

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Poplin, Debra C.	2. Court or Organization District Court, Eastern Tennessee	3. Date of Report 04/20/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address Howard H. Baker Jr. U.S. Courthouse 800 Market Street, Suite 130 Knoxville, TN 37902		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1997	City of Knoxville Pension Fund; pension upon retirement age 62
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Poplin, Debra C.

Date of Report

04/20/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Self-employed auto reconditioning
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

Poplin, Debra C.

Date of Report

04/20/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Poplin, Debra C.

Date of Report

04/20/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Suntrust (business Checking)		None	L	T					
2. ROTH IRA Ameriprise Financial									
3. - Growth Fund of America (AGTHX)	A	Int./Div.	J	T					
4. Traditional IRA #1									
5. - Vanguard Mid Cap (VO)	A	Int./Div.			Sold	06/04/20	J	B	
6. - Vanguard growth ETF (VUG)	A	Int./Div.			Sold	06/04/20	K	D	
7. - Vanguard Value ETF (VTV)	A	Int./Div.			Sold	06/04/20	K	A	
8. - Vanguard Small Cap (VB)	A	Int./Div.			Sold	06/04/20	J	A	
9. - Columbia Strategic Income (LSIZX)	A	Int./Div.			Sold	06/05/20	J	A	
10. - Columbia floating Rate (CFRZX)	A	Int./Div.			Sold	06/05/20	J	A	
11. - Delaware Small cap (DCCIX)	A	Dividend			Sold	06/05/20	J	A	
12. - Lord Abbett Short Duration (LDLFX)	A	Int./Div.			Sold	06/05/20	K	A	
13. - PIMCO Income (PONPX)	A	Int./Div.			Sold	06/05/20	K	A	
14. - PGIM Short Term Corporate Bond (PIFZX)	A	Int./Div.			Sold	06/05/20	J	A	
15. - Barclays Bank PLC (DJP)	A	Int./Div.	J	T	Buy	06/08/20	J		
16.					Buy (add'l)	08/03/20	J		
17.					Sold (part)	12/01/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

Name of Person Reporting

Poplin, Debra C.

Date of Report

04/20/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - Columbia Adaptive Risk Allocation (CRAZX)	A	Int./Div.	K	T	Buy	06/08/20	K		
19.					Buy (add'l)	08/03/20	J		
20.					Sold (part)	11/02/20	J	A	
21. - Columbia Multi Strategy (CLAZX)	A	Int./Div.	J	T	Buy	06/08/20	J		
22.					Buy (add'l)	08/03/20	J		
23. - iShares Emerging Markets Bond (EMB)	A	Int./Div.	J	T	Buy	06/08/20	J		
24.					Sold (part)	08/03/20	J	A	
25.					Buy (add'l)	10/01/20	J		
26.					Sold (part)	11/02/20	J	A	
27.					Buy (add'l)	12/01/20	J		
28. - iShares MSCI EAFE (EFA)	A	Int./Div.	J	T					
29.					Buy (add'l)	06/08/20	J		
30.					Sold (part)	07/10/20	J	A	
31.					Buy (add'l)	08/03/20	J		
32.					Sold (part)	10/01/20	J	A	
33.					Buy (add'l)	11/02/20	J		
34.					Sold (part)	12/01/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 6 of 15

Name of Person Reporting

Poplin, Debra C.

Date of Report

04/20/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - iShares 20+ T Bond (TLT)	A	Int./Div.	J	T	Buy	06/08/20	J		
36.					Sold (part)	07/01/20	J	A	
37.					Sold (part)	08/03/20	J	A	
38.					Buy (add'l)	10/01/20	J		
39. - iShares 7-10 Year T Bond (IEF)	A	Int./Div.	J	T	Buy	06/08/20	J		
40.					Buy (add'l)	07/01/20	J		
41.					Sold (part)	08/03/20	J	A	
42.					Buy (add'l)	10/01/20	J		
43.					Buy (add'l)	12/01/20	J		
44. - iShares Investment Grade Corporate Bond (LQD)	A	Int./Div.	J	T	Buy	06/08/20	J		
45.					Sold (part)	08/03/20	J	A	
46.					Buy (add'l)	10/01/20	J		
47. - iShares 1-3 Year T Bond (SHY)	A	Int./Div.			Buy	06/08/20	J		
48.					Sold (part)	07/11/20	J	A	
49.					Sold (part)	08/03/20	J	A	
50.					Buy (add'l)	10/01/20	J		
51.					Sold	12/01/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

Poplin, Debra C.

