

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>SIRAGUSA, CHARLES J.                                                                                                                 | <b>2. Court or Organization</b><br><br>U.S. DISTRICT COURT, WESTERN DISTRICT OF NY                                                                                                                                                                                                       | <b>3. Date of Report</b><br><br>08/06/2021                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>U.S.DISTRICT JUDGE (SENIOR)                                                   | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2020<br>to<br>12/31/2020 |
| <b>7. Chambers or Office Address</b><br><br>1360 UNITED STATES COURTHOUSE<br>100 STATE STREET<br>ROCHESTER, NEW YORK 14614                                                                                |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|         |                                                                 |
|---------|-----------------------------------------------------------------|
| 1. 2005 | NEW YORK STATE RETIREMENT SYSTEM (DEFINED BENEFIT PENSION PLAN) |
| 2.      |                                                                 |
| 3.      |                                                                 |

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

### A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>           | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|----------------------------------|----------------------------------------|
| 1. 2020     | NEW YORK STATE RETIREMENT SYSTEM | \$33,723.24                            |
| 2.          |                                  |                                        |
| 3.          |                                  |                                        |
| 4.          |                                  |                                        |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐

NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                            |
|-------------|---------------------------------------------------|
| 1. 2020     | ATTORNEY (SELF-EMPLOYED)                          |
| 2. 2020     | BROWN, GRUTTADARO, GAUJEAN & PRATO, PLLC (SALARY) |
| 3.          |                                                   |
| 4.          |                                                   |

## IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☒

NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| SIRAGUSA, CHARLES J.     | 08/06/2021     |

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                          |   |          |   |   |             |          |   |   |  |
|------------------------------------------|---|----------|---|---|-------------|----------|---|---|--|
| 1. INDIVIDUAL ASSETS (H)                 |   |          |   |   |             |          |   |   |  |
| 2. M & T BANK                            | A | Interest | M | T |             |          |   |   |  |
| 3. ACCOUNT #1 (H)                        |   |          |   |   |             |          |   |   |  |
| 4. MORGAN STANLEY BANK N.A. (cash)       | A | Interest | K | T |             |          |   |   |  |
| 5. ABB LTD (ABB)                         | A | Dividend | J | T |             |          |   |   |  |
| 6. ABM INDUSTRIES INCORPORATED (ABM) (X) | A | Dividend | J | T |             |          |   |   |  |
| 7. ACCENTURE PLC IRELAND CL A (ACN)      | A | Dividend | J | T |             |          |   |   |  |
| 8. ACI WORLDWIDE INC (ACIW)              |   | None     | J | T |             |          |   |   |  |
| 9. ADAPTHEALTH CORP CL A (AHCO) (X)      |   | None     | J | T |             |          |   |   |  |
| 10. AIR LIQUIDE ADR (AIQY) (X)           | A | Dividend | J | T |             |          |   |   |  |
| 11. ALLERGAN PLC SHS (AGN)               | A | Dividend |   |   | Sold        | 03/17/20 | J |   |  |
| 12. ALPHABET INC CL C (GOOG)             |   | None     | J | T | Sold (part) | 07/24/20 | J | A |  |
| 13. ALSTOM ADR (ALSMY) (X)               |   | None     | J | T |             |          |   |   |  |
| 14. ALTRA HLDGS INC (AIMC)               | A | Dividend | J | T |             |          |   |   |  |
| 15. AMER INTL GP INC NEW (AIG)           | A | Dividend |   |   | Buy (add'l) | 03/05/20 | J |   |  |
| 16.                                      |   |          |   |   | Sold        | 03/17/20 | J |   |  |
| 17. AMN HEALTHCARE SVCS INC (AMN) (X)    |   | None     | J | T |             |          |   |   |  |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. AON PLC SHS CL-A (AON)                                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 19. ASSA ABLOY AB UNSP ADR (ASAZY)                                                                                         | A                                      | Dividend                               |                                                |                                    | Sold                                      | 09/10/20         | J                        | A                       |                                                            |
| 20. AUTOHOME INC SP ADR RP CL A<br>(ATHM) (X)                                                                              |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 21. AXALTA COATING SYSTEMS LTD<br>(AXTA)                                                                                   |                                        | None                                   | J                                              | T                                  | Buy<br>(add'l)                            | 03/18/20         | J                        |                         |                                                            |
| 22.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 11/09/20         | J                        |                         |                                                            |
| 23. BANCORPSOUTH INC (BXS)                                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 24. BB SEGURIDADE PARTICIPACOES<br>(BBSEY) (Y)                                                                             |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 25. BEIERSDORF AG UNSPONS ADR<br>(BDRFY)                                                                                   | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 26. BERKLEY W R CORP (WRB)                                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 27. BERKSHIRE HATHAWAY CL-B NEW<br>(BRK'B)                                                                                 |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 28. BHP BILLITON LTD (BHP)                                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 29. BLACK KNIGHT INC (BKI)                                                                                                 |                                        | None                                   | J                                              | T                                  | Sold<br>(part)                            | 11/09/20         | J                        | B                       |                                                            |
| 30. BMC STK HLDGS INC (BMCH)                                                                                               |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 31. BNP PARIBAS SP ADR REPSTG<br>(BNPQY)                                                                                   |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 32. BOOKING HOLDINGS INC (BKNG)                                                                                            |                                        | None                                   | J                                              | T                                  | Buy                                       | 03/17/20         | J                        |                         |                                                            |
| 33.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/29/20         | J                        |                         |                                                            |
| 34. BRINK'S COMPANY COM (BCO) (X)                                                                                          | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. BROOKFIELD ASSET MGNT CL A LTD (BAM)                                                                                   | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 36. BROOKS AUTOMATION INC (BRKS)                                                                                           | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 37. BRUNSWICK CORP (BC) (Y)                                                                                                |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 38. CANADIAN NATL RAILWAY CO (CNI) (X)                                                                                     | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 39. CARLSBERG AS (CABGY)                                                                                                   | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 40. CASELLA WASTE SYS INC CL A (CWST)                                                                                      |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 41. CENTRAL GARDEN & PET CO CL A (CENTA) (X)                                                                               |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 42. CERENCE INC (CRNC) (X)                                                                                                 |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 43. CERNER CORP (CERN)                                                                                                     | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 44. CHARLES RIVER LABS INTL INC (CRL)                                                                                      |                                        | None                                          | J                                              | T                                         | Buy                                             | 03/18/20                | J                               |                                |                                                                   |
