

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Santoro, Frank J.	2. Court or Organization U. S. Bankruptcy Court, EDVA	3. Date of Report 08/13/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 600 Granby Street Norfolk, Virginia 23510		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Santoro, Frank J.

Date of Report

08/13/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Tidewater Bankruptcy Bar Association	02/27/2020 - 02/29/2020	Norfolk, VA	To appear at professional education seminar	Lodging and parking
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Santoro, Frank J.	08/13/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Farm, 1/2 interest, Isle of Wight County, Virginia July 03		None	N	W					
2. FSNC, LLC, 88% Membership Interest		None	N	W					
3. Geeks on Call, Convertible Preferred Stock		None	J	W					
4. Waterside Capital Corporation		None	J	T					
5. First Eagle Global Fund Class A	D	Int./Div.			Sold	10/21/20	L		
6. Pimco Income Fund Class A	A	Int./Div.			Sold	10/21/20	J		
7. IShares Russell 2000 ETF	A	Dividend			Sold	10/21/20	J		
8. IShares Russell 1000 Growth	A	Dividend			Sold	10/21/20	J		
9. Invesco Floating rate Class Fund I	A	Dividend			Sold	10/21/20	J		
10. Accenture	A	Dividend			Sold	10/21/20	J		
11. Alphabet Inc	A	Dividend			Sold	10/21/20	J		
12. Ameriprise	A	Dividend			Sold	10/21/20	J		
13. Colgate Palmolive	A	Dividend			Sold	10/21/20	J		
14. Comcast Corp	A	Dividend			Sold	10/21/20	J		
15. Danaher Corp	A	Dividend			Sold	10/21/20	J		
16. Ecolab Inc	A	Dividend			Sold	10/21/20	J		
17. Facebook Inc Class A	A	Dividend			Sold	10/21/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Home Depot Inc	A	Dividend			Sold	10/21/20	J		
19. Honeywell Inc	A	Dividend			Sold	10/21/20	J		
20. Intercontinental Exchange Group	A	Dividend			Sold	10/21/20	J		
21. Medtronic	A	Dividend			Sold	10/21/20	J		
22. OReilly Automotive	A	Dividend			Sold	10/21/20	J		
23. Red Hat	A	Dividend			Sold	10/21/20	J		
24. Rockwell Automation Inc	A	Dividend			Sold	10/21/20	J		
25. Thermo Fisher Scientific Inc	A	Dividend			Sold	10/21/20	J		
26. TJX Companies Inc	A	Dividend			Sold	10/21/20	J		
27. United technologies	A	Dividend			Sold	10/21/20	J		
28. Walt Disney Company	A	Dividend			Sold	10/21/20	J		
29. Adobe Systems Delaware	A	Dividend			Sold	10/21/20	J		
30. Lowes	A	Dividend			Sold	10/21/20	J		
31. Artisan Global Value Fund Class A	A	Dividend			Sold	10/21/20	J		
32. Chubb Ltd. Bond	A	Dividend			Sold	10/21/20	J		
33. Coca Cola	A	Dividend			Sold	10/21/20	J		
34. Crane Company	A	Dividend			Sold	10/21/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Diageo PLC	A	Dividend			Sold	10/21/20	J		
36. Intel Corp	A	Dividend			Sold	10/21/20	J		
37. Lockheed Martin Corp	A	Dividend			Sold	10/21/20	J		
38. Marsh & McLennan	A	Dividend			Sold	10/21/20	J		
39. McDonalds Corp	A	Dividend			Sold	10/21/20	J		
40. Microsoft Corp	A	Dividend			Sold	10/21/20	J		
41. Nextera Energy Inc	A	Dividend			Sold	10/21/20	J		
42. Novartis	A	Dividend			Sold	10/21/20	J		
43. Texas Instruments	A	Dividend			Sold	10/21/20	J		
44. Union Pacific Corp	A	Dividend			Sold	10/21/20	J		
45. VF Corp	A	Dividend			Sold	10/21/20	J		
46. American Growth	E	Dividend	N	T					
47. American Global Growth	E	Dividend	M	T					
48. Schlumberger	A	Dividend			Sold	10/21/20	J		
49. JP Morgan Income Builder	B	Dividend			Sold	10/21/20	K		
50. Chevron	A	Dividend			Sold	10/21/20	J		
51. Johnson & Johnson	A	Dividend			Sold	10/21/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

Santoro, Frank J.

