

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sacca, James R.	2. Court or Organization Bankruptcy Court, Northern District Georgia	3. Date of Report 06/28/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge, Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 1480 U.S. Courthouse 75 Ted Turner Drive Atlanta, GA 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Sacca, James R.

Date of Report

06/28/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Sacca, James R.	06/28/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Whole Life Policy	D	Dividend	N	T					
2. Northwestern Mutual Variable Life Policy									
3. -MSA Select Bond		None	K	T					
4. -MSA Money Market		None	J	T					
5. -MSA Index 500 Stock		None	L	T					
6. -MSA Mid Cap Growth Stock		None	K	T					
7. -MSA High Yield Bond		None	K	T					
8. -MSA Growth Stock		None	K	T					
9. -MSA Small Cap Growth Stock		None	K	T					
10. -MSA Small Cap Value		None	K	T					
11. -MSA Index 400 Stock		None	L	T					
12. -MSA International Growth		None	K	T					
13. -MSA Domestic Equity		None	K	T					
14. -MSA International Equity		None	J	T					
15. -MSA Asset Allocation		None	K	T					
16. -Russell Real Estate Securities		None	J	T					
17. IRA #1									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

Name of Person Reporting

Sacca, James R.

Date of Report

06/28/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -American Century Diversified Bond Fund (ADFIX)		None			Sold	04/01/20	J	A	
19. -American Funds Europacific Growth Fund (AEPFX)		None			Sold	04/01/20	K	A	
20. -American Funds Fundamental Investors (FINFX)	A	Dividend			Sold	03/19/20	K	B	
21. -American Funds High Income TrustFund (AHIFX)		None			Sold	03/19/20	J	A	
22. -Invesco International Growth Fund (AIIYX)		None			Sold	03/19/20	K	B	
23. -American Tower Corp (AMT)	A	Dividend	K	T	Buy	06/19/20	J		
24. -American Funds New Perspectives (ANWFX)	B	Distribution	K	T	Buy	03/19/20	K		
25. -Ark Innovation Fund (ARKK)	A	Distribution	K	T	Buy	06/19/20	K		
26. -Brown Capital Small Company Fund (BCSSX)	B	Distribution	K	T	Buy	06/19/20	K		
27. -Vanguard Total Bond Fund (BND)	A	Dividend	K	T	Buy	03/19/20	K		
28. -Morgan Stanley Insight Fund (CPODX)	C	Distribution	K	T	Buy	06/19/20	K		
29. -Deleware Emerging Market Fund (DEMIX)	A	Distribution	K	T	Buy	06/19/20	K		
30. -Dodge & Cox Income Fund (DODIX)	B	Distribution	K	T	Buy	03/19/20	K		
31. -Ishares MSCI ETF Fund (EFA)	B	Dividend	K	T	Buy	03/19/20	K		
32. -Eaton Vance Floating Rate Income Fund (EIFHX)	A	Dividend	J	T	Buy	03/19/20	J		
33. -Fidelity Advisor Newinsights Fund (FINSX)	A	Distribution			Sold	03/19/20	K	C	
34. -Fidelity Advisor Small Cap Value Fund (FCVIX)		None			Sold	03/19/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

Name of Person Reporting

Sacca, James R.

Date of Report

06/28/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. -Ishares Core S&P 500 Fund (IVV)	B	Dividend	L	T	Buy	03/19/20	L		
36. -JP Morgan Opportunity Equity Fund (JOEQX)	A	Distribution	J	T	Buy	03/19/20	J		
37. -MFA Value Fund (MEIIX)	B	Dividend	K	T	Buy	03/19/20	K		
38. -MFS International Value Fund (MINIX)		None			Sold	03/19/20	K	B	
39. -PIMCO Real Return Fund (PRLPX)	A	Dividend			Sold	03/19/20	K	A	
40. -PIMCO Commodities Plus Fund (PCLPX)	A	Dividend	J	T	Buy	03/19/20	K		
41. -Putnam Convertible Securities Fund (PCGYX)	C	Distribution	K	T	Buy	03/19/20	K		
42. Metropolitan West Total Return Bond Fund (MWTIX)	A	Dividend			Sold	03/19/20	L	C	
43. -Vanguard Health Care EFT (VHT)	A	Dividend			Sold	03/19/20	J	A	
44. -Select Sector SPDR Consumer Staples (XLP)		None			Sold	03/19/20	K	A	
45. -New World Fund (NFFFX)	A	Dividend	K	T	Buy (add'l)	03/19/20	J		
46.					Buy (add'l)	06/19/20	J		
47. -John Hancock Disc Mid Cap Fund (JVMIX)	A	Dividend	K	T	Buy (add'l)	06/19/20	J		
48. -First Eagle Overseas Fund (SGOIX)	A	Dividend	K	T	Buy	03/19/20	K		
49. -AB Global Bond Fund (ANAYX)	A	Dividend			Sold	03/19/20	K	A	
50. -Fidelity Adv Biotech (FBTIX)		None			Sold	03/19/20	J	A	
51. -PIMCO Income Fund (PONPX)	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 14

Name of Person Reporting

Sacca, James R.

