

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ramos, Edgardo	2. Court or Organization United States District Court, Southern District of New York	3. Date of Report 08/09/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Thurgood Marshall United States Courthouse 40 Foley Square Suite 410 New York, New York 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Chair	Harvard Law Latino Alumni Committee
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. -JP Morgan Chase Checking and Savings accounts	A	Interest	J	T					
2. -People's Bank Checking and Savings accounts	A	Interest	K	T					
3. -Bank of America Checking and Savings accounts	A	Interest	J	T					
4. -Bank of America Checking and Savings	A	Interest	M	T					
5.									
6. -Schwab Retirement Account									
7. -T. Rowe Price Retirement 2025	A	Dividend	O	T					
8.									
9. TIAA CREF Retirement Accounts									
10. - TIAA Traditional	A	Dividend	M	T					
11. - CREF Stock	A	Dividend	M	T					
12. - CREF Equity Index	A	Dividend	K	T					
13. - CREF Global Equities	A	Dividend	L	T					
14. --CREF Bond Market	A	Dividend	K	T					
15. - CREF Inflation-Linked Bond	A	Dividend	J	T					
16. - CREF Money Market Fund	A	Dividend	J	T					
17. -Fidelity Retirement Account									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Fidelity Contrafund	A	Dividend	L	T					
19. - Fidelity Intermediate Government Income fund	A	Dividend	J	T					
20. -Fidelity Government Money Market	A	Dividend	J	T					
21. -JPMCB SR DRE 2030 CF	A	Dividend	K	T					
22. -JPMCB SR DRE 2025 CF	A	Dividend	N	T					
23. - Harbor International Instl CL	A	Dividend	J	T					
24. -MIP II CL 2	A	Dividend	N	T					
25. -FID 500 Index IPR	A	Dividend	M	T					
26. -TRP Equity Income	A	Dividend	K	T					
27. -WT CIF II CR PL BD I	A	Dividend	K	T					
28. -Van Real EST Inx Adm	A	Dividend	J	T					
29. -Rothchild SMCP Core	A	Dividend	L	T					
30. -CT Higher Education Trust Moderate Managed Allocation		None	K	T					
31.									
32.									
33. Brokerage Account # 1									
34. - ML Bank Deposit Program	A	Int./Div.	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. -7.0% GNMA Bond	B	Interest	K	T					
36. -5.35% GNMA Bond	B	Interest	K	T					
37. -5.37% GNMA Bond	B	Interest	K	T					
38. -5.37% GNMA Bond	B	Interest	K	T					
39. -5.38% GNMA Bond	B	Interest	K	T					
40. -5.38% GNMA Bond	B	Interest	K	T					
41. -5.0 % LA County CA Bond 8/1/22	A	Interest	J	T					
42. -5.25% MA GOBD Bond 8/1/22	A	Interest	J	T					
43. -5.0% Orange County FL Bond 8/1/27	A	Interest	K	T					
44. -5.0% MD GO Bond 3/1/26	A	Interest	J	T					
45. -5.0% UT Bond 7/1/24	A	Interest	J	T					
46. -5.0% Miami Dade School Bond 3/15/25	A	Interest	J	T					
47. -5.0% University of MO Bond 11/1/25	A	Interest	J	T					
48. -5.0% Atlanta GA Gen Rev Bond 1/1/26	A	Interest	J	T					
49. -4.0% Illinois Sales Tax Bond 6/15/28	A	Interest	J	T					
50. -5.0% Dallas FT Worth TX Bond 11/1/29		None			Sold	11/02/20	J	A	
51. -5.0% Carmel IN Bond 7/15/30	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. -5.0% Truckee Meadows NV Water Bond 7/1/34	A	Interest	J	T					
53. -ABB Ltd	A	Dividend	J	T					
54. -Abbot Labs	A	Dividend	K	T					
55. -Abbvie	A	Dividend	K	T					
56. -Allegion PLC	A	Dividend	J	T					
57. -American Tower REIT	A	Dividend	J	T					
58. -American Water Works	A	Dividend	J	T					
59. -American Electric Power	A	Dividend	J	T					
60. -Apple Inc	A	Dividend	J	T					
61. -ASML Holdings	A	Dividend	J	T					
62. -AstraZeneca PLC	A	Dividend	J	T					
63. -A T & T	A	Dividend	J	T					
64. -ADP	A	Dividend	J	T					
65. -Baidu		None	J	T					
66. -BHP Group LTD	A	Dividend	J	T					
67. -Blockrock Inc	A	Dividend	J	T					
68. -BAT ADR	A	Dividend	J	T					

