

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ROSENSTENGEL, NANCY J.	2. Court or Organization UNITED STATES DISTRICT COURT-EAST ST. LOUIS	3. Date of Report 11/29/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FEDERAL JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
5b. ☒ Amended Report		
7. Chambers or Office Address UNITED STATES DISTRICT COURT MELVIN PRICE FEDERAL BUILDING AND COURTHOUSE 750 MISSOURI AVENUE ROOM 104 EAST ST. LOUIS, ILLINOIS 62201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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Name of Person Reporting

ROSENSTENGEL, NANCY J.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	BONIFIELD AND ROSENSTENGEL, INC. ATTORNEY AT LAW-SALARY
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	FCB BANK	MORTGAGE ON LAW FIRM BUILDING	L
2.			
3.			
4.			
5.			

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Name of Person Reporting

ROSENSTENGEL, NANCY J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. REGIONS BANK	A	Interest	K	T					
2. BONIFIELD AND ROSENSTENGEL STOCK	A	Dividend	N	W					
3. HUNTLEIGH IRA AND JOINT ACCTS (h)									
4. -HUNTLEIGH BANK DEPOSIT SWEEP ACCOUNT	A	Interest	K	T	Buy	05/06/20	J		
5. -FIRST TRUST ENHANCED SHORT MATURITY	A	Dividend	J	T	Sold (part)	05/06/20	J	A	
6. -FIRST TRUST SELECT DSIO PORTFOLIO 4TH QTR 2019	A	Dividend	J	T	Sold (part)	05/06/20	J	A	
7. -FIRST TRUST CAPITAL STRENGTH	A	Dividend	K	T					
8. -FRANKLIN CUSTODIAN FDS INCOME SER ADV	A	Dividend			Sold	05/06/20	J	A	
9. -FIRST TRUST PORTFOLI SELECT DSIP 1ST QTR	A	Dividend	K	T					
10. -FIRST TRUST SELECT DSIP PORT 4TH QTR 2020	B	Dividend	K	T	Buy	10/20/20	K		
11. -FIRST TRUST SELECT PORT 3RD QTR	A	Dividend			Sold	05/06/20	K	B	
12. FRANKLIN INCOME FUND A ACCT#2	A	Dividend			Sold	05/06/20	J	B	
13. BRIGHT STAR EQUITYCL H ACCT#1 BASED +18	A	Dividend			Sold	05/06/20	J	B	
14. BRIGHT STAR EQUITYCL H ACCT #2 BASED 15+16	A	Dividend	K	T					
15. UMB ACCT #1 COCA COLA STOCK	A	Dividend	J	T					
16. UMB ACCT #2 COCA COLA STOCK	A	Dividend	J	T					
17. UMB ACCT #3 COCA COLA STOCK	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. EDWARD D JONES ACCT #1 PUTNAM MULTI-CAP GROWTH FUND	A	Dividend	J	T					
19. EDWARD D JONES ACCT #2 PUTNAM MULTI-CAP GROWTH FUND	A	Dividend	J	T					
20. EDWARD D JONES ACCT #3 PUTNAM MULTI-CAP GROWTH FUND	A	Dividend	J	T					
21. NML LIFE INSURANCE-WHOLE LIFE	C	Dividend	M	T					
22. COUNTRY COMPANIES WHOLE LIFE INSURANCE CV	B	Dividend	L	T					
23. HARTFORD LIFE INSURANCE POLICY-CASH VALUE (X)	B	Dividend	K	T					
24. JJB PROFIT SHARING PLAN (H)									
25. -FIRST TRUST ENHANCED SHORT MATURITY	B	Dividend	L	T					
26. -FIRST TRUST PORT SELECT DSIP 1ST QTR	C	Dividend			Sold	01/22/20	M	B	
27. -FIRST TRUST CAPITAL STRENGTH PORT SERIES	B	Dividend	M	T					
28. -FIRST TRUST SELECT DSIP PORT 3RD QTR	C	Dividend			Sold	10/20/20	M	B	
29. -FIRST TRUST SELECT DSIP PORT 4TH QTR 2019	A	Dividend	K	T					
30. -FIRST TRUST SELECT DSIP PORT 4TH QTR 2020	B	Dividend	L	T					
31. -FIRST TR PORTFOLIOS S&P DIV ARISTOCRATS TRGT	C	Dividend	M	T	Buy	01/22/20	M		
32. -JP MORGAN 100% US -TREASURY SECURITIES MONEY MARKET	B	Interest	M	T					
33. JON ROSENTENGEL STOCK INHERITANCE ACCT (H)									
34. -CASH SWEEP ACCT HUNTLEIGH SECURITIES	A	Interest	L	T	Open	05/06/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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Name of Person Reporting ROSENSTENGEL, NANCY J.	Date of Report 11/29/2021
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -ATMOS ENERGY CORP	A	Dividend			Open	01/22/20	J		
36.					Sold	05/06/20	J	A	
37. -AT&T INC	A	Dividend			Open	01/22/20	J		
38.					Sold	05/06/20	J	A	
39. -ABBOTT LABORTORIES	A	Dividend			Open	01/22/20	J		
40.					Sold	05/06/20	J	A	
41. -AMERICANTOWERCORP REIT	A	Dividend			Open	01/22/20	J		
42.					Sold	05/06/20	J	A	
43. -AMERICANTOWERCORP REIT	A	Dividend			Open	01/22/20	J		
44.					Sold	05/06/20	J	A	
45. -AMERICAN WATER WORKS	A	Dividend			Open	01/22/20	J		
46.					Sold	05/06/20	J	A	
47. -AMGEN INC	A	Dividend			Open	01/22/20	J		
48.					Sold	05/06/20	J	A	
49. -BANK OF AMERICA	A	Dividend			Open	01/22/20	J		
50.					Sold	05/06/20	J	A	
51. -BROAD FIANCIAL SOLUTIONS	A	Dividend			Open	01/22/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52.					Sold	05/06/20	J	A	
53. -CISCO SYSTEMS INC	A	Dividend			Open	01/22/20	J		
54.					Sold	05/06/20	J	A	
55. -DISNEY WALT COMPANY	A	Dividend			Open	01/22/20	J		
56.					Sold	05/06/20	J	A	
57. -FIRST TR ENHANCED SHORT	A	Dividend			Open	01/22/20	J		
58.					Sold	05/06/20	J	A	
59. -JACK HENRY & ASSOC	A	Dividend			Open	01/22/20	J		
60.					Sold	05/06/20	J	A	
61. -LOWES COMPANIES	A	Dividend			Open	01/22/20	J		
62.					Sold	05/06/20	J	A	
63. -MCDONALDS CORP	A	Dividend			Open	01/22/20	J		
64.					Sold	05/06/20	J	A	
65. -MEDTRONIC	A	Dividend			Open	01/22/20	J		
66.					Sold	05/06/20	J	A	
67. -MICROSOFT CORP	A	Dividend			Open	01/22/20	J		
68.					Sold	05/06/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -MONDELEZ INTL INC	A	Dividend			Open	01/22/20	J		
70.					Sold	05/06/20	J	A	
71. -PAYCHEX INC	A	Dividend			Open	01/22/20	J		
72.					Sold	05/06/20	J	A	
73. -PHILLIPS 66	A	Dividend			Open	01/22/20	J		
74.					Sold	05/06/20	J	A	
75. -PROCTOR & GAMBLE CO	A	Dividend			Open	01/22/20	J		
76.					Sold	05/06/20	J	A	
77. -UNITED PARCEL SERVICE	A	Dividend			Open	01/22/20	J		
78.					Sold	05/06/20	J	A	
79. -UNITED HEALTHCARE GROUP INC	A	Dividend			Open	01/22/20	J		
80.					Sold	05/06/20	J	A	
81. -VERIZON COMMUNICATIONS		Dividend			Open	01/22/20	J		
82.					Sold	05/06/20	J	A	
83. OFFICE PROPERTY, BELLEVILLE, IL ACQUIRED 12/31/12 \$150,000 PRICE	D	Rent	M	R					
84.									
85.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: ROSENSTENGEL, NANCY J., 11/29/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A (Description of Assets), B (Income during reporting period), C (Gross value at end of reporting period), D (Transactions during reporting period), and sub-columns for each. Rows 86-102.