Date of Report

06/28/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -PIMCO International Stock Fund (PSKIX)		None			Sold	03/19/20	K	A	
53. -Gugg SP 500 Fund (RSP)		None			Sold	03/19/20	K	A	
54. -Opp Dev Mark Fund (ODVYX)		None			Sold	03/19/20	J	A	
55. -PIMCO Comm Real Ret (PCRPX)	A	Dividend			Sold	03/19/20	J	A	
56. -PIMCO Stocksplus (PTOPX)	A	Dividend			Sold	03/19/20	K	A	
57. -T Rowe Health Science (PRHSX)	B	Distribution	K	T	Buy (add'l)	06/19/20	J		
58. -T Rowe Blue Chip Fund	A	Distribution	K	T	Buy	03/19/20	K		
59.					Sold (part)	06/19/20	K	B	
60. -Cohen & Steers Real Estate (CSDIX)		None			Sold	03/19/20	J	A	
61. -Vanguard Energy Fund (VDE)	A	Dividend	J	T	Sold (part)	06/19/20	J	A	
62.					Buy	03/19/20	J		
63. -Dreyfus Insured Deposit Program money market account	A	Interest	J	T					
64. Roth IRA									
65. -Pershing Money market	A	Int./Div.	J	T					
66. -Brown Cap Small Comm Fund (BCSSX)	A	Distribution	J	T	Buy	01/03/20	J		
67.					Buy (add'l)	04/06/20	J		
68. -Van Total Bond Fund (BND)	A	Dividend	K	T	Buy	01/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

Sacca, James R.

Date of Report

06/28/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	04/06/20	J		
70.					Buy (add'l)	08/31/20	J		
71. -Cohen Steers RE Secur Fund (CSDIX)	A	Dividend	J	T	Buy	01/03/20	J		
72.					Buy (add'l)	04/06/20	J		
73. -Fidelity Adv Bond Fund (FEPIX)	A	Distribution	J	T	Buy	01/03/20	J		
74.					Buy (add'l)	04/06/20	J		
75. -Gugg Macro Opp Fund (GIOIX)	A	Dividend	J	T	Buy	01/03/20	J		
76.					Buy (add'l)	04/06/20	J		
77. -Ishares Gold Trust (IAU)		None	J	T	Buy	04/13/20	J		
78.					Buy (add'l)	08/31/20	J		
79. -Ishares Core SP Mid Cap (IJH)	A	Dividend	J	T	Buy	01/03/20	J		
80.					Buy (add'l)	04/06/20	J		
81. -IShares Core Small Cap (IJR)	A	Dividend	J	T	Buy	01/03/20	J		
82.					Buy (add'l)	04/06/20	J		
83. -Ishores Core S&P 500 (IVV)	A	Dividend	K	T	Buy	01/03/20	K		
84.					Buy (add'l)	04/06/20	K		
85. -New World Fund Class F-2 (NFFFX)	A	Dividend	J	T	Buy	01/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 14

Name of Person Reporting

Sacca, James R.

Date of Report

06/28/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	04/06/20	J		
87. -PIMCO Comm Plus (PCLPX)	A	Dividend	J	T	Buy	01/03/20	J		
88.					Buy (add'l)	04/06/20	J		
89. Parnassus Mid Cap Fund (PFPMX)	A	Dividend	J	T	Buy	01/03/20	J		
90.					Buy (add'l)	04/06/20	J		
91. -PIMCO Income Fund (PONPX)	A	Dividend	J	T	Buy	01/03/20	J		
92.					Buy (add'l)	04/06/20	J		
93. -Schwab Int Equity Fund (SCHF)	A	Dividend	K	T	Buy	01/03/20	J		
94.					Buy (add'l)	04/06/20	J		
95. -SPDR SP 1500 Value Fund (VLU)	A	Dividend	J	T	Buy	08/31/20	J		
96. -Vanguard Short Teerm Inflation Fund (VTIP)	A	Dividend	J	T	Buy	01/03/20	J		
97.					Buy (add'l)	04/06/20	J		
98. -Vanguard Emerg Mark Fund (VWO)	A	Dividend	J	T	Buy	01/03/20	J		
99.					Buy (add'l)	04/06/20	J		
100. -West Asset Core Bond Fund (WATFX)	A	Dividend	J	T	Buy	08/31/20	J		
101. Brokerage Account									
102. -American Funds Europacific Growth Fund (AEPFX)		None			Sold	04/01/20	L	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 14

Name of Person Reporting

Sacca, James R.