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69. -Candian Natural Resources LTD	A	Dividend	J	T					
70. -Carnival Corp	A	Dividend	J	T					
71. -Chemex ADR	A	Dividend	J	T					
72. -Chemours	A	Dividend	J	T					
73. -China Pete Chem ADR	A	Dividend	J	T					
74. -Chubb LTD	A	Dividend	J	T					
75. -Citigroup	A	Dividend	J	T					
76. -Citizens Financial Group	A	Dividend	J	T					
77. -CME Group Inc	A	Dividend	J	T					
78. -Coca Cola Com	A	Dividend	J	T					
79. -Coca Cola European	A	Dividend	J	T					
80. -Comcast Corp	A	Dividend	J	T					
81. -ConAgra Foods	A	Dividend	J	T					
82. -COPA Holdings		None	J	T					
83. -Corteva Inc	A	Dividend	J	T					
84. -Costco Wholesale	A	Dividend	J	T					
85. -Credit Suisse GP SP ADR	A	Dividend	J	T					

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86. -CVS Health Corp	A	Dividend	J	T					
87. -Danaher Corp	A	Dividend	J	T					
88. -Diageo PLC	A	Dividend	J	T					
89. -Digital Realty	A	Dividend	J	T					
90. -Disney, Walt Co Common		None	J	T					
91. -Dominion Energy Inc	A	Dividend	J	T					
92. -Dow Inc	A	Dividend	J	T					
93. -Dupont E.I. De Nemours	A	Dividend	J	T					
94. -Echo Lab	A	Dividend	J	T					
95. -Emerson Electric	A	Dividend	J	T					
96. -Fedex Corp	A	Dividend	J	T					
97. -Garrett Motion Inc		None	J	T					
98. -General Electric	A	Dividend	J	T					
99. -Gildan Activewear		None	J	T					
100. -GlaxoSmithKline PLC ADR	A	Dividend	J	T					
101. -Grupo Televisa		None	J	T					
102. -GW Pharmaceuticals		None	J	T					

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103. -Home Depot Inc	A	Dividend	J	T					
104. -Honeywell Intl	A	Dividend	J	T					
105. -Humana Inc	A	Dividend	J	T					
106. -ICICI Bank		None	J	T					
107. -ING GP NV		None	J	T					
108. -Ingersoll Rand PLC		None	J	T					
109. -Intel Corp	A	Dividend	J	T					
110. -IBM Corp	A	Dividend	J	T					
111. -JP Morgan Chase	A	Dividend	J	T					
112. -Kimberly Clark	A	Dividend	J	T					
113. -Kraft (The) Heinz	A	Dividend	J	T					
114. -Lamb Western Holding Inc	A	Dividend	J	T					
115. -Lockheed Martin	A	Dividend	J	T					
116. -Manulife Financial Corp	A	Dividend	J	T					
117. -McDonalds Corp	A	Dividend	J	T					
118. -Medtronic PLC	A	Dividend	J	T					
119. -Melco Resorts	A	Dividend	J	T					

