

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Papalia , Vincent F.	2. Court or Organization United States Bankruptcy Court, District of New Jersey	3. Date of Report 07/22/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address M.L. King Jr. Federal Bldg. 50 Walnut Street Newark, NJ 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Ramapo College - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. George Mason Security Institute at George Mason University Antonin Scalia Law School	February 20-21, 2020	New York, NY	Court Security Seminar	Transportation, meals, lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. PNC	Mortgage (Rental Property #1)	N
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. PNC BANK ACCOUNT (cash)	A	Interest	K	T					
3. RENTAL PROPERTY #1 SEASIDE PARK, NJ	D	Rent	N	R					
4. Account #1 (H)									
5. AT&T (T)	A	Dividend	J	T					
6. ALTRIA GROUP (MO)	A	Dividend	J	T					
7. AXA-ADR (AXAHY)		None			Sold	01/28/20	J	A	
8. BRISTOL MYERS SQUIBB (BMY)	A	Dividend	J	T					
9. CATERPILLAR (CAT)	A	Dividend	K	T					
10. FORD MOTOR COMPANY (F)	A	Dividend	J	T					
11. GENERAL ELECTRIC CO (GE)	A	Dividend	J	T	Buy	01/28/20	J		
12. GILEAD SCIENCES INC NEW (GILD)	A	Dividend	J	T					
13. HOME DEPOT (HD)	A	Dividend	K	T					
14. INTEL CORP (INTC)	A	Dividend	J	T					
15. KINDER MORGAN INC (KMI)	A	Dividend	J	T					
16. MERCK & CO (MRK)	A	Dividend	K	T					
17. PFIZER INC (PFE)		None	J	T	Buy	12/10/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. PHILIP MORRIS (PM)	A	Dividend	J	T					
19. PROCTOR & GAMBLE (PG)	A	Dividend	J	T					
20. VALLEY NATIONAL (VLY)	A	Dividend	J	T	Buy (add'l)	05/28/20	J		
21. VERIZON COMMUNICATIONS (VZ)	A	Dividend	J	T	Sold (part)	12/10/20	J	A	
22. WEYERHAEUSER CO (WY)	A	Dividend	J	T					
23. SUBURBAN PROPANE (SPH)	A	Distribution	J	T					
24. Account #2 (H)									
25. FIRST TRUST DORSEY WRIGHT (FV)		None			Sold	03/03/20	K	B	
26. ISHARES CORE S&P MID CAP (IJH)	A	Dividend	K	T	Buy	03/03/20	K		
27. ISHARES CORE S&P (IJR)	A	Dividend	J	T					
28. ISHARES CORE S&P 500 ETF (IVV)	A	Dividend	L	T	Sold (part)	03/03/20	J	A	
29. FIRST TR EXCHANGE TRADED ALPHADEX EMERGING MKTS SM CAP ALPHADEX (FEMS)		None			Sold	03/03/20	J		
30. VANGUARD FTSE DEVELOPED (VEA)	A	Dividend	K	T	Buy (add'l)	03/03/20	J		
31. VANGUARD FTSE EMERGING MARKETS (VWO)	A	Dividend	J	T	Buy	03/03/20	J		
32. VANGUARD TOTAL INTERNATIONAL ETF (BNDX)	A	Dividend	J	T	Sold (part)	03/03/20	J	A	
33. ISHARES JP MORGAN USD (EMB)	A	Dividend	J	T					

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34. DBX ETF TRUST XTRACKERS USD HIGH YIELD (HYLB)	A	Dividend	J	T	Buy	03/03/20	J		
35. SCHWAB STRATEGIC TR INTERMEDIATE-TERM US TREASURY (SCHR)	A	Dividend	J	T	Buy	03/03/20	J		
36. VANGUARD INTERMEDIATE TERM CORPORATE BOND ETF (VCIT)	A	Dividend	J	T	Buy	03/03/20	J		
37. SANTANDER BK NATL ASSN (80280JTG4)	A	Interest			Buy	03/10/20	J		
38.					Redeemed	06/18/20	J		
39. FIRST TRUST ENHANCE SHORT MATURITY (FTSM)	A	Dividend			Sold	03/03/20	J	A	
40. ISHARES CORE (GOVT)	A	Dividend			Sold	03/03/20	J	A	
41. FIRST TRUST FUND IV TACTICAL HIGH YIELD (HYLS)	A	Dividend			Sold	03/03/20	J		
42. ISHARES IBOXX \$ INVESTMENT (LQD)	A	Dividend			Sold	03/03/20	J	B	
43. MORGAN STANLEY PVT BK C/D (61760AWY1)	A	Interest			Redeemed	03/06/20	J	A	
44. Account #3 (H)									
45. RBC BRANCH SWEEP PROGRAM - MONEY MARKET (cash) (Y)									
46. BLACKROCK HEALTH SCIENCES OPPORTUNITIES PORT INSTL CL (SHSSX)	A	Dividend	J	T	Buy	06/26/20	J		
47. FEDERATED STRATEGIC VALUE RECORD (SVAIX)	B	Dividend	K	T	Buy (add'l)	03/10/20	J		
48.					Buy (add'l)	06/26/20	J		
49. FUNDVANTAGE TR POLEN GROWTH FD INTSTL (POLIX)	A	Dividend	L	T	Buy (add'l)	03/10/20	J		