Date of Report

06/28/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -American Tower Corp (AMT)	A	Dividend	J	T	Buy	06/24/20	J		
104. -The Growth Fund of America (GFFFX)		None			Sold	04/01/20	L	B	
105. -Gold (GLD)		None	J	T	Buy	06/24/20	J		
106. -Ishares Core Mid Cap Fund (IJH)	A	Dividend	K	T	Buy	04/01/20	K		
107. -Ishares Core Small Cap Fund (IJR)	A	Dividend	K	T	Buy	04/01/20	K		
108. -Ishres Core SP 500 Fund (IVV)	C	Dividend	M	T	Buy	04/01/20	M		
109. -JPMorgan Opp Equity Fund (JOEQX)	A	Distribution	J	T	Buy	04/01/20	J		
110. -MFS Value Fund (MEIIX)	B	Dividend	L	T					
111. -Pimco Commod Plus (PCLPX)	A	Dividend	K	T					
112. -Pimco Income Fund (PONPX)	A	Dividend	K	T	Buy	04/01/20	K		
113. -Sterling Cap f/k/a Stratton Small Cap Value Fund (STSCX)		None			Sold	04/01/20	K	A	
114. -American Century Tax Free Bond Fund (TWTIX)	A	Distribution			Sold	04/01/20	K	A	
115. -Vanguard Mid-Cap Stock Index Fund (VIMAX)	A	Dividend			Sold	04/01/20	K	D	
116. -Vanguard Index Trust Total Stock Market Portfolio Fund (VTSAX)	B	Dividend	M	T					
117. -Vanguard Energy Index Fund (VDE)	A	Dividend	J	T	Buy	03/11/20	J		
118. -Vanguard Emerging Market Fund (VWO)	A	Dividend	K	T	Buy	04/01/20	K		
119. -John Hancock Disciplined Value Mid Cap Fund (JVMIX)	A	Dividend			Sold	04/01/20	K	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

Sacca, James R.

Date of Report

06/28/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -AB Global Bond Fund (ANAYX)	A	Dividend			Sold	04/01/20	K	A	
121. -Ark Innovation ETF (ARKK)	A	Distribution	J	T	Buy	04/01/20	J		
122. -Amer Fund Capital World Bond Fund (BFWFX)	B	Dividend	K	T	Buy	04/01/20	K		
123. -American Funds New Perspective Fund (ANWFX)	C	Distribution	L	T	Buy	04/01/20	K		
124. -Vanguard Total Bond Market Fund (BND)	A	Dividend	K	T	Buy	04/01/20	K		
125. -Del Emerging Market Fund (DEMIX)	A	Distribution	J	T	Buy	04/01/20	J		
126. -Ishares MSCI ETF (EFA)	C	Dividend	L	T	Buy	04/01/20	L		
127. -Fidelity Advisor Int Cap Apprec Fund (FCPIX)	A	Dividend	K	T	Buy	04/01/20	K		
128. -Fidelity Advisor Municipal Inc Fund (FROGX)	A	Distribution	K	T	Buy	04/01/20	K		
129. -Fidelity Advisor RE Inc Fund (FRIRX)	B	Dividend	K	T	Buy	04/01/20	K		
130. -Putnam Conv Securities Fund (PCGYX)	A	Dividend			Sold	04/01/20	K	A	
131. -Vanguard Healthcare Fund (VHT)	A	Dividend	K	T	Sold (part)	04/01/20	K	D	
132. -New World Fund (NFFFx)	A	Dividend	L	T					
133. -Select Sector Consumer Staples (XLP)	A	Dividend			Sold	04/01/20	J	A	
134. -Cohen & Steers Realty Income Fund (CSDIX)	A	Distribution			Sold	04/01/20	K	A	
135. -First Eagle Overseas (SGOIX)	A	Dividend	J	T	Sold	04/01/20	L	A	
136.					Buy	06/24/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. -Fidelity Adv Biotech (FBTIX)		None			Sold	04/01/20	K	A	
138. -EV Float Hi Inc (EIFHX)	A	Dividend			Sold	04/01/20	J	A	
139. -Ishares TR TIP Bond (TIP)	A	Dividend			Sold	04/01/20	K	B	
140. -American Funds Tax Exempt Fund (TEAFX)	A	Distribution	K	T	Buy	06/24/20	K		
141. -Dreyfus General Money Market Fund	A	Interest	J	T					
142. SunTrust Bank deposit accounts	A	Interest	K	T					
143. Virginia 529 Plan-American Funds									
144. -2021 Fund	B	Dividend	K	T	Redeemed (part)	06/24/20	J	C	
145.					Redeemed (part)	11/16/20	J	C	
146. - 2024 Fund	D	Dividend	M	T	Redeemed (part)	11/30/20	J	D	
147.					Redeemed (part)	07/23/20	J	D	
148.					Redeemed (part)	04/27/20	J	C	
149.					Buy (add'l)	02/24/20	K		
150. -2027 Fund	D	Dividend	M	T	Buy (add'l)	02/24/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

Sacca, James R.

Date of Report

06/28/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Sacca, James R.

Date of Report

06/28/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James R. Sacca**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544