

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Seabright, J Michael	2. Court or Organization District Court - Hawaii	3. Date of Report 05/09/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 300 Ala Moana Blvd. Room C-409 Honolulu, HI 96850		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	_____	_____
2.	_____	_____
3.	_____	_____

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Seabright, J Michael

Date of Report

05/09/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	State of Hawaii salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Seabright, J Michael	05/09/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. First Hawaiian Bank Accounts	A	Interest	L	T					
2. State of Hawaii Deferred Compensation Plan	A	Dividend	K	T					
3. First Foundation Bank Certificate of Deposit	B	Interest			Matured	07/07/20	M	B	
4. Ameritrade Certificate of Deposit (with BMO Harris Bank)	A	Interest			Matured	02/03/20	M	C	
5. Schwab Cash Account	A	Interest	N	T					
6. iShares S&P 500 ETF (IVV)	A	Interest	J	T	Sold (part)	03/09/20	L		
7.					Buy (add'l)	03/12/20	K		
8.					Sold (part)	03/13/20	K	A	
9. iShares US Treasury Bond ETF (GOVT)	A	Dividend	K	T	Sold (part)	03/10/20	J		
10.					Buy (add'l)	05/14/20	J		
11.					Sold (part)	12/11/20	K	A	
12. Accenture PLC Class A (ACN)	A	Dividend			Sold	06/15/20	K	B	
13. Amazon.com, Inc. (AMZN)		None	K	T	Sold (part)	03/23/20	J	A	
14. Berkshire Hathaway Class B (BRKB)		None			Sold	10/28/20	K	A	
15. Costco Wholesale Co (COST)	A	Dividend	K	T	Buy (add'l)	01/09/20	J		
16.					Sold (part)	01/27/20	J	C	
17.					Sold (part)	03/12/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	03/19/20	J		
19. Home Depot, Inc. (HD)	A	Dividend			Sold (part)	02/26/20	J	A	
20.					Sold (part)	03/20/20	J		
21.					Sold	06/11/20	J	A	
22. Intuitive Surgical (ISRG)		None	J	T	Buy (add'l)	01/09/20	J		
23.					Sold (part)	03/16/20	K		
24. Ishares 1-3 Year Treasury Bond ETF (SHY)	A	Dividend	J	T					
25. SPDR S&P 500 ETF (SPY)	A	Dividend	K	T	Buy (add'l)	07/15/20	K		
26.					Sold	09/03/20	L	D	
27.					Buy	10/01/20	K		
28.					Sold	10/28/20	K		
29.					Buy	10/28/20	J		
30.					Buy (add'l)	12/17/20	K		
31. Ishares 20 Plus Year Treasury Bond ETF (TLT)	A	Dividend			Sold	03/25/20	K	D	
32.					Buy	06/19/20	K		
33.					Buy (add'l)	06/22/20	L		
34.					Buy (add'l)	07/23/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	08/19/20	K		
36.			L	T	Sold (part)	11/06/20	K		
37. Adobe, Inc. (ADBE)		None			Sold	02/25/20	K	C	
38. Amgen, Inc. (AMGN)	A	Dividend	J	T	Buy	09/24/20	J		
39. Brookfield Asset Managment (BAM)	A	Dividend			Sold	03/18/20	J		
40. Clorox Compnay (CO)	A	Dividend			Sold	04/21/20	K	C	
41. Walt Disney, Co. (DIS)	A	Dividend			Sold	01/27/20	K		
42. Freeport-McMoran, Inc. (FCX)	A	Dividend	K	T					
43. General Dynamics, Co. (GD)	A	Dividend			Sold	05/14/20	J		
44. Graco, Inc. (GGG)	A	Dividend	J	T	Buy	01/09/20	J		
45. Goldman Sachs Group (GS)		None			Sold	02/25/20	J		
46. Ishares Core MSCI Total Int'l Stock ETF (IXUS)		None			Sold	01/27/20	K		
47. Johnson & Johnson (JNJ)	A	Dividend	K	T	Buy	03/10/20	J		
48. The Coca-Cola Company (KO)		None			Sold	02/27/20	K	B	
49. Mastercard Inc. Class A (MA)	A	Dividend			Sold (part)	01/27/20	J	C	
50.					Sold (part)	09/04/20	J	A	
51.					Sold	10/28/20	J	B	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

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R =Cost (Real Estate Only)
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H1 =\$1,000,001 - \$5,000,000
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Name of Person Reporting

