

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pacold, Martha M.	2. Court or Organization U.S. District Court for the Northern District of Illinois	3. Date of Report 08/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Everett McKinley Dirksen U.S. Courthouse 219 South Dearborn Street Room 1460 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member, Board of Trustees	Saint Ignatius College Prep
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 9/2007	Bartlit Beck Herman Palenchar & Scott LLP (former law firm)'s 401(k) plan - Filer will continue to participate in plan. No contributions will be made by firm.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 401(k) Plan (H)									
2. American Funds American Balanced R6 (RLBGX)	E	Dividend	N	T					
3. Brokerage Account #1 (H)									
4. Northwestern Mutual FDIC Insured Deposit Progm (sweep deposit acct)	A	Interest	J	T					
5. Van Eck Constant Maturity Commodity Index Fund Cl Y (CMCYX)	A	Dividend	J	T	Buy (add'l)	03/27/20	J		
6.					Sold (part)	04/13/20	J		
7.					Sold (part)	08/31/20	J		
8. iShares Tr Gold iShares (IAU)	A	Dividend	J	T	Buy	04/13/20	J		
9.					Buy (add'l)	08/31/20	J		
10. iShares Tr Core S&P Mid-Cap ETF (IJH)	A	Dividend	L	T	Buy (add'l)	03/26/20	J		
11.					Buy (add'l)	04/13/20	J		
12.					Sold (part)	11/02/20	J	B	
13. iShares Tr Core S&P Small-Cap ETF (IJR)	A	Dividend	K	T	Buy (add'l)	03/26/20	J		
14.					Sold (part)	11/02/20	J	A	
15. iShares Tr Natl Mun Bd ETF Fd (MUB)	A	Dividend	K	T	Sold (part)	03/26/20	J	A	
16.					Sold (part)	04/13/20	J	A	
17. iShares Tr MSCI USA Value Factor ETF (VLUE)	A	Dividend	K	T	Buy	08/31/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. SPDR S&P 500 ETF Tr Tr Unit (SPY)	C	Dividend	M	T	Buy (add'l)	04/13/20	J		
19.					Sold (part)	08/31/20	K	D	
20. SPDR Ser Tr Nuveen Bloomberg Barclays Mun Bd ETF (TFI)	A	Dividend	K	T	Sold (part)	03/26/20	J	A	
21.					Sold (part)	04/13/20	J	A	
22. SPDR Ser Tr Nuveen Bloomberg Barclays Short Term Mun Bd ETF (SHM)	A	Dividend	J	T	Sold (part)	03/26/20	J		
23.					Sold (part)	04/13/20	J	A	
24. VanEck Vectors ETF Tr High-Yield Mun Index ETF (HYD)	A	Dividend	J	T	Sold (part)	04/13/20	J		
25. Vanguard Tax-Managed Intl Fd FTSE Developed Mkts ETF (VEA)	C	Dividend	M	T	Buy (add'l)	04/13/20	J		
26.					Buy (add'l)	12/28/20	J		
27. Vanguard Intl Equity Index Fds FTSE Emerging Mkts ETF (VWO)	B	Dividend	L	T	Buy (add'l)	04/13/20	J		
28.					Sold (part)	11/02/20	J	A	
29. Vanguard Index Fds Real Estate Index Fd ETF (VNQ)	A	Dividend	J	T					
30. IRA (H)									
31. Northwestern Mutual FDIC Insured Deposit Progrm (sweep deposit acct)	A	Interest	J	T					
32. Invesco Balanced- Risk Commodity Strategy Fund Cl Y (BRCYX)		None	J	T					
33. American CenturyShortDurationInflProtectionBondFundClass I (APOHX) (Y)									