| 45.                                                                                                                        |                                        |                                               |                                                |                                           | Sold (part)                                     | 08/13/20                | J                               |                                |                                                                   |
| 46. COMPAGNIE FIN RICHEMONTAG ADR (CFRUY)                                                                                  | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 11/04/20                | J                               |                                |                                                                   |
| 47. COMPASS GROUP PLC SPD ADR (CMPGY) (Y)                                                                                  |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 48. CVB FINCL CP (CVBF)                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 49. DAIWA HOUSE IND LTD ADR (DWAHY)                                                                                        | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 50. DBS GROUP HOLDINGS LTD SP (DBSDY)                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 51. DELTA AIR LINES NEW (DAL)                                                                                              | A                                      | Dividend                                      |                                                |                                           | Buy (add'l)                                     | 03/05/20                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/18/20         | J                        |                         |                                                            |
| 53.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 04/09/20         | J                        |                         |                                                            |
| 54. DIAGEO PLC SPON ADR NEW (DEO)                                                                                          | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 55. DUN & BRADSTREET HLDGS INC (DNB)                                                                                       |                                        | None                                   | J                                              | T                                  | Buy                                       | 08/05/20         | J                        |                         |                                                            |
| 56.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/06/20         | J                        |                         |                                                            |
| 57.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/05/20         | J                        |                         |                                                            |
| 58. ENEL SOCIETA PER AZIONI ADR (ENLAY) (X)                                                                                |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 59. ENGIE SPONS ADR (ENGIY)                                                                                                |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 60. ENN ENERGY HOLDINGS LTD UNSPON (XNGSY)                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 61. ENVISTA HLDGS CORP (NVST) (X)                                                                                          |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 62. ESCO TECH (ESE)                                                                                                        | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 63. FASTENAL CO (FAST)                                                                                                     | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 64. FERGUSON PLC SPONSORED ADR (FERGY)                                                                                     | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 65. FIRST HORIZON CORPORATION (FHN)                                                                                        | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 66. FORWARD AIR CORP (FWRD) (X)                                                                                            | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 67. FULLER HB & CO (FUL)                                                                                                   | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 68. GIBRALTAR INDUSTRIES INC (ROCK)                                                                                        |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. GLACIER BANCORP (GBCI)                                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 70. GRUPO FINANCIERO BANORTE SAB (GBOOY)                                                                                   |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 71. HEXAGON AB ADR (HXGBY) (X)                                                                                             | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 72. HITACHI 10 COM NEW ADR (HTHIY) (X)                                                                                     | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 73. HORACE MANN EDUCATORS CP (HMN) (X)                                                                                     | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 74. ICF INTL INC (ICFI)                                                                                                    | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 75. ICICI BANK LTD (IBN)                                                                                                   |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 76. ICU MEDICAL INC (ICUI) (X)                                                                                             |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 77. IHS MARKIT LTD (INFO)                                                                                                  | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 03/11/20         | J                        |                         |                                                            |
| 78. ILL TOOL WORKS INC (ITW)                                                                                               | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 79. INDEPENDENT BK MASS (INDB)                                                                                             | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 80. INTEGER HOLDINGS CORP (ITGR)                                                                                           |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 81. J&J SNACK FOODS (JJSF) (Y)                                                                                             |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 82. KAO CORP (KAOOY) (Y)                                                                                                   |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 83. KNORR BREMSE AG ADR (KNRRY) (X)                                                                                        | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 84. KOMATSU LTD SPON ADR NEW (KMTUY)                                                                                       |                                        | None                                   |                                                |                                    | Sold                                      | 02/07/20         | J                        |                         |                                                            |
| 85. LA Z BOY INCORPORATED (LZB)                                                                                            | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. LAKELAND FINCL (LKFN) (X)                                                                                              | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 87. LONZA GROUP AG ZUERICH ADR<br>(LZAGY) (X)                                                                              | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 88. LOWES COMPANIES INC (LOW)                                                                                              | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 03/18/20         | J                        |                         |                                                            |
| 89.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 04/30/20         | J                        | A                       |                                                            |