1 Income Gain Codes: A =\$1,000 or less, B =\$1,001 - \$2,500, C =\$2,501 - \$5,000, D =\$5,001 - \$15,000, E =\$15,001 - \$50,000
(See Columns B1 and D4) F =\$50,001 - \$100,000, G =\$100,001 - \$1,000,000, H1 =\$1,000,001 - \$5,000,000, H2 =More than \$5,000,000
2 Value Codes J =\$15,000 or less, K =\$15,001 - \$50,000, L =\$50,001 - \$100,000, M =\$100,001 - \$250,000, P2 =\$5,000,001 - \$25,000,000
(See Columns C1 and D3) N =\$250,001 - \$500,000, O =\$500,001 - \$1,000,000, P1 =\$1,000,001 - \$5,000,000, P4 =More than \$50,000,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.									
104.									
105.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

LINE 24 THROUGH 32, PART VII, INVESTMENTS AND TRUST, IS THE JJB PROFIT SHARING PLAN AND IS FORMALLY KNOWN AS THE JERALD J BONIFIELD PROFIT SHARING PLAN TRUST. THE REPORTING PERSON'S [REDACTED] IS THE TRUSTEE, A PARTICIPANT AND OWNS 60% OF THE ASSETS OF THE PROFIT SHARING TRUST AS SHOWN ON PART VII. THE PLAN IS, HOWEVER, MANAGED BY A PROFESSIONAL INVESTMENT ADVISOR AND THE REPORTING PERSON HAS NO KNOWLEDGE OF THE PLANS TRANSACTIONS NOR HAS ACCESS TO THE DATA.

LINES 33 THROUGH 82, PART VII, INVESTMENTS AND TRUSTS, WAS ADDED THIS YEAR. THIS ACCOUNTS REFLECT THE INHERITANCE OF STOCK FROM JON ROSENSTINGEL'S MOTHER ESTATE ON JANUARY 22, 2020. ALL SECURITIES WERE SOLD ON MAY 6, 2020.

ALL PERSONAL ITEMS ARE REPORTED ON LINES 1 THROUGH 23 ON THE SAME REPORT PAGE OF PART VII.

LINE 83 COLUMN A REFLECTS AN INVESTMENT IN OFFICE BUILDING, COST BASIS \$150,000, LOCATED BELLEVILLE, ST. CLAIR COUNTY, ILLINOIS ,AND WAS ACQUIRED 12/31/2012. THE LAW FIRM OF [REDACTED] OF REPORTING PERSON OCCUPIES THE ENTIRE BUILDING.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **NANCY J. ROSENSTENGEL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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