

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Reeves, Carlton W.	2. Court or Organization Southern District of Mississippi	3. Date of Report 08/05/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge- - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 501 E. Court Street Suite 5.550 Jackson, MS 39201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Reeves, Carlton W.

Date of Report

08/05/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	ABA Forum on Communications Law	February 6-8, 2020	Austin, TX	Diversity Moot Court Competition	Travel, Lodging and Meals
2.	Yale Law School	February 12-15, 2020	New Haven, CT	REBLAW National Conference and Yale ACS local Chapter	Travel, Lodging and Meals
3.	University of Michigan School of Law	February 17-19, 2020	Ann Arbor, MI	ACS Chapter	Travel, Lodging and Meals
4.					
5.					

Name of Person Reporting	Date of Report
Reeves, Carlton W.	08/05/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. AT&T (T), Common	A	Dividend	K	T	Buy (add'l)	12/17/20	J		
2. Alphabet Inc. (GOOGL), Common		None	J	T					
3. Amazon (AMZN), Common		None	K	T					
4. Ameriprise Finan. Inc. (AMP), Common	A	Dividend	J	T					
5. Analog Device Inc. (ADI), Common	A	Dividend	K	T					
6. Apple Inc. (AAPL), Common	A	Dividend	J	T	Buy	08/31/20	J		
7. Apple Inc. (AAPL), Common	A	Dividend	J	T	Buy (add'l)	12/17/20	J		
8. Automatic Data Processing Inc. (ADP), Common	A	Dividend	J	T					
9. Blackstone Group Inc. CLA (BX), Common	A	Distribution	J	T					
10. BP PLC (BP), Common	A	Dividend	J	T	Buy (add'l)	12/17/20	J		
11. Berkshire Hathaway (BRK/B)		None	J	T	Buy	03/23/20	J		
12. CH Robinson Worldwide (CHRW), Common	A	Dividend	J	T					
13. Caterpillar (CAT), Common	A	Dividend	K	T	Buy (add'l)	03/23/20	J		
14. Chevron (CVX), Common	A	Dividend	J	T	Buy (add'l)	09/28/20	J		
15. Chevron (CVX), Common	A	Dividend			Buy (add'l)	12/17/20	J		
16. Cisco Systems (CSCO), Common	A	Dividend	J	T					
17. Corteva Inc (CTVA), Common	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Reeves, Carlton W.

Date of Report

08/05/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Crown Castle (CCI), Common	A	Dividend	J	T					
19. Discover Financial Svcs (DFS), Common	A	Dividend	J	T					
20. Dow (DOW), Common	A	Dividend	J	T					
21. DuPont de Nemours (DD), Common	A	Dividend	J	T					
22. Exxon Mobil, (XOM), Common	A	Dividend	J	T	Buy (add'l)	02/13/20	J		
23. Exxon Mobil, (XOM), Common	A	Dividend			Buy (add'l)	09/28/20	J		
24. Exxon Mobil, (XOM), Common	A	Dividend			Buy (add'l)	12/17/20	J		
25. Facebook, Inc. (FB), Common		None	K	T					
26. Fedex Corp. (FDX), Common	A	Dividend	J	T					
27. First Commerical Bank, Common	A	Dividend	K	T					
28. First Trust (FDL), Common	A	Dividend	J	T					
29. Garrett Motion, Inc. (GTX)	A	Dividend			Sold	12/17/20	J	A	
30. Gilead Sciences (GILD), Common	A	Dividend	J	T	Buy (add'l)	12/17/20	J		
31. Honeywell Int'l (HON), Common	A	Dividend	J	T					
32. Intel Corp. (INTC), Common	A	Dividend	J	T					
33. INTL Business Machines Corp. (IBM), Common	A	Dividend	J	T					
34. Invesco S&P 500, High Dividend Low Volatility ETF, (SPHD), Common	A	Dividend			Buy (add'l)	02/10/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Reeves, Carlton W.