| 90. MAKITA CORPORATION LTD ADR<br>NEW (MKTAY)                                                                              | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 91. MARKEL CORP (HOLDING CO) (MKL)                                                                                         |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 92. MASTERCARD INC CL A (MA)                                                                                               | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 93. MATADOR RES CO (MTDR) (Y)                                                                                              |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 94. MEDTRONIC PLC SHS (MDT)                                                                                                | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 95. MERCK KGAA SPD ADR (MKKGY) (X)                                                                                         |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 96. MOWI ASA ADR (MHGVY) (Y)                                                                                               |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 97. NINTENDO CO LTD ADR NEW<br>(NTDOY)                                                                                     | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 98. NORDEA NK SWEDEN AB (PUBL) ADR<br>(NRBAY)                                                                              |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 99. NOVARTIS AG ADR (NVS)                                                                                                  | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 09/01/20         | J                        | A                       |                                                            |
| 100. NXP SEMICONDUCTORS (NXPI)                                                                                             | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 03/18/20         | J                        |                         |                                                            |
| 101.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 11/09/20         | J                        | B                       |                                                            |
| 102. ONE GAS INC (OGS)                                                                                                     | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                               |   |          |   |   |             |          |   |  |  |
|-----------------------------------------------|---|----------|---|---|-------------|----------|---|--|--|
| 103. ORACLE CORP (ORCL)                       | A | Dividend | J | T |             |          |   |  |  |
| 104. OTIS WORLDWIDE CORP (OTIS) (X)           |   | None     |   |   | Sold        | 04/16/20 | J |  |  |
| 105. PEBBLEBROOK HOTEL TR COM (PEB) (Y)       |   |          |   |   |             |          |   |  |  |
| 106. PEPSICO INC NC (PEP)                     | A | Dividend | J | T |             |          |   |  |  |
| 107. PERNOD RICARD SA UNSPONS ADR (PDRDY) (X) | A | Dividend | J | T |             |          |   |  |  |
| 108. PHYSICIANS REALTY TRUST (DOC)            | A | Dividend | J | T |             |          |   |  |  |
| 109. PING AN INSURANCE ADR (PNGAY)            | A | Dividend | J | T |             |          |   |  |  |
| 110. PLEXUS CORP (PLXS)                       |   | None     | J | T |             |          |   |  |  |
| 111. PRUDENTIAL PLC ADR (PUK)                 | A | Dividend | J | T |             |          |   |  |  |
| 112. QTS RLTY TR INC COM CL A (QTS)           | A | Dividend | J | T |             |          |   |  |  |
| 113. RAMBUS INC (RMBS) (X)                    |   | None     | J | T |             |          |   |  |  |
| 114. RAYTHEON TECHNOLOGIES CORP (RTX)         |   | None     | J | T | Buy (add'l) | 03/18/20 | J |  |  |
| 115.                                          |   |          |   |   | Buy (add'l) | 04/16/20 | J |  |  |
| 116. RELX PLC SPONSORED ADR (RELX)            | A | Dividend | J | T |             |          |   |  |  |
| 117. ROYAL DSM NV SPONSORED ADR (RDSMY)       | A | Dividend | J | T |             |          |   |  |  |
| 118. ROYAL DUTCH SHELL PLC (RDS'A)            |   | None     |   |   | Sold (part) | 01/30/20 | J |  |  |
| 119.                                          |   |          |   |   | Sold        | 02/03/20 | J |  |  |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. RYANAIR HLDGS PLC ADR (RYAAY)                                                                                         |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 121. RYOHIN KEIKAKU CO LTD ADR (RYKKY)                                                                                     | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 122. SAFRAN SA (SAFRY)                                                                                                     |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 123. SAMPO OYJ UNSPON ADR (SAXPY)                                                                                          | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 124. SANDS CHINA LTD UNSPONSORED ADR (SCHYY)                                                                               |                                        | None                                   | J                                              | T                                  | Buy                                       | 11/20/20         | J                        |                         |                                                            |
| 125. SANDVIK AB SPONS ADR (SDVKY) (X)                                                                                      |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 126. SANOFI ADR (SNY) (X)                                                                                                  | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 127. SAP AG (SAP)                                                                                                          | A                                      | Dividend                               |                                                |                                    | Sold (part)                               | 10/27/20         | J                        | A                       |                                                            |
| 128.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 11/24/20         | J                        | A                       |                                                            |
| 129. SELECTIVE INS GRP (SIGI)                                                                                              | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 130. SHIMANO INC ADR (SMNNY) (X)                                                                                           | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 131. SHIN ETSU CHEM CO LTD ADR (SHECY)                                                                                     | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 132. SOUTH ST CORP COM (SSB)                                                                                               | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 133. STARBUCKS CORP WASHINGTON (SBUX)                                                                                      | A                                      | Dividend                               | J                                              | T                                  | Buy (add'l)                               | 02/27/20         | J                        |                         |                                                            |
| 134. STRYKER CORP (SYK)                                                                                                    | A                                      | Dividend                               | J                                              | T                                  | Sold (part)                               | 11/19/20         | J                        | A                       |                                                            |
| 135. SUMITOMO MITSUI FINL GROUP INC (SMFG)                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 136. SUNCOR ENERGY INC (SU)                                                                                                | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

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Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. SUZUKI MTR CORP ADR (SZKMY) (X)                                                                                       | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 138. SYNEX CORP (SNX) (Y)                                                                                                  |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 139. TAIWAN SMCNDCR MFG CO LTD<br>ADR (TSM)                                                                                | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 140. TELENOR ASA ADS (TELNY)                                                                                               | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 141. TENCENT HLDGS LTD UNSPON ADR<br>(TCEHY)                                                                               | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 142. TESCO PLC SPONSORED ADR (TSCDY)                                                                                       | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 143. THE SIMPLY GOOD FOODS COMPANY<br>(SMPL) (X)                                                                           |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 144. THERMO FISHER SCIENTIFIC (TMO)                                                                                        | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 10/26/20                | J                               | C                              |                                                                   |