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
34. American Century Short Duration Infl Prot Bond Fund Investor Class APOIX (Y)									
35. Baron Asset Fund Institutional Class (BARIX)	A	Distribution	J	T					
36. Dodge & Cox Income Fund (DODIX)	A	Dividend	J	T					
37. Europacific Growth Fund Class F-2 (AEPFX)	A	Dividend	J	T					
38. Fidelity Advisor New Insights Fund Class I (FINSX)	A	Dividend	J	T	Sold (part)	08/31/20	J	B	
39. Goldman Sachs International Small Cap Insights Fund Class I (GICIX)	A	Dividend	J	T					
40. Goldman Sachs Absolute Return Tracker Fd Inst'l CI (GJRTX)	A	Dividend	J	T					
41. The Oakmark International Fund Investor Class (OAKIX)		None			Sold	07/24/20	J		
42. Oakmark International Fund Advisor Class (OAYIX)	A	Dividend	J	T	Buy	07/24/20	J		
43. John Hancock Disciplined Value Mid Cap Fund Class I (JVMIX)	A	Dividend	J	T					
44. MFS Value Fund Class I (MEIIX)	A	Dividend	J	T	Sold (part)	08/31/20	J	A	
45. New World Fund Class F-2 (NFFFX)	A	Dividend	J	T					
46. Northern Small Cap Value Fund (NOSGX)	A	Dividend	J	T					
47. Pimco Income Fund Class I-2 (PONPX) (Y)	A	Dividend	J	T					
48. Principal Real Estate Securities Fd CI I (PIREX)	A	Dividend	J	T					
49. Principal Global Multi Strategy Fund Class I (PSMIX)	A	Dividend	J	T					

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50. T Rowe Price Emerging Markets Stock (PRMSX)	A	Dividend	J	T					
51. TIAA-CREF Bond Fund Advisor Class (TIBHX)	A	Dividend	J	T	Sold (part)	04/13/20	J	A	
52. Goldman Sachs ETF Tr Tr Activebeta U S Large Cap Equity ETF (GSLC)	A	Dividend	J	T	Buy	08/31/20	J		
53. iShares Gold Tr iShares (IAU) (X)		None	J	T					
54. iShares Tr MSCI USA Value Factor ETF (VLUE)	A	Dividend	J	T	Buy	08/31/20	J		
55. Brokerage Account #2 (H)									
56. Schwab Bank Savings Sweep account	A	Interest	J	T					
57. Invesco FTSE RAFI Developed Markets Ex-U.S. ETF (PXF)	A	Dividend	J	T	Buy	04/16/20	J		
58.					Sold (part)	06/22/20	J	A	
59. Invesco FTSE RAFI Developed Markets Ex-U.S. Small-Mid ETF (PDN)	A	Dividend	J	T	Sold	02/28/20	J		
60.					Buy	04/16/20	J		
61. Invesco FTSE RAFI Emerging Markets ETF (PXH)	A	Dividend	J	T	Sold	03/09/20	J		
62.					Buy	04/16/20	J		
63. Invesco FTSE RAFI US 1000 ETF (PRF)		None			Sold	03/12/20	J		
64. Invesco FTSE RAFI US 1500 Small-Mid ETF (PRFZ)	A	Dividend	J	T	Buy	03/09/20	J		
65.					Sold	04/13/20	J		
66.					Buy	05/14/20	J		

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67. iShares Core MSCI Emerging ETF (IEMG)	A	Dividend	J	T	Buy	03/12/20	J		
68. iShares Core US REIT ETF (USRT)	A	Dividend	J	T	Sold	03/16/20	J		
69.					Buy	05/14/20	J		
70. Schwab Emerging Markets Equity ETF (SCHE)	A	Dividend	J	T	Sold	03/12/20	J		
71.					Buy	06/22/20	J		
72. Schwab Funda Emg Mkts Large Com ETF (FNDE)	A	Dividend	J	T	Buy (add'l)	03/09/20	J		
73.					Sold	04/16/20	J		
74.					Buy	06/22/20	J		
75. Schwab Fundamental Intl Large Com ETF (FNDF)	A	Dividend			Sold (part)	04/16/20	J		
76. Schwab Fundamental Intl Smal Com ETF (FNDC)	A	Dividend			Buy	02/28/20	J		
77.					Sold (part)	04/16/20	J		
78. Schwab Fundamental US Large Co ETF (FNDX)	A	Dividend	J	T	Buy	03/12/20	J		
79.					Sold (part)	06/22/20	J	A	
80. Schwab Fundamental US Small Com ETF (FNDA)	A	Dividend			Sold	03/09/20	J		
81.					Buy	04/13/20	J		
82.					Sold	05/14/20	J		
83. Schwab Internatnal Small Cap Eqty ETF (SCHC)		None			Sold	03/12/20	J		