Seabright, J Michael

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05/09/2021

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52. Microsoft Corp. (MSFT)	A	Dividend	J	T					
53. Northrop Grumman Co. (NOC)	A	Dividend			Sold (part)	02/28/20	J	B	
54.					Sold	06/25/20	J	A	
55. Stryker Corp. (SYK)	A	Dividend			Sold	02/28/20	J		
56.			K	T	Buy	12/17/20	J		
57. Sysco Corp. (SYU)	A	Dividend			Sold	02/25/20	J	A	
58. Visa, Inc. Class A (V)	A	Dividend			Sold (part)	01/27/20	J	B	
59.					Sold (part)	09/04/20	J	A	
60.					Sold	10/28/20	J	A	
61. Vanguard Real Estate ETF (VNQ)	A	Dividend			Sold	01/27/20	J	A	
62.					Buy	02/27/20	J		
63.					Sold	03/18/20	J		
64. Verizon Communicaton (VZ)	A	Dividend	K	T	Sold (part)	03/20/20	J		
65.					Buy (add'l)	12/17/20	J		
66. SPDR Consumer Discretionary ETF (XLY)		None			Sold	01/27/20	K	A	
67. Alphabet Inc. Class C (GOOG)		None			Sold	03/23/20	J		
68. iShares Gold ETF (IAU)		None	J	T	Buy (add'l)	04/08/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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69.					Sold (part)	09/23/20	K	D	
70. Apple Inc. (AAPL)	A	Dividend	K	T	Buy	06/30/20	J		
71. Caterpillar, Inc. (CAT)		None	K	T	Buy	11/10/20	K		
72. Cisco Systems, Inc. (CSCO)		None			Buy	01/09/20	J		
73.					Sold	02/25/20	J		
74. CSX Corporation (CSX)	A	Dividend	K	T	Buy	11/10/20	K		
75. Danaher Corporation (DHR)		None			Buy	01/19/20	K		
76.					Sold	02/27/20	K		
77. iShares MSCI Australia ETF (EWA)	A	Dividend	J	T	Buy	10/15/20	J		
78. iShares MSCI Canada ETF (EWC)	A	Dividend	J	T	Buy	10/15/20	J		
79. iShares MSCI Japan ETF (EWJ)	A	Dividend	K	T	Buy	11/05/20	K		
80.					Buy (add'l)	12/17/20	J		
81. iShares MSCI Singapore ETF (EWS)	A	Dividend	K	T	Buy	07/13/20	J		
82.					Buy (add'l)	10/15/20	J		
83. Invesco Currency Shares Australian Dollar Trust (FXA)		None	K	T	Buy	07/23/20	K		
84. iShares Core MSCI Emerging ETF (IEMG)	A	Dividend	K	T	Buy	11/25/20	K		
85.					Buy (add'l)	12/17/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
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V =Other

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H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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86. iShares Core S&P Mid Cap ETF (IJH)		None			Buy	04/14/20	K		
87.					Sold	05/14/20	J		
88. iShares Core S&P Small Cap ETF (IJR)	A	Dividend			Buy	04/14/20	J		
89.					Sold	05/14/20	J		
90.					Buy	11/09/20	K		
91.			L	T	Buy (add'l)	12/17/20	K		
92. McDonald's Corporation (MCD)	A	Dividend	J	T	Buy	09/24/20	J		
93. Merck & Co, Inc. (MRK)		None	J	T	Buy	09/24/20	J		
94.					Sold	10/28/20	J		
95.					Buy	10/28/20	J		
96. Raytheon Technologies Corp (RTX) formerly known as RTN	A	Dividend			Buy	01/09/20	J		
97.					Sold	06/11/20	J		
98. Starbucks Corporation (SBUX)	A	Dividend	J	T	Buy	01/09/20	J		
99.					Sold	01/28/20	J		
100.					Buy	09/24/20	J		
101. iShares Silver Trust ETF (SLV)		None	J	T	Buy	04/15/20	K		
102.					Buy (add'l)	07/23/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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103.					Sold (part)	09/23/20	K	D	
104. Thermo Fisher Scientific Inc. (TMO)		None	J	T	Buy	12/17/20	J		
105. Union Pacific Corporation (UNP)	A	Dividend	K	T	Buy	01/09/20	J		
106.					Sold	02/28/20	J		
107.					Buy	11/11/20	K		
108. Walmart Inc. (WMT)		None	J	T	Buy	09/24/20	J		
109. Pimco Enhanced Short Maturity (MINT)	A	Dividend	L	T	Buy	06/22/20	L		
110. U.S. Treasury Note 2.65% (9128284U1)	A	Interest	K	T					
111. U.S. Treasury Note 2.% (9128283S7)	A	Interest			Sold	01/31/20	K		
112. U.S. Treasury Strip (912833LC2)		None	K	T					
113. U.S. Treasury Note (Inflation Index .125%) (912828K33)	A	Interest			Sold	04/15/20	K	A	
114. U.S. Treasury Note 2.125% (912828RC6)	B	Interest			Buy	06/03/20	M		
115.					Sold	12/11/20	M	A	
116. U.S. Treasury Note 2.75% (9128283W8)	A	Interest			Buy	06/03/20	L		
117.					Sold	12/11/20	L	A	
118. U.S. Treasury Bill (9127963J6)		None			Buy	09/30/20	K		
119.					Sold	12/10/20	K	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. U.S. Treasury Bill (9127963T4)		None	M	T	Buy	10/15/20	M		
121. U.S. Treasury Bill (912796TJ8)		None			Buy	03/17/20	L		
122.					Sold	08/19/20	L	A	
123. U.S. Treasury Bill (912796TT6)		None			Buy	02/13/20	K		
124.					Buy (add'l)	02/26/20	K		
125.					Sold	05/07/20	L	A	
126. U.S. Treasury Bill (912796SH3)		None			Buy	02/26/20	K		
127.					Sold	03/26/20	K	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Seabright, J Michael

Date of Report

05/09/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Seabright, J Michael

Date of Report

05/09/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ J Michael Seabright

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544