| 145. TJX COS INC NEW (TJX)                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 146. UNILEVER PLC (NEW) ADS (UL)                                                                                           | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 147. VESTAS WIND SYSTEMS ADS<br>(VWDY) (X)                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 148. VINCI SA ADR (VCISY)                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 149. VIVENDI SA UNSPON ADR (VIVHY)                                                                                         | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 11/30/20                | J                               | A                              |                                                                   |
| 150. WILLSCOT MOBIL MINI HOLDN CL A<br>(WSC) (X)                                                                           |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 151. WOLTERS KLUWER NV SPON ADR<br>(WTKWY)                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 152. WOLVERINE WORLD WIDE (WWW)                                                                                            | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 153. VOLKSWAGEN AG RP (VWAPY)                                                                                              | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154. VERIZON COMMUNICATIONS<br>[REDACTED]                                                                                  |                                        | None                                          |                                                |                                           | Sold                                            | 01/27/20                | J                               |                                |                                                                   |
| 155. BANK OF AMERICA CORP [REDACTED]                                                                                       | A                                      | Interest                                      |                                                |                                           | Sold                                            | 04/14/20                | J                               | A                              |                                                                   |
| 156. GOLDMAN SACHS GROUP INC<br>[REDACTED]                                                                                 | A                                      | Interest                                      |                                                |                                           | Sold                                            | 07/09/20                | J                               | A                              |                                                                   |
| 157. SHELL INTERNATIONAL FINANCE BV<br>[REDACTED]                                                                          | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 158. VERIZON COMMUNICATIONS INC<br>4.125 [REDACTED]                                                                        | A                                      | Interest                                      | J                                              | T                                         | Buy                                             | 04/16/20                | J                               |                                |                                                                   |
| 159.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 11/06/20                | J                               |                                |                                                                   |
| 160. CVS HEALTH CORP 4.300 [REDACTED]                                                                                      | A                                      | Interest                                      | J                                              | T                                         | Buy                                             | 06/09/20                | J                               |                                |                                                                   |
| 161. WELLS FARGO CO F XD [REDACTED]                                                                                        | A                                      | Interest                                      | J                                              | T                                         | Sold<br>(part)                                  | 03/17/20                | J                               |                                |                                                                   |
| 162. CITIGROUP INC 3.66 FXD TO 07/24/2027<br>FLTS THRFR [REDACTED]                                                         | A                                      | Interest                                      | J                                              | T                                         | Sold<br>(part)                                  | 03/17/20                | J                               |                                |                                                                   |
| 163. COMCAST CORP [REDACTED]                                                                                               | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 164. JPMORGAN CHASE & CO [REDACTED]                                                                                        | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 165. GOLDMAN SACHS GROUP INC 3.800<br>[REDACTED]                                                                           | A                                      | Interest                                      | J                                              | T                                         | Buy                                             | 07/09/20                | J                               |                                |                                                                   |
| 166. BANK OF AMERICA CORP 2.884<br>[REDACTED]                                                                              | A                                      | Interest                                      | J                                              | T                                         | Buy                                             | 04/14/20                | J                               |                                |                                                                   |
| 167. GENERAL ELECTRIC CAPITAL CORP<br>[REDACTED]                                                                           | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 168. OCCIDENTAL PETROLEUM CORP<br>[REDACTED]                                                                               | A                                      | Interest                                      |                                                |                                           | Buy                                             | 01/27/20                | J                               |                                |                                                                   |
| 169.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 11/06/20                | J                               |                                |                                                                   |
| 170. MICROSOFT CORP [REDACTED]                                                                                             | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171. ENTERPRISE PRODUCTS OPER<br>[REDACTED]                                                                                | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 172. UNITED STATES TREASURY NOTE<br>[REDACTED]                                                                             | A                                      | Interest                                      |                                                |                                           | Buy<br>(add'l)                                  | 01/27/20                | J                               |                                |                                                                   |
| 173.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 03/18/20                | J                               |                                |                                                                   |
| 174.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 04/03/20                | J                               |                                |                                                                   |
| 175.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 06/03/20                | J                               |                                |                                                                   |
| 176.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 06/09/20                | J                               | A                              |                                                                   |
