

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pillard, Cornelia T.	2. Court or Organization U.S. Court of Appeals for the District of Columbia Circuit	3. Date of Report 07/20/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address Chambers of the Hon. Cornelia T.L. Pillard United States Court of Appeals for the District of Columbia Circuit 333 Constitution Ave. N.W. Washington, DC 20001		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board member	Equal Justice Works
2. Adjunct Professor	Georgetown Law University Center
3. Trustee	Trust #1 (no reportable assets)
4. Board member	Federal Judges Association
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Georgetown Law University Center, teaching stipend, Fall 2020	\$15,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	American Civil Liberties Union -- legal director salary
2. 2020	The New York Review of Books -- freelance writer fees
3. 2020	The Nation -- freelance writer fees
4. 2020	Yale University -- adjunct professor
5. March 2020	Wilson Solicitors LLP-Kay Everett Memorial Lecture, honorarium \$1500
6.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Yale Law School	Feb. 17-19, 2020	New Haven, CT	Lecture	Travel, lodging and meals
2.	Fordham Law School	Feb. 26-27, 2020	New York, NY	Lecture	Travel, lodging and meals
3.	Equal Justice Works	Feb. 27-28, 2020	San Francisco, CA	Board of directors meeting	Travel, lodging and meals
4.	Wilson Solicitors LLP	March 5-9, 2020	London, England	Kay Everett Memorial Lecture	Travel
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. American Century Ultra Fund Mutual Fund	C	Int./Div.	L	T					
2. Blackrock Muniassets Fund Mutual Fund	C	Int./Div.	L	T	Buy (add'l)	01/15/20	K		
3. Cohen & Steers Institutional Realty Mutual Fund	C	Int./Div.	L	T					
4. Cohen & Steers Realty Focus Mutual Fund	B	Int./Div.	M	T					
5. Ishares JP Morgan Emerging MKTS Bond ETF	B	Int./Div.	L	T					
6. Ishares MSCI EAFE Index Fund ETF	A	Int./Div.	K	T					
7. Ishares Russell 1000 Growth Fund ETF	B	Int./Div.	M	T					
8. Ishares Russell 1000 Value ETF	D	Int./Div.	N	T	Buy (add'l)	01/15/20	K		
9. Ishares Russell Mid Cap Index Fund ETF	A	Int./Div.	L	T					
10. Ishares S&P Midcap 400 Growth Fund ETF	B	Int./Div.	N	T	Sold (part)	03/31/20	J	A	
11.					Buy (add'l)	07/01/20	J		
12. Ishares S&P Midcap 400 Value Index Fund ETF	C	Int./Div.	M	T	Sold (part)	03/31/20	J	A	
13.					Buy (add'l)	07/01/20	J		
14. Ishares S&P National AMT Free Muni Bond Fund ETF	C	Int./Div.	M	T	Buy (add'l)	01/15/20	K		
15. Ishares S&P Small Cap 600 Growth Fund ETF	A	Int./Div.	L	T	Sold (part)	03/31/20	J	B	
16.					Buy (add'l)	07/01/20	J		
17. Ishares S&P Small Cap 600 Value Fund ETF	B	Int./Div.	L	T	Buy (add'l)	03/31/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	07/01/20	J		
19. Ishares U.S. Preferred Stock ETF	C	Int./Div.	L	T					
20. Janus Investment Mutual Fund	C	Int./Div.	M	T					
21. Pimco 1-5 Year U.S. Tips Index Fund	B	Int./Div.	L	T					
22. Royce Total Return Mutual Fund	D	Int./Div.	K	T					
23. SPDR Barclays Short Term High Yield Fund ETF	C	Int./Div.	L	T	Buy (add'l)	03/31/20	J		
24.					Buy (add'l)	07/01/20	J		
25. SPDR Consumer Discretionary Select Sector Fund ETF	A	Int./Div.	K	T	Buy (add'l)	03/31/20	J		
26.					Sold (part)	07/01/20	J	A	
27. SPDR Euro Stoxx 50 ETF	B	Int./Div.	L	T					
28. SPDR Financial Select Sector Fund ETF	B	Int./Div.	L	T	Buy (add'l)	03/31/20	J		
29.					Buy (add'l)	07/01/20	J		
