

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Rogers, III, Thomas E.	2. Court or Organization U.S. District Court, SC	3. Date of Report 12/07/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge - Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☒ Amended Report	
7. Chambers or Office Address Post Office Box 2317 Florence, SC 29501		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Rogers, III, Thomas E.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

Name of Person Reporting	Date of Report
Rogers, III, Thomas E.	12/07/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Ameritrade	Margin account	J
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. 1.25 a Florence County, South Carolina (1/2011, \$10,000)		None	J	R					
2. Brokerage account # 1 (Marked as # 1) (H)					Closed	06/02/20			
3. - First Clearing, LLC - Cash balance		None			Closed	06/02/20	J	A	
4. - # 1 - AT&T Inc. (Transferred to # 4)	A	Dividend			Distributed	06/02/20	J	A	
5. - # 1 - Verizon Communications Com (Transferred to # 4)	A	Dividend			Distributed	06/02/20	J	A	
6. -# 1 - SC Jobs Econ DV Ath Stdnt 4.25% 8/1/24 "Y" (Transferred to # 4)	A	Interest			Distributed	06/02/20	J	A	
7. Brokerage account # 2 (Marked as # 2)(H)					Closed	06/02/20			
8. - # 2 - Cash balance - First Clearing, LLC	A	Int./Div.			Closed	06/02/20	J	A	
9. - # 2 - Exxon Mobil Corp	A	Dividend			Sold	07/01/20	J	A	
10. Brokerage account # 3 (Marked as # 3) Transferred to brokerage # 4(H)					Closed	06/02/20			
11. - # 3 - Ameritrade MMDA Account - Cash balance	A	Int./Div.	J	T					
12. - # 3 - Acasti Pharma Inc. Com Cl A		None			Sold (part)	01/07/20	J	A	
13.					Sold (part)	01/10/20	J	A	
14.					Sold (part)	02/10/20	J	A	
15.					Sold	02/10/20	J	A	
16. - # 3 - Advanced Micro Devices Inc. Com		None			Buy	06/29/20	J		
17.					Sold	06/30/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy	12/18/20	J		
19.					Sold	12/21/20	J	A	
20.					Buy	12/18/20	J		
21.					Sold	12/23/20	J	A	
22. - # 3 - Albertsons Companies Inc Com		None			Buy	04/08/20	J		
23.					Sold	12/23/20	J	A	
24.					Buy	04/08/20	J		
25.	A	Dividend	J	T	Buy	10/14/20	J		
26. - # 3 - Apple Inc Com		None			Buy	09/16/20	J		
27.					Sold	10/08/20	J	A	
28. - # 3 - AT&T Inc Com	A	Int./Div.			Buy	04/08/20	J		
29.					Sold	04/09/20	J	A	
30.					Buy	07/02/20	J		
31.					Sold	07/23/20	J	A	
32.					Buy	07/20/20	J		
33.					Sold	08/17/20	J	A	
34.					Buy	08/17/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting	Date of Report
Rogers, III, Thomas E.	12/07/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold (part)	08/31/20	J	A	
36. Transferred to Brokerage # 4		None			Distributed	06/02/20	J	A	
37. - # 3 - Centerstate Bank Corporation		None			Buy	01/07/20	J		
38.					Sold	06/03/20	J	A	
39. - # 3 - Chevron Corporation Com		None			Buy	03/25/20	J		
40.					Sold	03/25/20	J	A	
41.					Buy	03/25/20	J		
42.					Sold	03/30/20	J	A	
43.					Buy	03/25/20	J		
44.					Sold	04/02/20	J	A	
45. - # 3 - MP Materials Corp Com		None			Buy	11/18/20	J		
46.					Sold	11/18/20	J	A	
47.					Buy	11/24/20	J		
48.					Sold	12/17/20	J	A	
49.					Buy	12/01/20	J		
50.					Sold	12/21/20	J	A	
51.					Buy	12/17/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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52.					Sold	12/23/20	J	A	
53.					Buy	12/17/20	J		
54.					Sold	12/29/20	J	A	
55. - # 3 - Matinas Biopharma Holdings Inc., Com		None			Buy	01/07/20	J		
56.					Sold	01/07/20	J	A	
57.					Buy	01/07/20	J		
58.					Sold	01/07/20	J	A	
59. - # 3 - Roku Inc.		None			Sold (part)	01/07/20	J	A	
60.					Sold (part)	03/11/20	J	A	
61.					Sold	03/13/20	J	A	
62.					Buy	04/14/20	J		
63.					Sold (part)	04/14/20	J	A	
64.					Sold (part)	05/07/20	J	A	
65.					Sold	05/12/20	J	A	
66. - # 3 - SCYNEXIS Inc. 1:10		None			Buy	01/08/20	J		
67.					Sold	01/09/20	J	A	
68.					Buy	01/08/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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69.					Sold	01/10/20	J	A	
70.					Buy	01/08/20	J		
71.					Sold	04/03/20	J	A	
72.					Buy	01/09/20	J		
73.					Sold	04/23/20	J	A	
74.					Buy	01/09/20	J		
75.					Sold	05/12/20	J	A	
76.					Buy	01/09/20	J		
77.					Sold	06/18/20	J	A	
78.					Buy	05/14/20	J		
79.					Sold	07/16/20	J	A	
80. - # 3 - SCYNEXIS Inc. Com		None			Buy	05/14/20	J		
81.					Sold	12/17/20	J	A	
82.					Buy	05/18/20	J		
83.					Sold	12/17/20	J	A	
84. - # 3 - South State Corp Com		None			Buy	06/15/20	J		
85.					Sold	06/16/20	J	A	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
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L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
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W =Estimated