| 177. UNITED STATES TREASURY NOTE<br>[REDACTED]                                                                             | A                                      | Interest                                      | K                                              | T                                         | Buy                                             | 05/26/20                | J                               |                                |                                                                   |
| 178.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 06/03/20                | J                               |                                |                                                                   |
| 179.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 06/16/20                | J                               |                                |                                                                   |
| 180.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 11/19/20                | J                               |                                |                                                                   |
| 181. UNITED STATES TREASURY NOTE<br>[REDACTED]                                                                             |                                        | None                                          | J                                              | T                                         | Buy                                             | 12/09/20                | J                               |                                |                                                                   |
| 182.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/30/20                | J                               |                                |                                                                   |
| 183. UNITED STATES TREASURY NOTE<br>[REDACTED]                                                                             | A                                      | Interest                                      | J                                              | T                                         | Buy                                             | 06/17/20                | J                               |                                |                                                                   |
| 184.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 09/04/20                | J                               |                                |                                                                   |
| 185. UNITED STATES TREASURY BOND<br>[REDACTED]                                                                             | A                                      | Interest                                      | K                                              | T                                         | Sold<br>(part)                                  | 03/17/20                | J                               | B                              |                                                                   |
| 186.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 05/05/20                | J                               |                                |                                                                   |
| 187.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 10/06/20                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/02/20         | J                        |                         |                                                            |
| 189. UNITED STATES TREASURY BOND<br>[REDACTED]                                                                             | A                                      | Interest                               | J                                              | T                                  | Sold<br>(part)                            | 03/17/20         | J                        | B                       |                                                            |
| 190.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/14/20         | J                        |                         |                                                            |
| 191.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/03/20         | J                        |                         |                                                            |
| 192.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/19/20         | J                        |                         |                                                            |
| 193. UNITED STATES TREASURY BOND<br>[REDACTED]                                                                             | A                                      | Interest                               | K                                              | T                                  | Sold<br>(part)                            | 03/04/20         | J                        | B                       |                                                            |
| 194.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 03/17/20         | J                        | A                       |                                                            |
| 195.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/24/20         | J                        |                         |                                                            |
| 196.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/19/20         | J                        |                         |                                                            |
| 197. UNITED STATES TREASURY BOND-<br>INFLATION INDEXED [REDACTED]                                                          | A                                      | Interest                               | J                                              | T                                  | Buy                                       | 03/04/20         | J                        |                         |                                                            |
| 198. FED NATL MTG ASSN [REDACTED]                                                                                          | A                                      | Interest                               | J                                              | T                                  | Sold<br>(part)                            | 03/17/20         | J                        | A                       |                                                            |
| 199. FEDERAL NATIONAL MTG ASSN<br>POOL MA4204 [REDACTED]                                                                   |                                        | None                                   | J                                              | T                                  | Buy                                       | 12/09/20         | J                        |                         |                                                            |
| 200. FHLMC 30 YR GOLD [REDACTED]                                                                                           | A                                      | Interest                               | J                                              | T                                  | Buy<br>(add'l)                            | 08/11/20         | J                        |                         |                                                            |
| 201. FEDERAL NATIONAL MTG ASSN<br>POOL MA3521 [REDACTED]                                                                   | A                                      | Interest                               |                                                |                                    | Sold<br>(part)                            | 03/09/20         | J                        |                         |                                                            |
| 202.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 03/18/20         | J                        |                         |                                                            |
| 203.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/28/20         | J                        |                         |                                                            |
| 204.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 11/06/20         | J                        |                         |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 205. FEDERAL NATIONAL MTG ASSN<br>POOL MA3527 [REDACTED]                                                                   | A                                      | Interest                               |                                                |                                    | Sold                                      | 01/10/20         | J                        |                         |                                                            |
| 206. FHLMC 30 YR GOLD [REDACTED]                                                                                           | A                                      | Interest                               | J                                              | T                                  | Buy<br>(add'l)                            | 04/09/20         | J                        |                         |                                                            |
| 207. FED NATL MTG ASSN POOL MA3803<br>[REDACTED]                                                                           | A                                      | Interest                               |                                                |                                    | Buy<br>(add'l)                            | 01/09/20         | J                        |                         |                                                            |
| 208.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/09/20         | J                        |                         |                                                            |