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120. -Metlife Inc	A	Dividend	J	T					
121. -Microsoft	A	Dividend	J	T					
122. -Mitsubishi Financial Group Inc	A	Dividend	J	T					
123. -Momdelez Inc	A	Dividend	J	T					
124. -Netease.com Inc	A	Dividend	J	T					
125. -Nextera Energy Inc	A	Dividend	J	T					
126. -Occidental Pet Corp	A	Dividend	J	T					
127. -Paccar Inc.	A	Dividend	J	T					
128. -Pampa Energia SA		None	J	T					
129. -Pfizer	B	Dividend	K	T					
130. -Phillip Morris Intl Inc	A	Dividend	J	T					
131. -PPL Corp	A	Dividend	J	T					
132. -Proctor & Gamble	A	Dividend	K	T					
133. -Prologis Inc	A	Dividend	J	T					
134. -Prudential PLC	A	Dividend	J	T					
135. -Resideo Tech		None	J	T					
136. -Royal Dutch Shell PLC	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. -Sanofi ADR	A	Dividend	J	T					
138. -SAP SE	A	Dividend	J	T					
139. -SK Telecom	A	Dividend	J	T					
140. -SONY Corp	A	Dividend	J	T					
141. -Takeda Pharmaceutical Co	A	Dividend	J	T					
142. -TC Energy Corp	A	Dividend	J	T					
143. -Texas Instruments	A	Dividend	J	T					
144. -Thermo Fisher Scientific Inc	A	Dividend	J	T					
145. -TD Bank	A	Dividend	J	T					
146. -Total SE	A	Dividend	J	T					
147. -Trane Technologies (X)	A	Dividend	J	T	Spinoff (from line 108)	03/01/20	J		
148. -Trip.com		None	J	T					
149. -Union Pacific Corp	A	Dividend	J	T					
150. -Vale SA	A	Dividend	J	T					
151. -Ventas Inc	A	Dividend	J	T					
152. -Veon LTD	A	Dividend	J	T					
153. -Verizon Communications	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. -Viatris Inc. (X)		None	J	T	Spinoff (from line 129)	11/16/20	J		
155. -VISA Inc	A	Dividend	J	T					
156. -Wabtec	A	Dividend	J	T					
157. -Abbey Capital Futures	A	Dividend	J	T					
158. -AQR Diversified	A	Dividend	J	T					
159. -Blackrock Global Long	A	Dividend	J	T					
160. -Blackrock Strategic	A	Dividend	J	T					
161. -Boston Partners Long	A	Dividend	J	T					
162. -Brandywine Global	A	Dividend	J	T					
163. -Consumer Discretionary SPDR	A	Dividend	J	T					
164. -Driehaus Event Driven	A	Dividend	J	T					
165. -FPA Crescent Fund	A	Dividend	J	T					
166. -Gotham Neutral Fund		None	J	T					
167. -Healthcare Select SPDR	A	Dividend	J	T					
168. -Invesco Emerging Markets	A	Dividend	J	T					
169. -Invesco Preferred ETF	A	Dividend	J	T					
170. -iShares MSCI	A	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -iShares IBOXX	A	Dividend	K	T					
172. -iShares TIPS	A	Dividend	J	T					
173. -iShares 3-7 Year	A	Dividend	K	T					
174. -iShares MBS	A	Dividend	K	T					
175. -iShares IBOX High Yield	A	Dividend	J	T					
176. -iShares INC CORE MSCI	A	Dividend	K	T					
177. -Neuberger Berman Long		None	J	T					
178. -Real Estate Select SPDR	A	Dividend	J	T					
179. -Sector SPDR Consumer Stpl	A	Dividend	K	T					
180. -Sector SPDR Energy	A	Dividend	J	T					
181. -Sector SPDR Industrial	A	Dividend	J	T					
182. -Sector SPDR Utilities	A	Dividend	J	T					
183. -SPDR S&P Insurance	A	Dividend	J	T					
184. -Vaneck Vectors	A	Dividend	J	T					
185. -Vanguard Financials ETF	A	Dividend	J	T					
186. -Vanguard Information Tech	A	Dividend	K	T					
187. -Vanguard Communication	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. -Vanguard Intermediate Bond Fund	B	Dividend	K	T					
189. -Vangaurd Short Tern Bond Fund	A	Dividend	K	T					
190. -Western Asset Macro	A	Dividend	J	T					
191.									
192. Brokerage Account # 2									
193. -Federated Capital Reserves Money Market Fund	A	Dividend	N	T					
194. -Linde PLC	A	Dividend	L	T					
195. -AT&T	B	Dividend	K	T					
196. -Abbott Labs	A	Dividend	L	T					
197. -Abbvie	B	Dividend	K	T					
198. -Alcoa Inc.		None	J	T					
199. -Allstate Corp.	A	Dividend	J	T					
200. -American Airlines Group	A	Dividend	J	T					
201. -Amerisource Bergen	A	Dividend	J	T					
