

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ray, J. Thomas	2. Court or Organization U. S. District Court - ED AR	3. Date of Report 05/03/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Magis. Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address Richard Sheppard Arnold United States Courthouse 500 West Capitol, Room D 144 Little Rock, AR 72201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Rollover IRA
2. Trustee	IRA #1
3. Trustee	Inherited IRA
4. Trustee	IRA # 2
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Ray, J. Thomas

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1. Abbvie C.S.	B	Dividend	L	T					
2. Adobe C.S.		None	K	T	Buy	03/12/20	L		
3. Agios C.S.		None	K	T					
4. Alibaba ADR		None	L	T	Buy (add'l)	12/24/20	K		
5. Alphabet Inc (Cl A) C.S.		None	L	T					
6. Amazon C.S.		None	M	T	Buy (add'l)	11/02/20	L		
7. Amgen	C	Dividend	M	T					
8. Aspen Technologies C.S.		None	J	T	Buy	02/24/20	J		
9. Bank of America C.S.	B	Dividend	L	T					
10. Berkshire Hathaway (B) C.S.		None	M	T					
11. Baidu ADR		None			Sold	04/08/20	K	C	
12. Biomarin C.S.		None	L	T					
13. Boeing Co. C.S.		None	M	T	Buy	10/13/20	M		
14. Bristol Meyers C.S.	B	Dividend	L	T					
15. Broadcom Inc. C.S.	B	Dividend	L	T	Buy	03/16/20	K		
16. Chevron C.S.	D	Dividend	L	T					
17. Conoco Phillips C.S.	C	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. CVS Healthcorp. C.S.	A	Dividend	K	T					
19. Diamondback Energy C.S.		None			Sold	01/09/20	K	C	
20. Dupont C.S.	A	Dividend			Sold	07/23/20	K	C	
21. Eli Lilly C.S.	D	Dividend	N	T					
22. Enterprise Products Partners L.P.	D	Dividend	L	T					
23. Exxon Mobil Corp. C.S.	D	Dividend	L	T					
24. Energy Transfer L.P.	A	Dividend	K	T	Buy	07/08/20	K		
25. Gilead, C.S.	D	Dividend	N	T					
26. Illumina C.S.		None			Sold	11/02/20	K	B	
27. Intel C.S.	B	Dividend	L	T					
28. J P Morgan Chase C.S.	B	Dividend	L	T					
29. Johnson & Johnson C.S.	D	Dividend	M	T					
30. L3 Harris Tech. C.S.	A	Dividend	K	T	Buy	07/08/20	K		
31. Lockheed Martin C.S.	B	Dividend	K	T	Buy	07/09/20	K		
32. Merck & Co. Inc. C.S.	D	Dividend	N	T					
33. Microsoft C.S.	A	Dividend	K	T					
34. Nike C.S.	A	Dividend	L	T	Buy	03/23/20	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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35. Palo Alto Networks		None	K	T	Buy	03/09/20	J		
36. Pfizer Inc. C.S.	C	Dividend	M	T					
37. Phillips 66 C.S.	A	Dividend	K	T					
38. Phillips 66 Partners C.S.	A	Dividend			Sold	01/03/20	K	D	
39. Qorvo, Inc. C.S.		None			Sold	01/08/20	J	D	
40. Regeneron Inc. C.S.		None	M	T					
41. Sanofi Aventis C.S.	A	Dividend			Sold	07/28/20	L	E	
42. Skyworks Solutions C.S.	A	Dividend	K	T					
43. Tencent Holdings	A	Dividend	K	T	Buy	12/28/20	K		
44. Uniqure N.V.		None	K	T	Buy	12/21/20	K		
45. Valero Energy C.S.	C	Dividend	L	T					
46. Vertex Pharm. C.S.		None	L	T	Buy	10/26/20	L		
47. Wells Fargo C.S.	A	Dividend	K	T					
48. Zscaler Inc. C.S.		None			Sold	05/26/20	J	D	
49. Charles Schwab Money Market (Cash Equivalents)	A	Interest	M	T					
50.									
51. ORI/Acadia & Ver. Par., LA; McGowan Inc.; Zachry Exploration	E	Royalty	N	W					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