30. SPDR Health Care Select Sector Fund ETF	B	Int./Div.	L	T	Buy (add'l)	03/31/20	J		
31.					Buy (add'l)	07/01/20	J		
32. SPDR Industrial Select Sector Fund ETF	A	Int./Div.	K	T	Sold (part)	07/01/20	J	B	
33. SPDR S&P Dividend ETF	C	Int./Div.	M	T	Buy (add'l)	01/15/20	J		
34. SPDR Technology Select Sector Fund ETF	A	Int./Div.	L	T	Sold (part)	03/31/20	J	B	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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35.					Sold (part)	07/01/20	J	C	
36. T Rowe Price New Asia Mutual Fund	A	Int./Div.	L	T					
37. T Rowe Price Spectrum Income Mutual Fund	C	Int./Div.	L	T					
38. Vanguard Emerging Markets Stock Index Fund ETF	C	Int./Div.	M	T	Buy (add'l)	01/15/20	J		
39.					Buy (add'l)	03/31/20	J		
40.					Buy (add'l)	07/01/20	J		
41. Vanguard FTSE Developed Markets Fund ETF	C	Int./Div.	M	T	Buy (add'l)	03/30/20	J		
42.					Buy (add'l)	07/01/20	J		
43. Vanguard Reit Index Fund ETF	C	Int./Div.	L	T	Buy (add'l)	03/31/20	J		
44.					Buy (add'l)	07/01/20	J		
45. Wisdomtree Emerging Mkts ETF	B	Int./Div.	L	T					
46. Wisdomtree Managed Futures Strategy Fund	A	Int./Div.	J	T					
47. Wisdomtree Total Dividend Fund ETF	B	Int./Div.	L	T					
48. iShares Core U.S. Aggregate Bond ETF	C	Int./Div.	M	T	Sold (part)	03/31/20	J	A	
49.					Sold (part)	07/01/20	J	A	
50. Powershares Global ETF	B	Int./Div.	K	T	Buy (add'l)	03/31/20	J		
51.					Buy (add'l)	07/01/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. iShares Core S&P 500 ETF	C	Int./Div.	M	T	Buy (add'l)	03/31/20	J		
53.					Sold (part)	07/01/20	K	D	
54. VanEck Vectors Natural Resources ETF		None	L	T					
55. Invesco S&P Global Water ETF	B	Int./Div.	L	T					
56. iShares iBoxx Investment Grade Corporate Bond ETF	B	Int./Div.	L	T	Sold (part)	03/31/20	J	A	
57.					Sold (part)	07/01/20	J	A	
58. SDPR Communication Services ETF	A	Int./Div.	L	T	Buy (add'l)	03/31/20	K		
59.					Buy (add'l)	07/01/20	J		
60. IRA-BDA #1 (H)									
61. --Fidelity Diversified International Mutual Fund	A	Int./Div.	J	T					
62. --Fidelity Select Technology Mutual Fund	B	Int./Div.	K	T					
63. IRA-BDA #2 (H)									
64. --Fidelity Canada Mutual Fund	A	Int./Div.	J	T					
65. --Fidelity Diversified International Mutual Fund	A	Int./Div.	K	T	Sold (part)	06/30/20	J	A	
66. --Fidelity Select Technology Mutual Fund	B	Int./Div.	K	T					
67. Suntrust cash accounts	A	Int./Div.	M	T					
68. Schwab Total Stock Market Fund	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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69. Vanguard Windsor II Mutual Fund	F	Int./Div.	O	T					
70. Vanguard Windsor Mutual Fund	G	Int./Div.	P1	T					
71. Fidelity Magellan Mutual Fund	A	Int./Div.	M	T					
72. Fidelity Low Priced Stock Mutual Fund	F	Int./Div.	O	T	Sold (part)	06/30/20	M	F	
73. Schwab Emerging Markets Equity ETF	A	Int./Div.	J	T	Buy (add'l)	01/23/20	J		
74.					Buy (add'l)	06/30/20	J		
75.					Buy (add'l)	11/13/20	J		
76.					Buy (add'l)	12/16/20	J		
77.					Buy (add'l)	12/22/20	J		
78. Schwab International Equity ETF	A	Int./Div.	J	T	Buy (add'l)	03/12/20	J		
79.					Buy (add'l)	11/13/20	J		
80.					Buy (add'l)	12/21/20	J		
81. Schwab Health Care Mutual Fund	A	Int./Div.	J	T					
82. Powershares Emerging Markets ETF	A	Int./Div.	J	T	Buy (add'l)	11/13/20	J		
83. Schwab U.S. Broad Market ETF	A	Int./Div.	J	T	Buy (add'l)	11/13/20	J		
84. TIAA-CREF Lifecycle 2025 Retirement Fund	B	Int./Div.	L	T					
85. TIAA-CREF Large Cap Growth Fund		None			Sold	05/14/20	J	A	