Date of Report

08/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Suncor Energy	A	Dividend			Sold	10/21/20	J		
53. Blackrock, INC	A	Dividend			Sold	10/21/20	J		
54. Garrett Motion INC	A	Dividend			Sold	10/21/20	J		
55. Mondelez Interntl Inc	A	Dividend			Sold	10/21/20	J		
56. Parker Hanifin Corp	A	Dividend			Sold	10/21/20	J		
57. Residio Technologies	A	Dividend			Sold	10/21/20	J		
58. United Health	A	Dividend			Sold	10/21/20	J		
59. IShares Corp S&P Mid-Cap	B	Dividend			Sold	10/21/20	K		
60. AB Sustainable Global Fund	A	Dividend			Sold	10/21/20	J		
61. AQR Defensive Equity Fund Class A	A	Dividend			Sold	10/21/20	J		
62. PGIM JENN Global Opportunities CL Z	B	Dividend			Sold	10/21/20	J		
63. Angel Oak Multi Strategy Income Fund	A	Dividend			Sold	10/21/20	J		
64. PGIM Short Duration High Yield Fund	A	Dividend			Sold	10/21/20	J		
65. Putnam Diversified Income Fund Class Y	A	Dividend			Sold	10/21/20	J		
66. Linde PLC Eur	A	Dividend			Sold	10/21/20	J		
67. Republic Services Inc	A	Dividend			Sold	10/21/20	J		
68. Rockwell Automation Inc	A	Dividend			Sold	10/21/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

Santoro, Frank J.

Date of Report

08/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. American Growth and Income	D	Dividend	N	T					
70. Boeing Company	A	Dividend			Sold	10/21/20	J		
71. Costco Wholesale Corp	A	Dividend			Sold	10/21/20	J		
72. Amer Electric Power Co	A	Dividend			Sold	10/21/20	J		
73. Cohen and Steers Preferred CPXIX	A	Dividend			Sold	10/21/20	J		
74. PGIM Total Return Bond PDBZX	A	Dividend			Sold	10/21/20	K		
75. Vanguard Total Stock	A	Dividend			Sold	10/21/20	J		
76. Pioneer Muti Asset MYFRX	A	Dividend			Sold	10/21/20	K		
77. Ishares Core S&P Mid Cap ETF	A	Dividend	J	T	Buy	10/26/20	J		
78. JPMorgan Ultra Short Income ETF	B	Dividend	L	T	Buy	10/26/20	L		
79. PGIM Ultra Short Bond	B	Dividend	L	T	Buy	10/26/20	L		
80. AQR Large Cap	A	Dividend	K	T	Buy	10/28/20	K		
81. Alger Dynamic Oppt	A	Dividend	K	T	Buy	10/26/20	K		
82. AB Sustainable Global	A	Dividend	J	T	Buy	10/26/20	J		
83. Blackrock Technology Oppt	A	Dividend	J	T	Buy	11/02/20	J		
84. Blackrock Multi Asset	A	Dividend	K	T	Buy	10/26/20	K		
85. Cohen & Steers Realty Fund	A	Dividend	J	T	Buy	10/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

Santoro, Frank J.

Date of Report

08/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Cohen & Steers PFD Sec	A	Dividend	K	T	Buy	10/29/20	K		
87. Columbia Small Cap growth	A	Dividend	J	T	Buy	10/28/20	J		
88. Dodge & Cox Stock Fund	A	Dividend	K	T	Buy	10/26/20	K		
89. Harding Loevner Intl Equity	A	Dividend	J	T	Buy	10/26/20	J		
90. Oakmark Intl	A	Dividend	J	T	Buy	10/22/20	J		
91. JP Morgan Income Builder	A	Dividend	K	T	Buy	10/26/20	K		
92. JP Morgan Large Cap Growth	A	Dividend	K	T	Buy	10/26/20	J		
93. New World Class F2	A	Dividend	J	T	Buy	10/26/20	J		
94. Pimco Income Cl I2	A	Dividend	K	T	Buy	10/27/20	K		
95. Pimco Low Duration Income	A	Dividend	K	T	Buy	10/26/20	K		
96. Pimco Mortgage Oppty & Bond	A	Dividend	K	T	Buy	10/26/20	K		
97. PGIM Jennison Global	A	Dividend	J	T	Buy	11/04/20	J		
98. PGIM Floating Rate Income Fund	A	Dividend	J	T	Buy	10/27/20	J		
99. PGIM Total Return Fund	B	Dividend	K	T	Buy	10/26/20	K		
100. PGIM High Yield	A	Dividend	J	T	Buy	10/26/20	J		
101. Riverpark Long Short Oppty	A	Dividend	K	T	Buy	10/27/20	K		
102. Abbott Laboratories	A	Dividend	J	T	Buy	10/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 15

Name of Person Reporting

Santoro, Frank J.

Date of Report

08/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. Adobe Inc	A	Dividend	J	T	Buy	10/29/20	J		
104. Alphabet Inc.	A	Dividend	J	T	Buy	10/29/20	J		
105. Amazon.com	A	Dividend	J	T	Buy	10/30/20	J		
106. American Tower Corp	A	Dividend	J	T	Buy	10/29/20	J		
107. Ameriprise	A	Dividend	J	T	Buy	10/29/20	J		
108. Apple, Inc	A	Dividend	J	T	Buy	10/26/20	J		
109. Applied Materials	A	Dividend	J	T	Buy	10/26/20	J		
110. Boston Scientific Corp	A	Dividend	J	T	Buy	10/27/20	J		
111. Comcast Corp Class A	A	Dividend	J	T	Buy	10/28/20	J		
112. Costco Wholesale Corp	A	Dividend	J	T	Buy	10/26/20	J		
113. Danaher Corp	A	Dividend	J	T	Buy	10/26/20	J		
114. Dollar General Corp	A	Dividend	J	T	Buy	10/26/20	J		
115. Facebook Inc.	A	Dividend	J	T	Buy	10/26/20	J		
116. Fidelity National Information	A	Dividend	J	T	Buy	10/26/20	J		
117. Home Depot Inc.	A	Dividend	J	T	Buy	10/27/20	J		
118. Honeywell Int Inc	A	Dividend	J	T	Buy	10/26/20	J		
119. Intercontinental Exchange Inc.	A	Dividend	J	T	Buy	10/28/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

Santoro, Frank J.

