

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rosenbluth, Jean P.	2. Court or Organization Central District of California, Western Division	3. Date of Report 08/13/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) full-time magistrate judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address U.S. Courthouse 225 East Temple Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Rosenbluth, Jean P.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

Name of Person Reporting	Date of Report
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Accounts -- cash equivalent	A	Interest	L	T					
2. American Electric Power common stock	A	Dividend	J	T					
3. Schwab IRA (H)									
4. --Robinson Tax Advantaged Inc. ROBNX	A	Dividend			Sold	07/27/20	J		
5. --Doubleline Strategic Commodity DBCMX	A	Dividend			Sold	03/19/20	J		
6. --Elements Emerging Mkts Port ELMMX	A	Dividend			Sold	04/22/20	J		
7. --Elements Intl Small Cap Port ELISX	A	Dividend			Sold	04/22/20	J		
8. --Elements Intl Port ELINX	A	Dividend			Sold	04/22/20	J		
9. --Elements US Small Cap ELSMX	A	Dividend			Sold	04/22/20	J		
10. --Elements US Port ELUSX	A	Dividend			Sold	04/22/20	J		
11. --Vanguard Dev Markets (VEA)	A	Dividend	K	T	Buy	04/04/20	K		
12. --Cliffwater Corp. Lending Fund (CCLFX)	A	Dividend	J	T	Buy	07/22/20	J		
13. --Vanguard Dev Markets Admiral Fund (TMGX)	A	Dividend	J	T	Buy	04/22/20	J		
14. --Vanguard Emerging Markets Admiral Class (VEMAX)	A	Dividend	J	T	Buy	04/22/20	J		
15. Bank of America Accounts -- cash equivalent	A	Interest	J	T					
16. Morgan Stanley Aggressive Growth A	A	Dividend	L	T					
17. 401(k) -- TIAA/CREF (no control) (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --managed allocation fund (TIMRX)	A	Int./Div.	M	T					
19. --Lifecycle Retirement Income (TLRRX)	A	Int./Div.	L	T					
20. Brokerage Account (H)									
21. --Berkshire Hathaway B BRKB		None	M	T					
22. --Sector Spdr INdl Select Shares ETF XLI	B	Dividend	L	T					
23. --Sector SPDR Tr Con Splitshares ETF XLP	B	Dividend	L	T					
24. --JP Morgan Small Cap Equity Fund USEIX	E	Dividend	J	T	Sold (part)	08/31/20	K		
25.					Sold	12/30/20	J		
26. --Schwab Health Care Fund SWHFX	C	Dividend	K	T					
27. --Vanguard FTSE All World EX US ETF VEU (x)	A	Dividend	J	T	Sold	04/09/20	K		
28. --Schwab Gov. Money Fund SWGXX	A	Interest	K	T					
29. --Investco QQQ Trust Ser I ETF	B	Dividend	N	T					
30. --Pimco Investment Grade Corp BD Instl PIGIX	C	Dividend	L	T					
31. --Pimco Incm Inst CL PIMIX	B	Dividend	M	T	Sold (part)	04/09/20	L		
32.					Sold	06/19/20	M		
33. --Wisdomtree Intl Small Cap Divident ETF DLS	A	Dividend	J	T	Sold	04/09/20	J		
34. --Lord Abbeett Short Dur Income FD CL I- LLDYX	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. --Select SectorUTI Select SPDR ETF-XLU	B	Dividend	J	T	Sold (part)	12/30/20	J		
36. --Vanguard Real Estate ETF-VNQ	A	Dividend	J	T	Sold	12/30/20	K		
37. --Medtronic PLC F -MDT	A	Dividend	J	T	Sold (part)	10/14/20	J		
38. --PGIM Total Return PDB2X	B	Dividend	K	T					
39. --Vanguard Dev Markets VEA	A	Dividend	K	T	Buy	04/03/20	K		
40. --Schwab U Aggregate Bond Index Fund (SWAGX)	A	None	K	T	Buy	06/30/20	K		
41. --Western Asset Corp Bond Fund SIGYX	D	Dividend	M	T	Buy	04/09/20	L		
42. New York Times common stock	A	Dividend	J	T					
43.									
44.									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jean P. Rosenbluth**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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