

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schroeder, HKennethJr	2. Court or Organization U.S. District Court, W.D.N.Y.	3. Date of Report 05/17/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-Full time	5a. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address U.S. Courthouse 2 Niagara Square Buffalo, New York 14202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. May 2000	Hodgson, Russ, Andrews, Woods & Goodyear Retirement Plan - former law firm - no control
2. May 2000	Hodgson, Russ, Andrews, Woods & Goodyear Capital Accounts - former law firm - no control
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/01/20	Hodgson,Russ,Andrews,Woods&Goodyear-Retirement income from pension plan.	\$75,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA ACCOUNT - Common Stock & money funds:									
2. MLIKX Money Funds		None	J	T					
3. Hodgson, Russ, Andrews, Woods & Goodyear 401(k) - no control									
4. Common Stock:									
5. Harbor Capital Appreciation	A	Dividend	K	T					
6. Managers Special Equity		None	K	T					
7. Dodge & Cox Stock Fund		None	K	T					
8. Loomis Sayles Small Cap		None	K	T					
9. Fleet Bank-checking account		None	J	T					
10. Common Stock - M&T Bank	A	Dividend	L	T					
11. Mutual Funds:									
12. AIM Large Cap Growth Fund		None	J	T					
13. Alliance Premier Growth Fund		None	J	T					
14. Legg Mason Value Trust		None	J	T					
15. Lord Abbett Secs Tr.		None	J	T					
16. Putnam Int. Growth Fund		None	J	T					
17. Templeton Funds Inc		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Van Kampen Comstock CL		None	J	T					
19. Van Kampen Equity Trust		None	J	T					
20. Money Market Funds:									
21. Legg Mason Cash Reserve Trust		None	K	T					
22. Section 529 - Internal Revenue Code Education Funds for									
23. New York's College Savings Program (age/ risk based invest.)									
24.		None	K	T					
25.		None	K	T					
26.		None	K	T					
27. M&T BANK (common stock)	B	Dividend	K	T					
28. M&T BANK (common stock)	A	Dividend	J	T					
29. M&T BANK (common stock)	A	Dividend	K	T					
30. M&T BANK (common stock)	A	Dividend	K	T					
31. M&T BANK (common stock)	B	Dividend	J	T					
32. M&T BANK (common stock)	B	Dividend	J	T					
33. M&T BANK (common stock)	B	Dividend	J	T					
34. M&T BANK (common stock)	B	Dividend	J	T					

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35. MARKEL CORP (HOLDING CO) DE	A	Dividend	J	T					
36. FACEBOOK INC CL A DE	A	Dividend	J	T					
37. LOCKHEED MARTIN CORP DE	A	Dividend	J	T					
38. BARCLAYS PLC ADR DE	A	Dividend			Sold	09/03/20	J	A	
39. SCANA CORP NEW DE	A	Dividend	J	T					
40. WALT DISNEY CO (HOLDING CO) DISNEY COM DE	A	Dividend	J	T					
41. FIRSTENERGY CORP DE	A	Dividend	J	T					
42. UNITED STATES STEEL CORP NEW DE	A	Dividend	J	T					
43. EXPEDIA GROUP INC DE	A	Dividend	J	T					
44. LANDSTAR SYSTEMS INC DE	A	Dividend	J	T					
45. CALANESE CORP NEW SER A DE	A	Dividend	J	T					
46. WESTLAKE CHEMICAL CORP DE	A	Dividend	J	T					
47. NORTHROP GRUMMAN CORP DE	A	Dividend	J	T					
48. EDWARDS LIFESCIENCES CORP DE	A	Dividend	J	T					
49. MELLONOX TECHNOLOGIES LTD ILS DE	A	Dividend	J	T					
50. AMER EXPRESS CO DE	A	Dividend	J	T					
51. BIG LOTS INC DE	A	Dividend	J	T					

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52. CONOCOPHILLIPS DE	A	Dividend			Sold	09/03/20	J	A	
53. NUTRIEN LTD CAD DE	A	Dividend	J	T					
54. GENTEX CORP DE	A	Dividend	J	T					
55. CONDUENT INC DE	A	Dividend	J	T					
56. SIGNATURE BANK NEW YORK N Y DE	A	Dividend	J	T					
57. TOTAL SYSTEM SERVICES INC DE	A	Dividend	J	T					
58. ALIGN TECHNOLOGY INC DE	A	Dividend			Sold	09/03/20	J	B	
59. CISCO SYSTEMS INC DE	A	Dividend			Sold	09/03/20	J	B	
60. SVB FINANCIAL GROUP DE	A	Dividend	J	T					
61. ADIENT PLC DE VSP	A	Dividend	J	T					
62. NETGEAR INC DE VSP	A	Dividend	J	T					
63. JETBLUE AIRWAYS CORP DE VSP	A	Dividend	J	T					
64. CNX RESOURCES CORP DE VSP	A	Dividend	J	T					
65. NATL FUEL GAS CO DE VSP	A	Dividend	J	T					
66. SK TELECOM CO LTD ADR DE VSP	A	Dividend	J	T					
67. SKECHERS USA INC CL A DE VSP	A	Dividend	J	T					
68. WALMART INC DE VSP	A	Dividend	J	T					