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86.	Ameritas Principal plan Plus - DC529		None	J	T	Sold (part)	05/14/20	J	A	
87.	NY 529 Moderate Age-Based Option: Income Portfolio		None	L	T					
88.	Schwab Target 2060 Index Mutual Fund	A	Int./Div.	J	T					
89.	iShares U.S. Total Stock Market Index Portfolio ETF		None	K	T	Sold (part)	5/14/20	J	C	
90.	Principal LifeTime Hybrid 2025 CIT Fund	A	Int./Div.	J	T					
91.	Principal Large Cap S&P 500 Index Separate Account Fund (X)	B	Int./Div.	L	T	Buy (add'l)	03/31/20	J		
92.						Buy (add'l)	06/30/20	J		
93.						Buy (add'l)	09/30/20	J		
94.						Buy (add'l)	12/31/20	J		
95.	Principal Mid Cap S&P 500 Index Separate Account Fund		None	L	T	Buy (add'l)	03/31/20	J		
96.						Buy (add'l)	06/30/20	J		
97.						Buy (add'l)	09/30/20	J		
98.						Buy (add'l)	12/31/20	J		
99.	Principal Small Cap S&P 600 Index SA Fund	A	Int./Div.	L	T	Buy (add'l)	03/31/20	J		
100.						Buy (add'l)	06/30/20	J		
101.						Buy (add'l)	09/30/20	J		
102.						Buy (add'l)	12/31/20	J		

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2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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103. Schwab U.S. Aggregate Bond ETF	A	Int./Div.	J	T	Buy (add'l)	11/13/20	J		
104.					Buy (add'l)	11/27/20	J		
105. Fidelity Zero International Index Mutual Fund	A	Int./Div.	J	T					
106. Fidelity Zero Toral Market Index Mutual Fund	A	Int./Div.	K	T	Sold (part)	06/30/20	J	A	
107. Fidelity Contrafund K Mutual Fund	E	Int./Div.	O	T	Sold (part)	06/30/20	N	F	
108.					Sold (part)	09/30/20	L	E	
109. Vanguard Target 2030 Retirement Fund		None	K	T	Buy (add'l)	09/30/20	J		
110. Vanguard Total Bond Market Index Fund	B	Int./Div.	N	T					
111. Vanguard Real Estate Index Investor Fund	B	Int./Div.	M	T					
112. Vanguard Total International Stock Index Fund	B	Int./Div.	M	T					
113. Fidelity Government Money Market Fund (X)	A	Int./Div.	O	T	Buy	06/30/20	N		
114. Fidelity Large Cap Value Enhanced Index Fund (X)	A	Int./Div.	M	T	Buy	9/30/20	L		
115.									
116.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Pillard, Cornelia T.

Date of Report

07/20/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Although I am a trustee of [REDACTED] Trust #1, I receive no income nor do I have beneficial interest in the principal or income from the trust or estate. Therefore, there are no reportable assets in the Trust.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Pillard, Cornelia T.

Date of Report

07/20/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Cornelia T. Pillard**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544