Date of Report

04/20/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - iShares TIPS Bond (TIP)	A	Int./Div.	J	T	Buy	06/08/20	J		
53.					Sold (part)	08/03/20	J	A	
54.					Buy (add'l)	10/01/20	J		
55. - iShares 3-7 Year T Bond (IEI)	A	Int./Div.	J	T	Buy	06/08/20	J		
56.					Sold (part)	08/03/20	J	A	
57.					Buy (add'l)	10/01/20	J		
58.					Buy (add'l)	12/01/20	J		
59. - iShares High Yield Corporate Bond (HYG)	A	Int./Div.	J	T	Buy	06/08/20	J		
60.					Sold (part)	08/03/20	J	A	
61.					Buy (add'l)	10/01/20	J		
62. - SPDR S&P 500 (SPY)	A	Int./Div.	K	T	Buy	06/08/20	K		
63.					Sold (part)	07/07/20	J	A	
64.					Buy (add'l)	08/03/20	J		
65.					Sold (part)	10/01/20	J	A	
66.					Buy (add'l)	11/02/20	J		
67.					Sold (part)	12/01/20	J	A	
68. - Vanguard Emerging Markets (VWO)	A	Int./Div.	J	T	Buy	06/08/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

Poplin, Debra C.

Date of Report

04/20/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	07/01/20	J		
70.					Buy (add'l)	08/03/20	J		
71.					Sold (part)	10/01/20	J	A	
72.					Buy (add'l)	12/01/20	J		
73. - Vanguard Real Estate ETF (VNQ)	A	Int./Div.	J	T	Buy	06/08/20	J		
74.					Sold (part)	08/03/20	J	A	
75.					Buy (add'l)	10/01/20	J		
76. - iShares Russell 2000 (IWM)	A	Int./Div.			Buy	07/17/20	J		
77.					Sold	08/03/20	J	A	
78. Traditional IRA #2									
79. - Vanguard Mid Cap (VO)	A	Int./Div.			Sold	06/04/20	J	A	
80. - Vanguard Growth (VUG)	A	Int./Div.			Sold	06/04/20	J	C	
81. - Vanguard Value ETF (VTV)	A	Int./Div.			Sold	06/04/20	J	A	
82. - Vanguard Small Cap (VB)	A	Int./Div.			Sold	06/04/20	J	A	
83. - Columbia Strategic Income (LSIZX)	A	Int./Div.			Sold	06/05/20	J	A	
84. - Columbia Floating Rate (CFRZX)	A	Int./Div.			Sold	06/05/20	J	A	
85. - Delaware Small Cap (DCCIX)	A	Int./Div.			Sold	06/05/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

Poplin, Debra C.

Date of Report

04/20/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. - Lord Abbett Short Duration (LDLFX)	A	Int./Div.			Sold	06/05/20	K	A	
87. - PIMCO Income (PONPX)	A	Int./Div.			Sold	06/05/20	J	A	
88. - PGIM Short Term Corporate Bond (PIFZX)	A	Int./Div.			Sold	06/05/20	J	A	
89. - Barclays Bank PLC (DJP)	A	Int./Div.	J	T	Buy	06/08/20	J		
90.					Buy (add'l)	08/03/20	J		
91.					Sold (part)	12/01/20	J	A	
92. - Columbia Adaptive Risk Allocation (CRAZX)	A	Int./Div.	K	T	Buy	06/08/20	K		
93.					Buy (add'l)	08/03/20	J		
94.					Sold (part)	11/02/20	J	A	
95. - Columbia Multi Strategy (CLAZX)	A	Int./Div.	J	T	Buy	06/08/20	J		
96.					Buy (add'l)	08/03/20	J		
97. - iShares Emerging Markets Bond (EMB)	A	Int./Div.	J	T	Buy	06/08/20	J		
98.					Sold (part)	08/03/20	J	A	
99.					Buy (add'l)	10/01/20	J		
100. iShares MSCI EAFE (EFA)	A	Int./Div.	J	T					
101.					Buy (add'l)	06/08/20	J		
102.					Sold (part)	07/01/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 10 of 15

Name of Person Reporting

Poplin, Debra C.