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84. Schwab International Equity ETF (SCHF)	A	Dividend			Buy (add'l)	03/09/20	J		
85.					Sold (part)	04/16/20	J		
86. Schwab US Large Cap ETF (SCHX)	A	Dividend	J	T	Buy	03/17/20	J		
87.					Sold	03/19/20	J		
88.					Buy	06/22/20	J		
89. Schwab US Small Cap ETF (SCHA)	A	Dividend			Buy	03/09/20	J		
90.					Sold (part)	04/16/20	J		
91. Charles Schwab US REIT ETF (SCHH)	A	Dividend			Buy	03/16/20	J		
92.					Sold	05/14/20	J		
93. Vanguard FTSE Developed Markets ETF (VEA)	A	Dividend	J	T	Sold	03/09/20	J		
94.					Buy	04/16/20	J		
95.					Sold (part)	06/22/20	J	A	
96. Vanguard Glbal Ex US Real Estate ETF (VNQI)	A	Dividend	J	T	Buy	03/12/20	J		
97. Vanguard S&P 500 ETF (VOO)	A	Dividend	J	T	Sold (part)	03/16/20	J	A	
98.					Sold (part)	03/17/20	J	A	
99.					Buy (add'l)	03/19/20	J		
100. Vanguard Small Cap ETF IV (VB)	A	Dividend	J	T	Sold	03/09/20	J		

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101.					Buy	04/16/20	J		
102. Vgrd FTSE All Wrld Ex US Sml Cap ETF (VSS)	A	Dividend	J	T	Buy	03/12/20	J		
103. Xtrackers Inter Real Est ETF (HAUZ)					Sold	03/12/20	J		
104. Roth IRA #1 (H)									
105. Schwab Bank Savings Sweep account	A	Interest	J	T					
106. Charles Schwab US REIT ETF (SCHH)	A	Dividend	J	T					
107. Invesco FTSE RAFI US 1500 Small-Mid ETF (PRFZ) (Y)									
108. iShares Core MSCI Emerging ETF (IEMG)	A	Dividend	J	T	Buy (add'l)	06/24/20	J		
109. Schwab Funda Emg Mkts Large Com ETF (FNDE)	A	Dividend	J	T	Buy (add'l)	06/24/20	J		
110. Schwab Fundamental Intl Large Com ETF (FNDF)	A	Dividend	J	T	Sold (part)	06/24/20	J		
111. Schwab Fundamental Intl Smal Com ETF (FNDC)	A	Dividend	J	T					
112. Schwab Fundamental US Large Co ETF (FNDX)	A	Dividend	J	T	Sold (part)	06/24/20	J	A	
113. Schwab Fundamental US Small Com ETF (FNDA)	A	Dividend	J	T					
114. Schwab Internatnal Small Cap Eqty ETF (SCHC)	A	Dividend	J	T					
115. Schwab US Small Cap ETF (SCHA)	A	Dividend	J	T					
116. Vanguard FTSE Developed Markets ETF (VEA)	A	Dividend	J	T	Sold (part)	06/24/20	J		
117. Vanguard Glbal Ex US Real Estate ETF (VNQI)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
118. Vanguard S&P 500 ETF (VOO)	A	Dividend	J	T	Sold (part)	03/16/20	J		
119. Vgrd FTSE All Wrld Ex US Sml Cap ETF (VSS) (Y)									
120. Bank of America cash accounts	A	Interest	J	T					
121. Capital One cash accounts	A	Interest	J	T					
122. HSA Bank cash account (HSA)	A	Interest	K	T					
123. Northwestern Mutual Adjustable Complife Life Insurance (whole)	C	Dividend	M	T					
124. Northwestern Mutual Adjustable Complife Life Insurance (whole)	B	Dividend	L	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Pacold, Martha M.

Date of Report

08/12/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Pacold, Martha M.

Date of Report

08/12/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Martha M. Pacold**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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