202. -Amgen Inc.	A	Dividend	K	T					
203. -Arconic Inc		None	J	T					
204. -BP PLC Spons ADR	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. -BT Group PLC ADT		None			Sold	04/07/20	J	A	
206. -Boeing Co Com		None	J	T					
207. -Boston Scientific Corp		None	K	T					
208. -Campbell Soup Co	A	Dividend	J	T					
209. -Caterpillar Inc.	B	Dividend	K	T					
210. -Charter Communications		None	J	T					
211. -Chevron Corp New Com	B	Dividend	J	T					
212. -Cisco Systems, Inc	A	Dividend	J	T					
213. -Clorox Co Com	A	Dividend	K	T					
214. -Tapestry Inc	A	Dividend	J	T					
215. -Coca Cola Company	A	Dividend	J	T					
216. -Comcast Corp CL A	A	Dividend	J	T					
217. -ConAgra Foods Inc. Com	A	Dividend	J	T					
218. -Conoco Phillips Com	A	Dividend	J	T					
219. -Corteva Inc Com	A	Dividend	J	T					
220. -Disney Walt Co Disney Com		None	K	T					
221. -Discover Finl Svsc Inc.	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. -Dow Inc Com	A	Dividend	J	T					
223. -Dupont De Nemours	A	Dividend	J	T					
224. -Eastman Chem Co Com	A	Dividend	J	T					
225. -Exxon Mobil Corp Com	A	Dividend	J	T					
226. -Ford Motor Co Del Com Par		None	J	T					
227. -Freeport McMoran Copper & Gold Inc.	A	Dividend	J	T					
228. -Frontier Communications Corp		None	J	T					
229. -General Electric Co Com	A	Dividend	J	T					
230. -GlaxoSmithKline PLC Spons ADR	A	Dividend	J	T					
231. -Hanesbrands	A	Dividend	J	T					
232. -Hershey Co Com	A	Dividend	K	T					
233. -Ingredion Inc	A	Dividend	J	T					
234. -Intel Corp Com	A	Dividend	K	T					
235. -IBM Corp	A	Dividend	K	T					
236. -International Paper Co	A	Dividend	K	T					
237. -JP Morgan Chase & Co Com	A	Dividend	K	T					
238. -Kellogg Co	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. -Lamb Weston Holdings, Inc	A	Dividend	J	T					
240. -Merck & Co Inc. New Com	A	Dividend	J	T					
241. -Morgan Stanley Com New	A	Dividend	J	T					
242. -NCR Corp Com		None	J	T					
243. -Newell Bands Inc	A	Dividend	J	T					
244. -News Corp CL A	A	Dividend	J	T					
245. -OFG Bank Corp	A	Dividend	J	T					
246. -Pepsico Inc.	A	Dividend	J	T					
247. -Pfizer Inc. Com	B	Dividend	K	T					
248. -Phillips 66	A	Dividend	J	T					
249. -Raytheon Co New Com	A	Dividend	K	T					
250. -Smucker J M Co Com New	A	Dividend	J	T					
251. -Target Corp	B	Dividend	L	T					
252. -Teradata Corp Del Com		None	J	T					
253. -3M Co Com	A	Dividend	J	T					
254. -Travelers Cos Inc. Com	A	Dividend	J	T					
255. -US Steel Corp	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256. -Verizon Communications Com	A	Dividend	J	T					
257. -ViacomCBS Inc CL B	A	Dividend	J	T					
258. -Viatris Inc Com (X)		None	J	T	Spinoff (from line 247)	11/16/20	J		
259. -Vodafone Group PLC Spon ADR New	A	Dividend	J	T					
260. -Yum Brands Inc. Com	A	Dividend	J	T					
261. -Yum China Holding, Inc Com	A	Dividend	J	T					
262. -Public Storage Com	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Bank of America Accounts indicated on line # 4 is owned [REDACTED] The account was used exclusively for the benefit [REDACTED] care prior to passing.

Line 146 misspelled in 2019 was TOTAL SA

Ingersoll-Rand PLC (Line 108) spun off Ingersoll Rand Industrial. The spinoff was then immediately merged into Gardener Denver. The combined company then changed it's name Ingersoll Rand Inc.

The parent company Ingersoll Rand Inc. then changed it's name to Trane Technologies PLC (Line 147). This was completed on March 1, 2020.

Viatis Inc (Line 154) spun off from Pfizer (line 129) on 11/16/20 to form Viatis Inc.

Viatis Inc (Line 258) spun off from Pfizer (line 247) on 11/16/20 to form Viatis Inc.

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08/09/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Edgardo Ramos**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544