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Name of Person Reporting

Rogers, III, Thomas E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

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86.					Buy	06/22/20	J		
87.					Sold	06/25/20	J	A	
88.					Buy	06/22/20	J		
89.					Sold	07/23/20	J	A	
90.					Buy	07/01/20	J		
91.					Sold	08/05/20	J	A	
92.					Buy	08/28/20	J		
93.					Sold	08/31/20	J	A	
94.					Buy	09/08/20	J		
95.					Sold	09/21/20	J	A	
96.					Buy	10/13/20	J		
97.					Sold	10/15/20	J	A	
98.					Buy	10/13/20	J		
99.					Sold	10/20/20	J	A	
100. - # 3 - Target Corp Com		None			Buy	05/22/20	J		
101.					Sold	06/03/20	J	A	
102. - # 3 - Verizon Communications Com		None			Buy	03/24/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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103.					Sold (part)	03/25/20	J	A	
104. - Transferred to brokerage # 4					Distributed	06/02/20	J	A	
105. - # 3 - Auris Medical Holding Ltd Com		None			Buy	10/13/20	J		
106.					Sold	12/01/20	J	A	
107.					Buy	12/01/20	J		
108.					Sold	12/02/20	J	A	
109. - # 3 - Nabriva Therapeutics PLC 1:10		None			Buy	07/17/20	J		
110.					Sold	07/20/20	J	A	
111.					Buy	07/17/20	J		
112.					Sold	08/08/20	J	A	
113.					Buy	07/17/20	J		
114.					Sold	11/09/20	J	A	
115.					Buy	07/17/20	J		
116.					Sold	12/01/20	J	A	
117. - # 3 - Roku Inc.		None			Buy	04/15/20	J		
118.					Sold	04/15/20	J	A	
119.					Buy	04/15/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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120.					Sold	04/16/20	J	A	
121.					Buy	04/15/20	J		
122.					Sold	04/17/20	J	A	
123. - # 3 - SNAP Inc. SNAP Apr 17 2020		None			Buy	04/03/20	J		
124.					Sold	04/03/20	J	A	
125. - # 3 - SNAP Inc. SNAP Apr 24 2020		None			Buy	04/08/20	J		
126.					Sold	04/13/20	J	A	
127.					Buy	04/08/20	J		
128.					Sold	04/13/20	J	A	
129. Brokerage account # 4 (Marked as # 4) From brokerage # 3(H)									
130. - # 4 - Raymond James Sweep account	A	Int./Div.	J	T	Spinoff (from line 11)	06/02/20	J		
131. - # 4 - AT&T Incorporated	A	Dividend	J	T	Spinoff (from line 36)	06/02/20	J		
132.	A	Dividend	J	T	Spinoff (from line 4)	06/02/20	J		
133. - # 4 - Verizon Communications	A	Dividend	J	T	Spinoff (from line 104)	06/02/20	J		
134.	A	Dividend	J	T	Spinoff (from line 5)	06/02/20	J		
135. - # 4 - SC Jobs-Economic Dev Auth Student	A	Int./Div.	J	T	Spinoff (from line 6)	06/02/20	J		
136. South State Bank checking		None	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Synovus (Formerly NBSC)	A	Interest	J	T					
138. Wells Fargo, NA brokerage cash account	A	Interest	J	T					
139. Equitable Variable: EQ Aggressive (whole life policy)		None	J	T					
140. Jefferson-Pilot Adjustable Life policy		None	J	T					
