

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Oliver Jr., Solomon	2. Court or Organization U.S. District Court	3. Date of Report 7/28/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Carl B. Stokes US Court House 801 West Superior Avenue, Suite 17A Cleveland, OH 44113-1838		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	College of Wooster
2. Section Delegate, ABA House of Delegates	American Bar Association Section of Legal Education and Admissions to the Bar
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Evidence Drafting Committee Multi-State Bar Examination	\$8,500.00
2. 2020	Ohio State Teacher's Retirement System	\$26,164.08
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Abbott Laboratories	A	Dividend	J	T					
2. Bank of America	A	Dividend	J	T					
3. Bank of NY M	A	Dividend	J	T					
4. Cardinal Health	A	Dividend	J	T					
5. EnPro	A	Dividend	J	T					
6. Cigna	A	Dividend	J	T					
7. Hewlett-Packard	A	Dividend	J	T					
8. Honeywell International	A	Dividend	K	T					
9. Johnson & Johnson	A	Dividend	J	T					
10. JP Morgan Chase	A	Dividend	K	T					
11. New Jersey Resources	B	Dividend	K	T					
12. Nextera Energ	B	Dividend	M	T					
13. Proctor & Gamble	B	Dividend	K	T					
14. Royal Dutch Shell B	A	Dividend	J	T					
15. Cohen & Steers Infrastructure Fund	A	Dividend	J	T					
16. Nuveen Mun Valve Fund	A	Dividend	J	T					
17. Nuveen OH Quality Income Municipal Fund	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Blackrock Euro Fund Investor	A	Dividend	J	T					
19. Schwab Investor Checking	A	Interest	J	T					
20. AT&T common	B	Dividend	K	T					
21. Bristol Meyers common	B	Dividend	L	T					
22. Coca Cola common	B	Dividend	L	T					
23. Morgan Stanley Dean Witter common	A	Dividend	J	T					
24. Ford common	A	Dividend	J	T					
25. General Electric common	B	Dividend	J	T					
26. Goodyear common	A	Dividend	J	T					
27. Home Depot common	B	Dividend	L	T					
28. Federated Department Stores common (Macy's)	A	Dividend	J	T					
29. Merck common	A	Dividend	K	T					
30. Nike common	B	Dividend	N	T					
31. WalMart common	B	Dividend	M	T					
32. Putnam Global Equity	A	Dividend	L	T					
33. Fidelity Ohio Tax Free	A	Interest	K	T					
34. CREF Global Equities R2 403(b)	C	Dividend	N	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. TIAA Access Wellington T1--403(b)	C	Interest	O	T					
36. Traditional 403(b)	C	Interest	N	T					
37. Ohio Savings Bank Accounts	A	Interest	L	T					
38. DWS Scudder International Fund	B	Dividend	J	T					
39. Vodaphone	B	Dividend	J	T					
40. Pepsico	C	Dividend	M	T					
41. Allstate	A	Dividend	K	T					
42. Tricontinental Global	A	Dividend	K	T					
43. Resource Careers, Inc. 401K Vanguard 500	A	Dividend	L	T					
44. Cisco	A	Dividend	J	T					
45. Zimmer	A	Dividend	J	T					
46. Lowes	A	Dividend	M	T					
47. Pfizer	A	Dividend	J	T					
48. Comcast	A	Interest	J	T					
49. Vanguard Intermediate Term Tax-Exempt Fund	A	Interest	K	T					
50. Vanguard 500 Index	B	Dividend	M	T					
51. Vanguard Small Cap Index	B	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Fidelity Balance, IRA	B	Dividend	L	T					
53. Fidelity Capital Appreciation, IRA	D	Dividend	M	T					
54. T. Rowe Price Equity Income	C	Dividend	L	T					
55. T. Rowe Price Retirement 20/20	B	Dividend	L	T					
56. Vanguard Total International Stock Fund	B	Dividend	K	T					
57. Vanguard Wellington	C	Dividend	M	T					
58. Vanguard Wellesley	C	Dividend	M	T					
59. Vanguard Total Bond Market Index	B	Interest	L	T					
60. T. Rowe Price Emerging Markets	A	Dividend	K	T					
61. Intel	A	Dividend	K	T					
62. Discover Financial Services	A	Dividend	J	T					
63. Schwab Target 2025 Fund - IRA	B	Dividend	M	T					
64. Abbvie, Inc.	A	Dividend	J	T					
65. Duke Energy	A	Dividend	J	T					
66. Verizon	C	Dividend	J	T					
67. CDK Global Inc.	A	Dividend	J	T					
68. Sallie Mae Bank	B	Interest	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

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69. Yum China	A	Dividend	K	T					
70. Beta Hold Co. LLC	A	Dividend	K	T					
71. Vanguard Prime Market	B	Interest	M	T					
72. Vanguard Target 2025	B	Dividend	M	T					
73. Tiaa Bank	B	Interest	N	T					
74. Synchrony Bank	C	Interest	M	T					
75. Hewlett Packard Enterprises	A	Dividend	J	T					
76. Tiaa-Cref Life Cycle 2025 Fund	A	Dividend	K	T	Buy	12/21/20	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I removed Progress Energy from the list of investments. It became part of Duke Power, which is already listed.

I have added Hewlett Packard Enterprises to the list. It was inadvertently left off of the last report.

Removed Global Inc.com as it was incorrectly and redundantly listed on my last report. It is the same stock that was correctly listed as CDK Global Inc. on this report and the prior report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Solomon Oliver Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544