| 209.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 12/09/20         | J                        |                         |                                                            |
| 210. FEDERAL NATIONAL MTG ASSN<br>POOL MA3945 [REDACTED]                                                                   | A                                      | Interest                               | J                                              | T                                  | Buy                                       | 07/10/20         | J                        |                         |                                                            |
| 211. FHLMC 30 YR GOLD SD8113<br>[REDACTED]                                                                                 | A                                      | Interest                               | J                                              | T                                  | Buy                                       | 11/06/20         | J                        |                         |                                                            |
| 212. FHLMC 30 YR GOLD SD8044<br>[REDACTED]                                                                                 | A                                      | Interest                               |                                                |                                    | Buy                                       | 01/10/20         | J                        |                         |                                                            |
| 213.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 03/18/20         | J                        |                         |                                                            |
| 214.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 05/26/20         | J                        |                         |                                                            |
| 215. AQR STYLE PREMIA ALTERNATIVE I<br>(QSPIX)                                                                             |                                        | None                                   | M                                              | T                                  | Buy<br>(add'l)                            | 03/05/20         | K                        |                         |                                                            |
| 216.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/29/20         | J                        |                         |                                                            |
| 217. WESTERN ASSET SMASH SERIES EC<br>(LMECX)                                                                              | B                                      | Dividend                               | L                                              | T                                  | Sold<br>(part)                            | 03/17/20         | J                        |                         |                                                            |
| 218.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/19/20         | J                        |                         |                                                            |
| 219.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/30/20         | J                        |                         |                                                            |
| 220. WESTERN ASSET SMASH SERIES C<br>(LMLCX)                                                                               | B                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 05/12/20         | J                        |                         |                                                            |
| 221.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/01/20         | J                        |                         |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 222. WESTERN ASSET SMASH SERIES M (LMSMX)                                                                                  | C                                      | Dividend                               | L                                              | T                                  | Sold (part)                               | 03/17/20         | J                        |                         |                                                            |
| 223.                                                                                                                       |                                        |                                        |                                                |                                    | Sold (part)                               | 03/19/20         | J                        |                         |                                                            |
| 224.                                                                                                                       |                                        |                                        |                                                |                                    | Sold (part)                               | 05/12/20         | K                        |                         |                                                            |
| 225.                                                                                                                       |                                        |                                        |                                                |                                    | Buy (add'l)                               | 06/03/20         | J                        |                         |                                                            |
| 226.                                                                                                                       |                                        |                                        |                                                |                                    | Buy (add'l)                               | 08/05/20         | J                        |                         |                                                            |
| 227.                                                                                                                       |                                        |                                        |                                                |                                    | Buy (add'l)                               | 12/01/20         | J                        |                         |                                                            |
| 228. ACCOUNT #2 (H)                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 229. BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL (MASPX)                                                               | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 230. ACCOUNT #3 (H)                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 231. BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL (MASPX)                                                               | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 232. ACCOUNT #4 (H)                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 233. AQR MANAGED FUTURES STRATEGY 1 (AQMIX)                                                                                | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 234. GOLDMAN SACHS SM CAP VAL I (GSSIX)                                                                                    | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 235. LORD ABBETT SHT DURATION INC F (LDLFX)                                                                                | C                                      | Dividend                               | M                                              | T                                  | Buy (add'l)                               | 06/22/20         | L                        |                         |                                                            |
| 236. PUTNAM MLTI ASSET ABS RET Y (PDMYX)                                                                                   |                                        | None                                   | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 237. ACCOUNT #5 (H)                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 238. COHEN & STEERS INTL REALTY FUND A (IRFAX)                                                                             | A                                      | Dividend                               | K                                              | T                                  | Buy (add'l)                               | 09/16/20         | J                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 239. COHEN & STEERS INTL REALTY FD C (IRFCX)                                                                               | A                                      | Dividend                               |                                                |                                    | Sold                                      | 09/16/20         | J                        |                         |                                                            |
| 240. ACCOUNT #6 (H)                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 241. FIDELITY LARGE CAP STOCK FUND                                                                                         |                                        | None                                   |                                                |                                    | Sold                                      | 12/18/20         | J                        | A                       |                                                            |