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52. ORI/Regan County, TX/Pioneer Natural Resources	A	Royalty	L	W					
53. W/I; Acadia & Vermillion Par., LA; McGowan Inc.	D	Royalty	N	W					
54. ORI/Acadia Par., LA; Zachry Exploraton	B	Royalty	N	W					
55.									
56. Investment Property (Pulaski County, AR) (See Section VIII)		None	O	W					
57.									
58. Rollover IRA									
59. Charles Schwab Money Market (Cash Equivalents)	B	Interest	K	T					
60. Adobe C.S.		None	M	T	Buy	03/12/20	L		
61. Agios C.S.		None	K	T					
62. Alibaba ADR		None	L	T	Buy (add'l)	12/24/20	K		
63. Alphabet (Class A) C.S.		None	L	T					
64. Amazon C.S.		None	M	T					
65. Applied Materials C.S.	A	Dividend	L	T					
66. Amgen C.S.	D	Dividend	M	T					
67. Aspen Technologies		None	K	T	Buy	02/27/20	K		
68. Berkshire Hathaway (B) C.S.		None	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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69. Biomarin C.S.		None	L	T					
70. Boeing C.S.		None	M	T	Buy	07/29/20	M		
71. Bristol Myers Squibb C.S.	B	Dividend	K	T	Buy	11/10/20	K		
72. Broadcom C.S.	B	Dividend	L	T	Buy	03/18/20	K		
73. Chevron C.S.	A	Dividend			Sold	04/30/20	L	D	
74. Conoco Phillips C.S.	B	Dividend	K	T					
75. Diamondback Energy C.S.	B	Dividend	K	T					
76. Dow C.S.	A	Dividend			Sold	07/23/20	K	E	
77. Energy Transfer L.P.	C	Dividend	K	T					
78. Enterprise Products Partners L.P.	D	Dividend	K	T					
79. EOG Resources Inc. C.S.	A	Dividend	K	T					
80. Gilead Sciences Inc. C.S.	D	Dividend	M	T					
81. Illumina C.S.		None	L	T					
82. Intel C.S.	B	Dividend	K	T					
83. J.P. Morgan C.S.	B	Dividend	L	T					
84. Johnson & Johnson C.S.	B	Dividend	L	T					
85. Lumentum C.S.		None			Sold	02/12/20	K	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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86. Lockheed Martin C.S.	B	Dividend	K	T	Buy	07/09/20	K		
87. Merck & Co. Inc. C.S.	B	Dividend	K	T					
88. Microsoft C.S.	A	Dividend	M	T	Buy (add'l)	02/25/20	K		
89. Palo Alto Networks C.S.		None	L	T	Buy	03/12/20	K		
90. Pfizer Inc. C.S.	A	Dividend			Sold	03/23/20	K	A	
91. Pioneer Natural Resources C.S.	A	Dividend			Sold	02/28/20	K		
92. Raytheon Technologies C.S.	A	Dividend	K	T	Buy	04/04/20	K		
93. Regeneron C.S.		None	M	T					
94. Stryker C.S.	A	Dividend	K	T	Buy	03/23/20	J		
95. Tencent Holdings	A	Dividend	K	T	Buy	12/28/20	K		
96. Uniqure N.V.		None	K	T	Buy	12/23/20	K		
97. Vertex Pharma. C.S.		None	M	T	Buy	11/02/20	M		
98. Zscaler C.S.		None			Sold	05/26/20	K	D	
99.									
100. IRA #1									
101. Adobe C.S.		None	K	T	Buy	03/12/20	J		
102. Alibaba ADR.		None	K	T					

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103. Amgen Inc. C.S.	B	Dividend	K	T					
104. Aspen Technology Inc. C.S.		None	J	T	Buy	02/25/20	J		
105. Berkshire Hathaway (B) C.S.		None	L	T					
106. Biomarin C.S.		None	K	T					
107. Boeing		None	K	T	Buy	03/05/20	K		
108. Gilead Sciences Inc. C.S.	A	Dividend	K	T					
109. Johnson & Johnson C.S.	A	Dividend	K	T					
110. J.P. Morgan Chase C.S.	A	Dividend	K	T					
111. Merck C.S.	A	Dividend	J	T					
112. Palo Alto Networks		None	K	T	Buy	03/12/20	J		
113. Pioneer Natural Resources C.S.	A	Dividend	J	T					
114. Regeneron C.S.		None	K	T	Buy	07/08/20	K		
115. Uniqure N.V.		None	J	T	Buy	09/23/20	J		
116. Vertex Pharma. C.S.		None	K	T	Buy	10/20/20	K		
117. Charles Schwab Money Market (Cash Equivalents)	A	Interest	L	T					
118.									
119. Inherited IRA									

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Agios C.S.		None			Sold	10/23/20	J	B	
121. Alibaba		None	K	T					
122. Berkshire Hathaway C.S.		None	K	T					
123. BioMarin Pharma. C.S.		None	J	T	Buy	08/24/20	J		
124. Boeing C.S.		None	K	T	Buy	03/05/20	K		
125. Chevron C.S.	A	Dividend			Sold	05/01/20	J	A	
126. Gilead Science Inc. C.S.	A	Dividend	J	T					
127. Merck C.S.	A	Dividend	J	T					
128. Microsoft C.S.	A	Dividend	K	T	Buy	03/06/20	J		
129. Nike C.S.	A	Dividend	J	T	Buy	03/18/20	J		
130. Palo Alto Networks C.S.		None	K	T	Buy	03/11/20	J		
131. Regeneron C.S.		None	J	T	Buy	12/24/20	J		
132. Tencent Holdings	A	Dividend	J	T	Buy	12/28/20	J		
133. Uniqure N.V.		None	J	T	Buy	12/21/20	J		
134. Charles Schwab Money Market (Cash Equivalents)	A	Interest	K	T					
135.									
136.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. IRA #2									
138. Adobe C.S.		None	L	T	Buy	03/12/20	J		
139. Agios Pharma. C.S.		None	J	T	Buy	12/10/20	J		
140. Alibaba ADR		None	K	T					
141. Amgen Inc. C.S.	B	Dividend	L	T					
142. Aspen Technologies C.S.		None	J	T	Buy	02/25/20	J		
143. Berkshire Hathaway (B) C.S.		None	K	T					
144. BioMarin Pharma. C.S.		None	K	T					
145. Boeing C.S.		None	J	T	Buy	12/27/20	J		
146. Gilead Sciences Inc. C.S.	A	Dividend	K	T					
147. Merck C.S.	A	Dividend	K	T					
148. Microsoft C.S.	A	Dividend	L	T	Buy (add'l)	02/27/20	K		
149. Pfizer Inc. C.S.	A	Dividend	J	T					
150. Pioneer Natural Resources C.S.	A	Dividend			Sold	01/08/20	K	D	
151. Regeneron Pharma. C.S.		None	K	T					
152. Stryker C.S.	A	Dividend	J	T	Buy	03/06/20	K		
153. Uniqure N.V.		None	J	T	Buy	09/23/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. Vertex Pharma. C.S.		None	K	T	Buy	10/21/20	K		
155. Zscaler Inc. C.S.		None			Sold	05/26/20	K	C	
156. Charles Schwab Money Market (Cash Equivalents)	A	Interest	J	T					
157.									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ J. Thomas Ray**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544