayPal Holdings		None	J	T	Sold (part)	01/02/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290.					Sold (part)	06/08/20	J	A	
291.					Sold (part)	06/18/20	J	A	
292.					Sold (part)	08/18/20	J	B	
293. Pentair	A	Dividend	J	T	Buy (add'l)	07/27/20	J		
294.					Sold (part)	01/02/20	J	A	
295.					Sold (part)	06/26/20	J		
296. Pepsico common	A	Dividend	J	T					
297. Pfizer Inc.	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
298.					Buy (add'l)	07/27/20	J		
299.					Sold (part)	01/08/20	J	A	
300.					Sold (part)	06/26/20	J		
301. PGIM Short-term Corp.Bond	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
302.					Buy (add'l)	01/13/20	J		
303.					Buy (add'l)	12/10/20	J		
304. Philip Morris	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
305.					Buy (add'l)	05/04/20	J		
306.					Buy (add'l)	07/27/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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05/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307.					Sold (part)	06/26/20	J		
308. Phillips 66	A	Dividend	J	T					
309. PNC Financial Services		None			Sold	06/22/20	J		
310. Principal Mid Cap (PMCPX)	B	Distribution	M	T					
311. Procter & Gamble common	A	Dividend	J	T					
312. Qurate Retail Group	A	Dividend	J	T	Buy (add'l)	01/31/20	J		
313.					Sold (part)	01/02/20	J		
314.					Sold (part)	06/18/20	J	A	
315.					Sold (part)	09/24/20	J		
316. Realty Income Group		None			Sold (part)	01/21/20	J	A	
317.					Sold	01/22/20	J	A	
318. Regeneron Pharmaceuticals		None	J	T	Buy (add'l)	01/31/20	J		
319.					Sold (part)	01/02/20	J		
320.					Sold (part)	01/08/20	J		
321. Salesforce.com		None	J	T	Buy (add'l)	01/08/20	J		
322. Seagate TechnologyPLC	A	Dividend	J	T	Sold (part)	01/02/20	J	B	
323. ServiceNow Inc.		None	J	T	Buy (add'l)	01/17/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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O'Toole, George A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	01/21/20	J		
325.					Sold (part)	08/18/20	J	A	
326. Starbucks Corp	A	Dividend	J	T	Buy (add'l)	06/11/20	J		
327.					Sold (part)	01/08/20	J	A	
328. Stericycle,Inc. common		None	J	T					
329. Target Corp	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
330. TE Connectivity	A	Dividend	J	T	Sold (part)	01/02/20	J	A	
331. Trane Technologies (formerly named Ingersoll-Rand)	A	Dividend	J	T					
332. Texas Instruments	A	Dividend	K	T	Buy (add'l)	01/02/20	J		
333.					Buy (add'l)	09/30/20	J		
334. Twitter Inc.		None	J	T	Buy (add'l)	01/31/20	J		
335.					Buy (add'l)	03/19/20	J		
336.					Sold (part)	01/02/20	J	B	
337.					Sold (part)	06/18/20	J	A	
338. Union Pacific common	A	Dividend	J	T					
339. UnitedHealth Group	A	Dividend	K	T	Buy (add'l)	08/05/20	J		
340.					Buy (add'l)	08/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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O'Toole, George A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341.					Buy (add'l)	08/07/20	J		
342.					Sold (part)	01/02/20	J	C	
343.					Sold (part)	06/18/20	J	B	
344. United Parcel Service	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
345. Verizon Communications common	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
346.					Buy (add'l)	07/23/20	J		
347.					Sold (part)	01/08/20	J		
348. Vertex Pharmaceuticals		None	J	T	Buy (add'l)	06/09/20	J		
349.					Buy (add'l)	09/17/20	J		
350.					Buy (add'l)	09/24/20	J		
351.					Buy (add'l)	10/15/20	J		
352.					Sold (part)	01/02/20	J	B	
353.					Sold (part)	12/30/20	J		
354. Virtus Insight Emrg Mkts (HIEMX)	B	Distribution	L	T					
355. Visa Inc. Cl A	A	Dividend	K	T	Sold (part)	01/02/20	J	B	
356.					Sold (part)	01/08/20	J	A	
357.					Sold (part)	03/16/20	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358. Walt Disney Co Holding Co	A	Dividend	J	T	Sold (part)	11/20/20	J	A	
359. WEC Energy Group Inc.	A	Dividend	J	T	Sold (part)	04/06/20	J	A	
360. Wells Fargo & Co New	A	Dividend	J	T	Buy (add'l)	01/02/20	J		
361.					Buy (add'l)	07/27/20	J		
362.					Sold (part)	01/08/20	J		
363.					Sold (part)	06/26/20	J		
364.					Sold (part)	09/30/20	J		
365. Wells Fargo Muni Bond Fund (WMBIX)	C	Dividend	M	T					
366. Western Digital Corp		None	J	T	Buy (add'l)	07/27/20	J		
367.					Sold (part)	01/02/20	J	A	
368.					Sold (part)	06/26/20	J		
369. Zoetis Inc. Cl A	A	Dividend	J	T	Sold (part)	01/02/20	J	A	
370.					Sold (part)	01/08/20	J	A	
371.					Sold (part)	03/16/20	J	A	
372.					Sold (part)	03/17/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. The trust identified in Part I is a [REDACTED] trust. All the assets held in the trust are reported individually in Part VII but are not specifically identified in that Part to the trust.
2. The asset listed at Part VII, line 101 of the 2019 report, Duke Energy, indicated a "Buy additional" transaction. That was a typographical error. The Duke Energy holding was actually "sold" with a gain in the A range. Columns C(1) and (2) should have been left blank. Duke Realty, reported in line 144 of this report, is an entirely separate entity despite the similarity of the name.. Its shares were acquired as indicated in Part VII.
3. The Ingersoll-Rand asset is reported as Trane Technologies as the result of a name change.. There was no new investment transaction.

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O'Toole, George A.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **George A. O'Toole**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544