Date of Report

04/20/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.					Buy (add'l)	08/03/20	J		
104.					Sold (part)	10/01/20	J	A	
105.					Buy (add'l)	11/02/20	J		
106.					Sold (part)	12/01/20	J	A	
107. - iShares 20+ T Bond (TLT)	A	Int./Div.	J	T	Buy	06/08/20	J		
108.					Sold (part)	07/01/20	J	A	
109.					Sold (part)	08/03/20	J	A	
110.					Buy (add'l)	10/01/20	J		
111. - iShares 7-10 Year T Bond (IEI)	A	Int./Div.	J	T	Buy	06/08/20	J		
112.					Buy (add'l)	07/01/20	J		
113.					Sold (part)	08/03/20	J	A	
114.					Buy (add'l)	10/01/20	J		
115.					Sold (part)	11/02/20	J	A	
116.					Buy (add'l)	12/01/20	J		
117. iShares Investment Grade Corporate Bond (LQD)	A	Int./Div.	J	T	Buy	06/08/20	J		
118.					Sold (part)	08/03/20	J	A	
119.					Buy (add'l)	10/01/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

Poplin, Debra C.

Date of Report

04/20/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. iShares 1-3 Year T Bond (SHY)	A	Int./Div.			Buy	06/08/20	J		
121.					Sold (part)	07/01/20	J	A	
122.					Sold (part)	08/03/20	J	A	
123.					Buy (add'l)	10/01/20	J		
124.					Sold	12/01/20	J	A	
125. - iShares TIPS Bond (TIP)	A	Int./Div.	J	T	Buy	06/08/20	J		
126.					Sold (part)	08/03/20	J	A	
127.					Buy (add'l)	10/01/20	J		
128. - iShares 3-7 Year T Bond (IEI)	A	Int./Div.	J	T	Buy	06/08/20	J		
129.					Sold (part)	08/03/20	J	A	
130.					Buy (add'l)	10/01/20	J		
131.					Buy (add'l)	12/01/20	J		
132. - iShares High Yield Corporate Bond (HYG)	A	Int./Div.	J	T	Buy	06/08/20	J		
133.					Sold (part)	08/03/20	J	A	
134.					Buy (add'l)	10/01/20	J		
135. SPDR S&P 500 (SPY)	A	Int./Div.	K	T	Buy	06/08/20	K		
136.					Sold (part)	07/01/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
---	---	--	--	--	------------------------

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Poplin, Debra C.

Date of Report

04/20/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	08/03/20	J		
138.					Sold (part)	10/01/20	J	A	
139.					Buy (add'l)	11/02/20	J		
140.					Sold (part)	12/01/20	J	A	
141. - Vanguard Emerging Markets (VWO)	A	Int./Div.	J	T	Buy	06/08/20	J		
142.					Buy (add'l)	07/01/20	J		
143.					Buy (add'l)	08/03/20	J		
144.					Sold (part)	10/01/20	J	A	
145.					Buy (add'l)	12/01/20	J		
146. - Vanguard Real Estate (VNQ)	A	Int./Div.	J	T	Buy	06/08/20	J		
147.					Sold (part)	08/03/20	J	A	
148.					Buy (add'l)	10/01/20	J		
149. - iShares Russell 2000 (IWM)	A	Int./Div.			Buy	07/17/20	J		
150.					Sold	08/03/20	J	A	
151. At Fund 529 Plan AMP #1									
152. - Growth Fund of America (CGFAX)	A	Int./Div.	K	T					
153. - Washington Mutual Invest 529 (CWMAX)	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

Poplin, Debra C.

Date of Report

04/20/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

154. At Fund 529 Plan AMP #2									
155. - Capital World Growth Income (CWIAX)	A	Int./Div.	K	T					
156. SPS NQ Account									
157. - Columbia balanced Fund (CBLAX)	A	Int./Div.	J	T					
158.					Buy (add'l)	01/10/20	J		
159.					Buy (add'l)	02/10/20	J		
160.					Buy (add'l)	03/10/20	J		
161.					Buy (add'l)	04/13/20	J		
162.					Buy (add'l)	05/11/20	J		
163.					Buy (add'l)	06/10/20	J		
164.					Buy (add'l)	07/10/20	J		
165.					Buy (add'l)	08/10/20	J		
166.					Buy (add'l)	09/10/20	J		
167.					Buy (add'l)	10/12/20	J		
168.					Buy (add'l)	12/11/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

Poplin, Debra C.

Date of Report

04/20/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Poplin, Debra C.

Date of Report

04/20/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Debra C. Poplin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544