

FINANCIAL DISCLOSURE REPORT

FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Opel,II, Robert N.	2. Court or Organization US Bankruptcy - Middle of PA	3. Date of Report 03/11/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 03/26/2021
7. Chambers or Office Address Max Rosenn Federal Courthouse 197 South Main Street Suite 144 Wilkes-Barre PA 18701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Broker Account 1:									
2. Merrill Lynch Cash		None			Closed	01/01/20	J		
3. ML Bank Deposit Program		None			Closed	01/01/20	J		
4.									
5. Broker Account 2:									
6. BLKRR 4-7 Years	A	Dividend			Sold	01/01/20	J		
7. BLKRR 2-4 Years	A	Dividend			Sold	01/01/20	J		
8. Ishares 4-7 Years	A	Dividend			Sold	01/01/20	J		
9. Ishares 2-4 Years	A	Dividend			Sold	01/01/20	J		
10.									
11. Broker Account 3:									
12. Merrill Lynch Cash		None			Closed	01/01/20	J		
13. Bank of America, NA RASP		None			Closed	01/01/20	J		
14.									
15. PNC Bank Accounts	A	Interest	J	T					
16.									
17. New Broker Account 1:									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. East West Bank		None	J	T	Open	01/01/20	J		
19. Nuveen Mtg & Income FD COM	D	Dividend	J	T	Buy	01/01/20	J		
20.									
21. New Broker Account 2:									
22. Wells Fargo Bank, N.A.		None	J	T	Open	01/01/20	J		
23. U.S. Treasury Bond	B	Dividend	J	T	Buy	01/01/20	J		
24.									
25. New Broker Account 3:									
26. Wells Fargo Bank, N.A.		None	J	T	Open	01/01/20	J		
27. American Funds - The Bond Funds of America	C	Dividend	J	T	Buy	01/01/20	J		
28. Brown Advisory FDS	B	Dividend	J	T	Buy	01/01/20	J		
29. Goldman Sachs TR	A	Dividend	J	T	Buy	01/01/20	J		
30. American Funds Growth	C	Dividend	J	T	Buy	01/01/20	J		
31. Hartford Mutl FDS Inc.	B	Dividend	J	T	Buy	01/01/20	J		
32. American Funds - Washington Mutual FD	D	Dividend	J	T	Buy	01/01/20	J		
33. Janus Henderson Funds Small Cap Value Fund Class I JSCOX	B	Dividend	J	T	Buy	01/01/20	J		
34. Jensen Portfolio Inc.	B	Dividend	J	T	Buy	01/01/20	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. John Hancock Funds III	B	Dividend	J	T	Buy	01/01/20	J		
36. MFS SER TR X	B	Dividend	J	T	Buy	01/01/20	J		
37. Metropolitan West Total Return BD FD CL I MWTIX	C	Dividend	J	T	Buy	01/01/20	J		
38. Wells Fargo Emerging Markets Equity Fund Class Inst EMGNX	B	Dividend	J	T	Buy	01/01/20	J		
39. American Funds - Europacific Growth Fund	B	Dividend	J	T	Buy	01/01/20	J		
40. PGIM High Yield CL Z	B	Dividend	J	T	Buy	01/01/20	J		
41. PGIM Total Return	B	Dividend	J	T	Buy	01/01/20	J		
42.									
43. New Broker Account 4:									
44. Cash - Wells Fargo Bank, N.A.		None	J	T	Open	09/01/20	J		
45.									
46. New Broker Account 5:									
47. American Funds College Target Date Ser 2036 FD CL 529-A CCFAQ	B	Dividend	J	T	Buy	02/01/20	J		
48.									
49. New Broker Account 6:									
50. American Funds College Target Date Ser 2033 FD Class 529-A CTLAX	E	Dividend	K	T	Buy	02/01/20	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Broker Account 1 (line 1), Broker Account 2 (line 5), and Broker Account 3 (line 11) were closed. New broker accounts were opened and are labeled as "New Broker Account".

If any changes need to be made between the date of the report to the separation date, the report will be amended.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert N. Opel,II**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544