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69. SCOTTS MIRACLE-GRO CO CL A DE	A	Dividend	J	T					
70. FIRST SOLAR INC DE VSP	A	Dividend	J	T					
71. MASCO CORP DE VSP	A	Dividend	J	T					
72. ASSOCIATED BRITISH FOODS PLC	A	Dividend	J	T					
73. COCA COLA EUROPEAN PARTNERS	A	Dividend	J	T					
74. CUMMINS INC DE VSP	A	Dividend	J	T					
75. D R HORTON INC DE VSP	A	Dividend	J	T					
76. LAUDER ESTEE COS CL A DE VSP	A	Dividend	J	T					
77. NASDAQ INC COM	A	Dividend	J	T	Buy	12/22/20	J		
78. ACTIVISION BLIZZARD INC	A	Dividend	J	T	Buy	12/16/20	J		
79. MERITAGE HOME CORPORATION	A	Dividend	J	T	Buy	11/18/20	J		
80. TESLA INC	A	Dividend	J	T	Buy	11/02/20	J		
81.					Sold	12/22/20	J	B	
82. ROPER TECHNOLOGIES, INC.	A	Dividend	J	T	Buy	11/02/20	J		
83. JACOBS ENGR GROUP INC	A	Dividend	J	T	Buy	11/02/20	J		
84. FIDELITY NATL INFORMATION SE	A	Dividend	J	T	Buy	11/02/20	J		
85. WALMART INC	A	Dividend	J	T	Buy	09/03/20	J		

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86. TYSON FOODS INC CL A	A	Dividend	J	T	Buy	09/03/20	J		
87.					Sold	11/18/20	J	A	
88. TJX COS INC NEW	A	Dividend	J	T	Buy	09/03/20	J		
89. THERMO FISHER SCIENTIFIC	A	Dividend	J	T	Buy	09/03/20	J		
90. STRYKER CORP	A	Dividend	J	T	Buy	09/03/20	J		
91. ROSS STORES INC	A	Dividend	J	T	Buy	09/03/20	J		
92. PROGRESSIVE CORP OHIO	A	Dividend	J	T	Buy	09/03/20	J		
93. NIKE INC B	A	Dividend	J	T	Buy	09/03/20	J		
94. KROGER CO	A	Dividend	J	T	Buy	09/03/20	J		
95. HOME DEPOT INC	A	Dividend	J	T	Buy	09/03/20	J		
96. GENL DYNAMICS CORP	A	Dividend	J	T	Buy	09/03/20	J		
97. FAIR ISAAC & CO INC	A	Dividend	J	T	Buy	09/03/20	J		
98. AUTODESK INC DELAWARE	A	Dividend	J	T	Buy	09/03/20	J		
99. ADOBE INC	A	Dividend	J	T	Buy	09/03/20	J		
100. AIR PROD & CHEM INC	A	Dividend	J	T	Buy	09/03/20	J		
101. DOCUSIGN INC	A	Dividend	J	T	Buy	09/03/20	J		
102. IHS MARKIT LTD	A	Dividend	J	T	Buy	09/03/20	J		

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103. ATLISSIAN CORP PLC CL A	A	Dividend	J	T	Buy	09/03/20	J		
104. JD COM INC SPON ADR CL A	A	Dividend	J	T	Buy	09/03/20	J		
105. CHARTER COMMUNICATIONS INC	A	Dividend	J	T	Buy	09/03/20	J		
106. MERK & CO INC NEW COM	A	Dividend	J	T	Buy	09/03/20	J		
107. VERISK ANALYTICS INC COM	A	Dividend	J	T	Buy	09/03/20	J		
108. MASTERCARD INC CL A	A	Dividend	J	T	Buy	09/03/20	J		
109. BOOZ ALLEN HAMILTON HLDG CL-A	A	Dividend	J	T	Buy	09/03/20	J		
110. GENMAB A S ADR	A	Dividend	J	T	Buy	09/03/20	J		
111. CHIPOTLE MEXICAN GRILL INC COM	A	Dividend	J	T	Buy	09/03/20	J		
112. ZOETIS INC CLASS-A	A	Dividend	J	T	Buy	09/03/20	J		
113. GENPACT LTD	A	Dividend	J	T	Buy	09/03/20	J		
114. AMERISOURCEBERGEN CORP	A	Dividend	J	T	Buy	09/03/20	J		
115. NEXTERA ENERGY INC	A	Dividend	J	T	Buy	09/03/20	J		
116. BIOMARIN PHARMAC SE	A	Dividend	J	T	Buy	09/03/20	J		
117.					Sold	11/02/20	J	B	
118. DOMINOS PIZZA INC	A	Dividend	J	T	Buy	09/03/20	J		
119. SALESFORCE.COM, INC.	A	Dividend	J	T	Buy	09/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. BLACKROCK INC	A	Dividend	J	T	Buy	09/03/20	J		
121. ALEXION PHARM INC	A	Dividend	J	T	Buy	09/03/20	J		
122.					Sold	12/16/20	J	B	
123. ARCH CAPITAL GROUP LTE	A	Dividend	J	T	Buy	09/03/20	J		
124. REPUBLIC SERVICES INC	A	Dividend	J	T	Buy	09/03/20	J		
125. HEICO CORP NEW	A	Dividend	J	T	Buy	09/03/20	J		
126. COPART INC	A	Dividend	J	T	Buy	09/03/20	J		
127. THE SCOTTS MIRACLE-GRO COMPANY	A	Dividend	J	T	Buy	09/03/20	J		
128. PAYPAL HLDGS INC COM	A	Dividend	J	T	Buy	09/03/20	J		
129. UNITEDHEALTH GR INC	A	Dividend	J	T	Buy	09/03/20	J		
130. IDEXX LABS	A	Dividend	J	T	Buy	09/03/20	J		
131. BROWN & BROWN INC	A	Dividend	J	T	Buy	09/03/20	J		
132. SUN COMMUNITIES INC	A	Dividend	J	T	Buy	09/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Continuation of Part I. POSITIONS:

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ HKennethJr Schroeder

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544