Date of Report

08/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Estee Lauder Co Inc.	A	Dividend	J	T	Buy	10/27/20	J		
121. Lowes Co Inc.	A	Dividend	J	T	Buy	10/29/20	J		
122. Microsoft Corp	A	Dividend	J	T	Buy	10/26/20	J		
123. Oreilly Automotive Inc	A	Dividend	J	T	Buy	10/30/20	J		
124. Parker-Hannifin Corp	A	Dividend	J	T	Buy	10/27/20	J		
125. Rockwell Automation Inc	A	Dividend	J	T	Buy	10/26/20	J		
126. Salesforce Com Inc	A	Dividend	J	T	Buy	10/26/20	J		
127. Sherwin Williams Co	A	Dividend	J	T	Buy	11/04/20	J		
128. TJX Co Inc	A	Dividend	J	T	Buy	10/26/20	J		
129. Texas Instruments	A	Dividend	J	T	Buy	11/03/20	J		
130. Thermo Fisher Scientific Inc	A	Dividend	J	T	Buy	10/26/20	J		
131. United Health Group Inc.	A	Dividend	J	T	Buy	10/29/20	J		
132. Visa Inc	A	Dividend	J	T	Buy	11/06/20	J		
133. Accenture PLC Ireland	A	Dividend	J	T	Buy	10/29/20	J		
134. Medtronic PLC	A	Dividend	J	T	Buy	10/27/20	J		
135. American Electric Power Company	A	Dividend	J	T	Buy	10/26/20	J		
136. Amgen Inc	A	Dividend	J	T	Buy	10/26/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Santoro, Frank J.

Date of Report

08/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Analog Devices Inc	A	Dividend	J	T	Buy	10/26/20	J		
138. Automatic Data Processing Inc	A	Dividend	J	T	Buy	10/29/20	J		
139. Blackrock Inc	A	Dividend	J	T	Buy	10/27/20	J		
140. Cisco Systems Inc	A	Dividend	J	T	Buy	10/26/20	J		
141. Coca-Cola Inc	A	Dividend	J	T	Buy	10/26/20	J		
142. Crane Company	A	Dividend	J	T	Buy	10/28/20	J		
143. Diageo PLC	A	Dividend	J	T	Buy	10/28/20	J		
144. EOG Resources Inc	A	Dividend	J	T	Buy	10/26/20	J		
145. Intel Corp	A	Dividend	J	T	Buy	10/26/20	J		
146. JP Morgan Chase	A	Dividend	J	T	Buy	10/26/20	J		
147. Johnson & Jomson	A	Dividend	J	T	Buy	10/28/20	J		
148. Lockheed Martin	A	Dividend	J	T	Buy	10/27/20	J		
149. Marsh & McLennan	A	Dividend	J	T	Buy	11/02/20	J		
150. McDonalds Corp	A	Dividend	J	T	Buy	10/26/20	J		
151. Nextera Energy	A	Dividend	J	T	Buy	10/26/20	J		
152. Novartis AG	A	Dividend	J	T	Buy	10/27/20	J		
153. Phillips 66	A	Dividend	J	T	Buy	10/26/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. Procter & Gamble	A	Dividend	J	T	Buy	10/29/20	J		
155. Raytheon Technologies Corp	A	Dividend	J	T	Buy	10/27/20	J		
156. Republic Services Inc	A	Dividend	J	T	Buy	10/28/20	J		
157. United Parcel Service	A	Dividend	J	T	Buy	10/30/20	J		
158. V F Corp	A	Dividend	J	T	Buy	10/27/20	J		
159. Linde PLC	A	Dividend	J	T	Buy	11/02/20	J		
160. Chubb Ltd	A	Dividend	J	S	Buy	10/26/20	J		
161.									
162.									
163.									
164.									
165.									
166.									
167.									
168.									
169.									
170.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

Santoro, Frank J.

Date of Report

08/13/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII.

Holdings in lines 46, 47 and 69 are mutual funds maintained at Davenport and Company.

Holdings in lines 76 thru 101 inclusive are mutual funds maintained at Stifel Nicolaus & Company

My investment adviser left UBS in October 2020. I elected to follow him to his new firm and therefore liquidated all of my UBS accounts on October 21, 2020. Proceeds were remitted to Stifel Nicolaus and reinvested on the dates disclosed in this report.

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Santoro, Frank J.

Date of Report

08/13/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Frank J. Santoro**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544