Date of Report

08/05/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Invesco S&P 500, High Dividend Low Volatility ETF, (SPHD), Common		None			Sold	08/24/20	J	A	
36. Iron Mountain (IRM), Common	A	Dividend	J	T	Buy (add'l)	12/17/20	J		
37. JB Hunt Transport (JBHT), Common	A	Dividend			Sold	09/08/20	J	A	
38. Johnson & Johnson (JNJ), Common	A	Dividend	K	T					
39. Kellogg Co. (K)	A	Dividend	J	T					
40. Kinder Morgan (KMI), Common	A	Dividend	J	T	Buy (add'l)	12/17/20	J		
41. Kraft Heinz Co. (KHC), Common	A	Dividend	J	T	Buy (add'l)	12/17/20	J		
42. Kraft Heinz Co. (KHC), Common	A	Dividend			Buy (add'l)	12/18/20	J		
43. Kroger (KR), Common	A	Dividend	J	T					
44. Lockheed Martin (LMT), Common	A	Dividend	J	T					
45. McDonalds Corp. (MCD), Common	A	Dividend	K	T					
46. Mellanox Technologies Ltd. (MLNX), Common					Sold	07/24/20	J	D	
47. Merck (MRK), Common	A	Dividend	J	T					
48. MetLife Inc. (MET), Common	A	Dividend	J	T					
49. Microsoft (MSFT), Common	A	Dividend	K	T					
50. Morgan Stanley (MS), Common	A	Dividend	J	T					
51. Motorola Solutions Inc. (MSI), Common	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Reeves, Carlton W.

Date of Report

08/05/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Netflix Inc (NFLX), Common		None	J	T					
53. Norfolk Southern (NSC), Common	A	Dividend	J	T					
54. Pepsico (PEP), Common	A	Dividend	K	T					
55. Procter & Gamble (PG), Common	A	Dividend	K	T	Buy (add'l)	03/23/20	J		
56. Qualcomm Inc. (QCOM), Common	A	Dividend	K	T					
57. Resideo Technologies, Inc. (REZI), Common	A	Dividend			Sold	12/17/20	J	A	
58. Rockwell Auto (ROK), Common	A	Dividend	J	T					
59. Royal Dutch (RDS/A), Common	A	Dividend	J	T	Buy (add'l)	02/06/20	J		
60. Royal Dutch (RDS/A), Common	A	Dividend			Buy (add'l)	12/17/20	J		
61. Sanderson Farms (SAFM), Common	A	Dividend	K	T					
62. 3M Company (MMM), Common	A	Dividend	K	T	Buy (add'l)	03/23/20	J		
63. Target (TGT), Common	A	Dividend	J	T					
64. Toronto Dominion Bank (TD), Common	A	Dividend	J	T					
65. United Parcel Serv. (UPS), Common	A	Dividend	J	T					
66. Victory Shares US (CDC), ETF	A	Dividend	J	T					
67. YUM Brands, Inc. (YUM), Common	A	Dividend	K	T					
68. YUM China Holdings, Inc (YUMC), Common	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Reeves, Carlton W.

Date of Report

08/05/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Columbia Acorn Themorstat (CTFAX), Mutual Fund	B	Dividend	M	T					
70. Empire Retirement (H)									
71. -----American Funds 2030 Trgt	A	Int./Div.	K	T					
72. ----Great-West Government Money Market Inst	A	Int./Div.	J	T					
73. Pruco Life Ins. Co. Prudential Premier RetirementX, Var Ann (H)									
74. -----AST Academic Strategies Asset Allocation Portfolio		None	J	T					
75. -----AST Black Rock/Loomis Sayles Bond Portfolio		None	N	T					
76. -----AST Loomis Sayles Large-Cap Growth Portfolio		None	J	T					
77. ----- AST Wellington Mgmt Hedged Equity Port.		None	J	T					
78. -----AST QMA US Equity Alpha		None	J	T					
79. -----AST T. Rowe Price Natural Resources		None	J	T					
80. College Savings Plan (H)									
81. --Scholars Edge 529 Plan (H)									
82. ----- Scholar's Edge Today Portfolio A		None	J	T					
83. -----Principal Equity Income Portfolio A		None	J	T					
84. -----Principal Diversified International Portfolio A		None	J	T					
85. -----Scholar's Edge Aggressive Portfolio A		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. ----- Principal Blue Chip Portfolio A		None	J	T					
87. United States Savings Bond	A	Interest	J	T					
88. Wells Fargo Advisors	A	Interest	M	T					
89. First Commercial Bank, Money Market Acct, Cash Account	A	Interest	K	T					
90. Statewide Federal Credit Union, Cash Account	A	Interest	J	T					
91. BankPlus, Cash Account	A	Interest	J	T					
92. Liberty Bank, Cash Account	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Reeves, Carlton W.

Date of Report

08/05/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts

46. Mellanox was acquired by NVIDIA (NVDA) and cash payment was made to the Mellanox shareholders.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Reeves, Carlton W.

Date of Report

08/05/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Carlton W. Reeves**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544