

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rainey, John D.	2. Court or Organization U. S. District Court, Texas	3. Date of Report 03/28/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge-Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☒ Amended Report	
7. Chambers or Office Address 312 S. Main Room 406 Victoria, TX 77901		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Rainey, John D.

Date of Report

03/28/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Rainey, John D.	03/28/2022

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Prosperity Bank (IRA) -cash equivalent	B	Distribution	K	T	Distributed (part)	12/15/20	J		
2. State Farm Insurance-whole	C	Interest	L	T	Distributed (part)	12/01/20	J		
3. Wells Fargo, Victoria, TX-cash accounts	A	Interest	N	T					
4. Land, Edwards County, TX		None	P1	W					
5. Fidelity Money Market Account	D	Interest	J	T					
6. SPDR S&P 500 EFT Trust		None			Sold	12/31/20	K		
7. Twenty Express, Limited	A	Distribution	J	W					
8. Vanguard Small Cap Value Index Investor		None			Sold	09/25/20	K		
9. District Columbia Income Tax Rev Bds	B	Interest	K	T					
10. San Antonio Tex Wtr Rev	B	Interest	K	T					
11. Grand Prairie Tex ISD Ultd	A	Interest	K	T					
12. BrainDead Brewing Deep Ellum, LLC		None	K	W					
13. Juniper Sharyland Ltd.	A	Distribution	K	W					
14. PayPal Hldgs Inc		None	M	T					
15. Juniper Portland, Ltd.		None	K	W					
16. DFA US Core Equity II	A	Dividend			Sold	12/30/20	K	D	
17. Causeway Internatl Opportunities instl		None			Sold	03/20/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

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18. Prosperity Bank-cash account	A	Interest	M	T					
19. DFA Investment Grade Portfolio Instl	A	Dividend	K	T	Buy (add'l)	06/10/20	J		
20. Metropolitan Wesr Total Return Class 1	A	Dividend	J	T					
21. Angel Oak Financials Income Fund	A	Dividend			Sold	03/19/20	K		
22. Ishares Edge MSCI USA Quality	A	Dividend	K	T	Buy	03/25/20	K		
23.					Buy (add'l)	06/11/20	K		
24. Ishares Trust Core MSCI	A	Dividend			Sold	03/19/20	J		
25. American Century Equity Income		None	K	T					
26. Vanguard Longterm Invmt Grade Admiral	A	Dividend	K	T					
27. Goldman Sachs CQG Partners Intl		None	M	T	Buy (add'l)	03/20/20	K		
28. Vanguard Group Div	A	Dividend	K	T	Buy (add'l)	03/23/20	K		
29. Principal Gbl Real Estate	A	Dividend			Sold	03/20/20	K		
30. Blackrock Health Sciences Opp PRTI (X)	A	Dividend	J	T	Buy	03/25/20	J		
31. Ishares TR Expanded Tech (X)		None	J	T	Buy	03/25/20	J		
32. Parametric Commodity Strategic Inst CL (X)	A	Dividend	K	T	Buy	12/30/20	K		
33. Pimco Mortgage Oppoe & Bond Fund Inst (X)	A	Dividend	K	T	Buy	06/10/20	K		
34. Fuller &Thaler Behavioral Small Cap Equity Instl (X)	A	Dividend	K	T	Buy	12/30/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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35.									
36.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John D. Rainey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544