

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sheridan, Peter G.	2. Court or Organization U.S. District Court of New Jersey	3. Date of Report 08/09/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Clarkson S. Fisher Jr. Courthouse 402 East State Street Trenton, NJ 08608		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Sheridan, Peter G.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	AIG Retirement FKA VALIC Retirement
2. 2020	NJ Divisions of Pensions Retirement
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Sheridan, Peter G.	08/09/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Sheridan, Peter G.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AIG Retirement Services Stock Index Fd FKA VALIC Retirement	E	Int./Div.	M	T					
2.									
3. AIG Retirement Service Fixed Account Plus FKA Value Retirement	D	Int./Div.	M	T					
4.									
5. Mercer County Teachers Union Acct	A	Interest	J	T					
6.									
7. Well Fargo Bank Accts	A	Interest	M	T					
8.									
9. Bank of America Accts	A	Interest	K	T					
10.									
11. Eventide Gilead FD Class i	A	Dividend	J	T	Sold (part)	11/20/20	J	A	
12.									
13. Fidelity Adv Intl Cap	A	Dividend	J	T	Buy	11/23/20	J		
14.									
15. First Eagle Global Fund CL I	A	Dividend	K	T	Buy (add'l)	11/23/20	J		
16.									
17. Global X Funds Shs US	A	Dividend	J	T	Buy	11/24/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Sheridan, Peter G.

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18.									
19. Guggenheim Total Return Bond Fd Instl Class	A	Dividend	J	T	Buy (add'l)	11/23/20	J		
20.									
21. Guggenheim Floating Rate Strategies Fd Instl Cl	A	Dividend	J	T	Buy (add'l)	11/23/20	J		
22.									
23. Invesco Developing Mrkt Fd CL Y	A	Dividend	K	T	Buy (add'l)	11/23/20	J		
24.									
25. IShares Russell 1000 Growth	A	Dividend	J	T	Sold (part)	11/20/20	J	B	
26.									
27. Ishares Russell 1000 Value	A	Dividend	K	T	Buy (add'l)	11/24/20	J		
28.									
29. Ishares IBOXX \$ Invt Grade Corp Bd	A	Dividend	J	T					
30.									
31. Ishares Inc Core MSCI Emerging Mkts ETF	B	Dividend	J	T	Buy (add'l)	11/24/20	J		
32.									
33. JP Morgan Equity Income Fd	A	Dividend	K	T	Buy (add'l)	11/23/20	J		
34.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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35. JP Morgan Mid Cap Value FD CL I	A	Dividend			Sold	11/20/20	J	A	
36.									
37. Loomis Sayles Global Allocation FD CL Y	A	Dividend	J	T	Buy (add'l)	11/23/20	J		
38.									
39. Mainstay Mackay High Yield Corp Bond FD CL I	A	Dividend	J	T	Buy (add'l)	11/23/20	J		
40.									
41. Oakmark Equity & Income FD CL Adv	A	Dividend	J	T	Sold (part)	11/20/20	J	A	
42.									
43. Oakmark Intl FD CL Adv	A	Dividend			Sold	11/20/20	J	A	
44.									
45. Pimco Income Fund CL I2 FKA CL P	A	Dividend	J	T	Buy (add'l)	11/23/20	J		
46.									
47. T Rowe Price Blue Chip Growth Fd Inv CL	B	Dividend	K	T	Sold (part)	11/20/20	J	B	
48.									
49. T Rowe Price Intl Discovery FD Inv CL	A	Dividend	J	T	Sold (part)	11/20/20	J	A	
50.									
51. TCW Total Return Bond Fd CL I	A	Dividend	J	T	Buy (add'l)	11/23/20	J		

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52.									
53. Templeton Emerging Mkts Small Cap Fd CL Adv	A	Dividend			Sold	11/20/20	J	A	
54.									
55. Vanguard Small Cap	A	Dividend	J	T	Buy (add'l)	11/24/20	J		
56.									
57. Vanguard Total Bond Market	A	Dividend	J	T	Buy (add'l)	11/24/20	J		
58.									
59. Vanguard 500 Index Fund	A	Dividend	K	T	Sold (part)	11/20/20	J	A	
60.									
61. Voya Intermediate Bond Fund CL I	A	Dividend	J	T	Buy (add'l)	11/23/20	J		
62.									
63. Wisdom EM Small Cap	A	Dividend			Sold	11/20/20	J	A	
64.									
65. Hartford Balanced Income Fd CL I	A	Dividend	J	T					
66.									
67. Ishares MSCI EAFE	A	Dividend	J	T					
68.									

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Ishares TR Russell 2000	A	Dividend	J	T					
70.									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
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(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Peter G. Sheridan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544