| 242. JP MOGAN US EQUITY FUND                                                                                               |                                        | None                                   | J                                              | T                                  | Buy                                       | 12/18/20         | J                        |                         |                                                            |
| 243. FIDELITY INTERNATIONAL INDEX FUND                                                                                     |                                        | None                                   | J                                              | T                                  | Buy                                       | 12/18/20         | J                        |                         |                                                            |
| 244. VT FIDELITY CONTRAFUND                                                                                                |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 245. OAKMARK INTERNATIONAL INSTITUTIONAL                                                                                   |                                        | None                                   |                                                |                                    | Sold                                      | 12/18/20         | J                        | A                       |                                                            |
| 246. ACCOUNT #7 (H)                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 247. MORGAN STANLEY BANK (cash)                                                                                            | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 248. MSILF GOVERNMENT PTF INST (MVRXX)                                                                                     | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 249. DELAWARE LIFE NY SUN UL PROTECTOR (whole life)                                                                        |                                        | None                                   | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 250. ACCOUNT #8 (H)                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 251. MORGAN STANLEY BANK NA (cash)                                                                                         | A                                      | Interest                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 252. ABBVIE INC COM (ABBV) (X)                                                                                             | A                                      | Dividend                               | J                                              | T                                  | Sold (part)                               | 06/24/20         | J                        | A                       |                                                            |
| 253. ACCENTURE PLC IRELAND CL A (ACN)                                                                                      | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 254. ADECCO GROUP AG ADR (AHEXY)                                                                                           | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 03/25/20         | J                        |                         |                                                            |
| 255. ADOBE SYSTEMS (ADBE)                                                                                                  |                                        | None                                   | J                                              | T                                  | Sold (part)                               | 02/06/20         | J                        | A                       |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 256.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 06/24/20         | J                        | B                       |                                                            |
| 257. ADVANCE AUTO PARTS (AAP)                                                                                              | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 258. AES CORP (AES)                                                                                                        | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 259. AKAMAI TECHNOLOGIES (AKAM)                                                                                            |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 260. AKZO NOBEL NV ADR (AKZOY) (X)                                                                                         | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 261. ALASKA AIR GROUP INC (ALK) (Y)                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 262. ALEXION PHARM INC (ALXN)                                                                                              |                                        | None                                   | J                                              | T                                  | Sold<br>(part)                            | 06/24/20         | J                        |                         |                                                            |
| 263.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/22/20         | J                        | A                       |                                                            |
| 264. ALIBABA GROUP (BABA)                                                                                                  |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 265. ALLERGAN PLC SHS (AGN)                                                                                                | A                                      | Dividend                               |                                                |                                    | Sold<br>(part)                            | 03/24/20         | J                        | A                       |                                                            |
| 266.                                                                                                                       |                                        |                                        |                                                |                                    | Redeemed                                  | 05/11/20         | J                        | A                       |                                                            |
| 267. ALLISON TRANSMN HLDGS INC<br>(ALSN)                                                                                   | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 268. ALPHABET INC CL A (GOOGL)                                                                                             |                                        | None                                   |                                                |                                    | Sold                                      | 06/18/20         | J                        | C                       |                                                            |
| 269. ALPHABET INC CL C (GOOG)                                                                                              |                                        | None                                   |                                                |                                    | Sold<br>(part)                            | 01/10/20         | J                        | A                       |                                                            |
| 270.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 06/24/20         | J                        | B                       |                                                            |
| 271.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 08/03/20         | J                        | C                       |                                                            |
| 272. AMAZON (AMZN)                                                                                                         |                                        | None                                   | J                                              | T                                  | Sold<br>(part)                            | 06/24/20         | J                        | C                       |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 273. AMERICAN INTL GROUP (AIG)                                                                                             | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 05/21/20                | J                               |                                |                                                                   |
| 274.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 06/08/20                | J                               |                                |                                                                   |
| 275. AMERICAN EXPRESS CO (AXP) (Y)                                                                                         |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 276. AMERICAN HOMES 4 RENT (AMH)                                                                                           | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 06/24/20                | J                               | A                              |                                                                   |