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50. AMERICAN FUNDS, WASHINGTON MUTUAL INV FUND (WMFFX)	B	Dividend	K	T	Buy (add'l)	03/10/20	J		
51. SANTANDER BK NATL ASSN (80280JTG4)	A	Interest			Buy	03/10/20	J		
52.					Redeemed	06/18/20	J	A	
53. MORGAN STANLEY PVT BK C/D (61760AWY1)	A	Dividend			Redeemed	03/06/20	K	A	
54. FIDELITY ADVISOR STRATEGIC RECORD (FSIDX)	B	Dividend	K	T					
55. Account #4 (H)									
56. FIDELITY GOVT MONEY MKT CAP RESERVES (FZAXX) (cash)	A	Dividend	J	T					
57. AMERICAN FUNDS, AMERICAN BALANCED FUND F2 (AMBFX)	C	Dividend	L	T	Buy (add'l)	01/07/20	K		
58.					Sold (part)	05/18/20	J		
59. JPMORGAN HEDGED EQUITY CL I (JHEQX)	A	Dividend	K	T	Sold	05/15/20	K		
60.					Buy	10/20/20	K		
61. LORD ABBETT GROWTH LEADERS FUND F (LGLFX)		None			Sold	05/15/20	K		
62. T ROWE PRICE CAP APPRECIATION (PRWCX)	D	Dividend	M	T	Buy (add'l)	01/06/20	K		
63.					Sold (part)	05/15/20	J		
64. VANGUARD BALANCED INDEX ADMIRAL (VBIAX)		None			Sold	01/06/20	K		
65. VANGUARD HEALTH CARE ADMIRAL (VGHAX)	C	Dividend	K	T	Buy (add'l)	07/31/20	J		
66. PIMCO INVTM GRADE CREDIT BD FD INST (PIGIX)	A	Dividend	L	T	Buy	07/31/20	K		

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67. T ROWE PRICE ULTRA SHORT TERM BD INVEST (TRBUX)	A	Dividend	K	T	Buy	06/02/20	K		
68. PIMCO INCOME FUND INSTITUTIONAL FUND (PIMIX)	B	Dividend			Sold	07/31/20	K		
69. Account #5 (H)									
70. T ROWE PRICE CAP APPRECIATION (PRWCX) (X)	A	Dividend	J	T					
71. Account #6 (H)									
72. TIAA CREF MONEY MARKET R3	A	Int./Div.	K	T					
73. Account #7 (H)									
74. TIAA CREF MONEY MARKET R3	A	Int./Div.	J	T					
75. TIAA STABLE VALUE FUND	A	Int./Div.	L	T					
76. Account #8 (H)									
77. FIDELITY GOVT MONEY MKT CAP. RESERVES (cash) (Y)									
78. EVERSOURCE ENERGY COM (ES)	A	Dividend	J	T	Sold (part)	05/18/20	J		
79. PUBLIC SERVICE ENTERPRISE GROUP (PEG)	A	Dividend	K	T	Sold (part)	05/18/20	J		
80. BLACKROCK 20/80 TARGET ALLOC INSTL (BICPX)		None	K	T	Buy	07/31/20	K		
81. T ROWE PRICE CAP APPREC (PRWCX)	C	Dividend	K	T					
82. BLACKROCK MULTI ASSET INCOME INSTL (BIICX)	A	Dividend			Sold (part)	05/15/20	J		
83.					Sold	07/31/20	K		

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84. T ROWE PRICE ULTRA SHORT TERM 80 INVEST (TRBUX)	A	Dividend	K	T	Buy	06/02/20	J		
85. Account #9 (H)									
86. FIDELITY GOVT. MONEY MKT CAP. RESERVES (FZAXX)	A	Dividend	J	T					
87. AMERICAN BALANCED FUND F2 (AMBFX)	B	Dividend	L	T					
88. JPMORGAN HEDGED EQUITY CLASS I (JHEQX)	A	Dividend	K	T	Buy	10/15/20	K		
89. T ROWE PRICE CAP APPREC (PRWCX)	C	Dividend	K	T	Buy (add'l)	01/03/20	K		
90.					Sold (part)	05/14/20	J		
91. AMERICAN TAX EXEMPT BOND OF AMERICAN FZ (TEAFX)	A	Dividend	K	T	Buy	10/16/20	K		
92. BLACKROCK STRATEGIC MUNI OPPORTUNITIES (MAMTX)	A	Dividend			Sold	01/03/20	J		
93. MFS MUNICIPAL HIGH INC FD I (MMIIX)	A	Dividend			Sold	01/06/20	J		
94. PIMCO INCOME FUND A (PIMIX)	B	Dividend	K	T	Sold (part)	01/10/20	J		
95. T ROWE PRICE ULTRA SHORT TERM 80 INVEST (TRBUX)	A	Dividend	J	T	Buy	06/02/20	K		
96.					Sold (part)	10/15/20	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 3: Purchased March 2005 for \$590,000 (1/2 interest).

Parenthetical numbers in Part VII are CUSIP numbers.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Vincent F. Papalia**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544