

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Robinson, James J.	2. Court or Organization U.S. Bankruptcy Court, Northern District of Alabama	3. Date of Report 05/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Courthouse - Room 117 1129 Noble Street Anniston, AL 36201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2006	Burr & Forman LLP retired partner annuity agreement (former law firm)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Burr & Forman LLC - retired partner's annuity	\$27,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Southern Company-retirement/pension
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Southern Co. stock	A	Dividend	K	T	Buy (add'l)	2020	J		
2. Wells Fargo deposit accounts (2)	A	Interest	L	T					
3. Protective Life policies (2)-both whole life	A	Int./Div.	J	T					
4. Mass Mutual Life- American Century Value mutual fund		None	L	T					
5. Mass Mutual Life - guaranteed principal account fund	A	Interest	J	T					
6. American Growth F-2 Class A Mutual Fund	A	Dividend	M	T	Buy (add'l)	2020	J		
7. Proassurance Corp.stock	A	Dividend			Sold	05/12/20	K	D	
8. Am. New Perspective Fund Class F-2 Mutual Fund					Sold	05/12/20	N	F	
9. Bk of Am RSA money fund (JJR)	A	Int./Div.	J	T					
10. Am. Capital World Income Mutual Fund Class F-2	A	Dividend			Sold	05/12/20	K	E	
11. American Growth Mutual Fund of America F2 (Formerly A)	C	Dividend	O	T	Sold (part)	12/24/20	M	F	
12. American Fundamental Investors Mutual Fund Class F-2 (SGR IRA)	C	Dividend	L	T					
13. American New Perspective Mutual Fund	A	Dividend	L	T					
14. American Capital World Growth Income Fund Class A	A	Dividend	K	T	Buy (add'l)	2020	J		
15. American Growth Mutual Fund of America Class A	A	Dividend	M	T	Buy (add'l)	2020	J		
16. MetLife, Inc. stock	A	Dividend	J	T					
17. Pfizer stock	A	Dividend			Sold	05/12/20	L	E	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Waste Management stock	A	Dividend			Sold	05/12/20	M	F	
19. Chevron Corp stock	A	Dividend			Sold	05/12/20	K		
20. Coca Cola stock	A	Dividend			Sold	05/12/20	L	D	
21. Kimberly Clark stock	B	Dividend			Sold	05/12/20	L	E	
22. McDonalds Corp stock	A	Dividend			Sold	05/12/20	L	F	
23. Microsoft Corp stock	A	Dividend			Sold	05/12/20	N	G	
24. Duke Energy stock	A	Dividend			Sold	05/12/20	K	E	
25. Mondelez Int'l stock	A	Dividend			Sold	05/12/20	L	E	
26. Apple, Inc.stock	A	Dividend			Sold	05/12/20	M	F	
27. American Amcap Mutual Fund F2 (formerly A)	D	Dividend	N	T	Sold (part)	05/12/20	N		
28. Nextera Energy Inc. stock	A	Dividend			Sold	05/12/20	K	E	
29. Bk of Am RSA money fund (SGR-IRA)	A	Int./Div.	J	T					
30. American Legacy annuity: Am Growth-Income & Am New World Funds		None	M	T					
31. Amazon stock		None			Sold	05/12/20	M	F	
32. American Investment Co. of America Class F-2 Mutual Fund	A	Dividend			Sold	05/12/20	K		
33. ML Bank Deposit Program (JJR IRA)	A	Int./Div.	J	T					
34. American Fundamental Investors F-2 (JJR IRA)	A	Dividend			Sold	05/12/20	N		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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35. Amcap Mutual Fund F-2	D	Dividend	M	T					
36. American US Gov Securities Fund CL F2			P1	T	Buy	05/13/20	P1		
37. American US Gov Securities Fund CL F2			P1	T	Sold (part)	07/09/20	J	C	
38. American US Gov Securities Fund CL F2			P1	T	Sold (part)	09/14/20	J	D	
39. American US Gov Securities Fund CL F2					Sold	11/10/20	P1		
40. American Bond Fund of America CL F2			P1	T	Buy	05/13/20	P1		
41. American Bond Fund of America CL F2			P1	T	Sold (part)	07/09/20	J	C	
42. American Bond Fund of America CL F2			P1	T	Sold (part)	09/14/20	J	D	
43. American Bond Fund of America CL F2					Sold	11/10/20	P1	E	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - The date of the "Buy add'l" for the investments reported on line items 1, 6, 14 and 15 is shown as 2020 without the day and month of the purchase. These "Buy add'l" transactions were the result of dividend reinvestments from time to time throughout the year.

Paart VII - Line items 36 and 40 report the purchase of shares in American US Gov Securities Fund CL F2 and American Bond Fund of America Fund CL F2, respectively. Lines 37 & 38, and 41 & 42 report partial sales of these investments, respectively, and lines 39 and 43 report the sale of all remaining shares, respectively.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James J. Robinson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544