141. UGMA # A (Marked as # A) Transferred to UGMA # C(H)					Closed	06/02/20	J	A	
142. - # A - Capital World Growth & Income Fund - Class F-1 to UGMA # C	A	Dividend			Distributed	06/02/20	J	A	
143. - # A - First Clearing , LLC - Cash balance	A	Interest			Closed	06/02/20	J	A	
144. - # A American Fds Washington Mut (Edu Svgs)(no control)	A	Int./Div.			Closed	06/02/20	J	A	
145. UGMA # C (Marked as # C) Transferred from # A(H)									
146. - # C - Capital World Growth & Income Fund Class F1 (CWGFX)	A	Int./Div.	J	T	Spinoff (from line 142)	06/02/20	J		
147. UGMA # A, Trust 1 (Marked as # AT1) (H)					Closed	06/02/20	J	A	
148. - # AT1 - First Clearing, LLC - Cash balance	A	Int./Div.			Closed	06/02/20	J	A	
149. UGMA # B (Marked as # B)(H)					Closed	06/02/20	J	A	
150. - # B - Capital World Growth & Income Fund - F-1 (CWGFX)	A	Dividend			Closed	06/02/20	J	A	
151. - # B First Clearing , LLC - Cash balance	A	Interest			Closed	06/02/20	J	A	
152. - # B Columbia Mgmt Futre Schol 529 Collge Svng Pl (no control)	A	Int./Div.	J	T					
153. UGMA # D (Marked as D) Transferred from # B(H)									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. - # D - Raymand James Cash account	A	Interest	J	T	Spinoff (from line 151)	06/02/20	J		
155. - # D - Capital World Growth & Income Fund Class F1 (CWGFX)	A	Int./Div.	J	T	Spinoff (from line 150)	06/02/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII, page 4, line 1, Column B(1) for 1.25 acres Florence County, South Carolina received no rental income for the year therefore, column B(2) is "None".

Part VII Investments and Trust, line 142, 146, 150 and 155 Capital World Growth & Income Fd Inc Cl C (CWGCX) converts to Class F-1 shares after 10 years. The exchange took place on 12/13/2016. The converted shares are called Capital World Growth & Income Fund-Class F-1 (CWCFFX).

Part VII, lines 3, 8, 11, 130, 143, 148, 151., and 154 are the money market account portions of the brokerage accounts which act as sweep accounts for uninvested cash in that specific brokerage account. We believe these to be a widely held accounts because they are a part of the brokerage accounts.

Part VII, lines 2, 7, 10, 129, 141, 145, 147, 149 and 153 are header lines for the investments listed below the specific line.

Part VII, Line 22 all of this security was sold on line 23

Part VII, line 28 all of this security was sold on line 29

Part VII, line 102 Part of the shares were sold on line 103 and the balance was transferred to line 133 per line 104

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas E. Rogers, III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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