| 277. AMGEN INC (AMGN) (X)                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 278. ANHEUSER-BUSCH (BUD)                                                                                                  | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 02/21/20                | J                               |                                |                                                                   |
| 279. AP MOLLAR-MAERSK A/S ADR<br>(AMKBY)                                                                                   | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 280. APPLE INC (AAPL)                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 06/24/20                | J                               | A                              |                                                                   |
| 281. ARISTA NETWORKS INC (ANET)                                                                                            |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 282. ATOS ORIGIN SA ADR (AEXAY)                                                                                            |                                        | None                                          |                                                |                                           | Sold                                            | 11/02/20                | J                               |                                |                                                                   |
| 283. AUTODESK INC. (ADSK)                                                                                                  |                                        | None                                          |                                                |                                           | Sold                                            | 06/24/20                | J                               | C                              |                                                                   |
| 284. AUTOZONE INC (AZO)                                                                                                    |                                        | None                                          | J                                              | T                                         | Buy<br>(add'l)                                  | 02/26/20                | J                               |                                |                                                                   |
| 285. AXA ADS (AXAHY)                                                                                                       | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 11/02/20                | J                               |                                |                                                                   |
| 286.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 12/03/20                | J                               |                                |                                                                   |
| 287.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/22/20                | J                               |                                |                                                                   |
| 288. BAIDU INC ADS (BIDU)                                                                                                  |                                        | None                                          |                                                |                                           | Sold<br>(part)                                  | 11/02/20                | J                               |                                |                                                                   |
| 289.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/15/20                | J                               | A                              |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 290. BANCO BILBAO VIZ ARG SA ADS (BBVA)                                                                                    |                                        | None                                   | J                                              | T                                  | Buy                                       | 12/28/20         | J                        |                         |                                                            |
| 291. BANCO SANTANDER S.A. (SAN)                                                                                            |                                        | None                                   |                                                |                                    | Sold                                      | 11/02/20         | J                        |                         |                                                            |
| 292.                                                                                                                       |                                        |                                        |                                                |                                    | Buy                                       | 12/03/20         | J                        |                         |                                                            |
| 293.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 12/22/20         | J                        |                         |                                                            |
| 294. BANK OZK (OZK) (Y)                                                                                                    |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 295. BARCLAYS PLC ADR (BCS)                                                                                                |                                        | None                                   |                                                |                                    | Sold                                      | 12/22/20         | J                        |                         |                                                            |
| 296. BASF SE SP ADR (BASFY)                                                                                                | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 297. BAYER AG SPONSORED ADR (BAYRY)                                                                                        | A                                      | Dividend                               |                                                |                                    | Sold                                      | 11/02/20         | J                        |                         |                                                            |
| 298. BAYERISCHE MOTOREN WERKE ADR (BMWYY)                                                                                  | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 299. BERKELEY GROUP HLDGS PLC (BKGFY) (X)                                                                                  |                                        | None                                   |                                                |                                    | Sold                                      | 11/02/20         | J                        |                         |                                                            |
| 300.                                                                                                                       |                                        |                                        |                                                |                                    | Buy                                       | 12/03/20         | J                        |                         |                                                            |
| 301.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 12/22/20         | J                        |                         |                                                            |
| 302. BIOGEN INC COM (BIIB)                                                                                                 |                                        | None                                   |                                                |                                    | Sold (part)                               | 04/20/20         | J                        | A                       |                                                            |
| 303.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 06/24/20         | J                        | B                       |                                                            |
| 304. BIOMARIN PHARMAC (BMRM) (Y)                                                                                           |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 305. BLACKROCK INC. (BLK) (Y)                                                                                              |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 306. BNP PARIBAS SP ADR REPSTG (BNPQY)                                                                                     |                                        | None                                   |                                                |                                    | Sold                                      | 11/02/20         | J                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 307.                                                                                                                       |                                        |                                        |                                                |                                    | Buy                                       | 12/03/20         | J                        |                         |                                                            |
| 308.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 12/22/20         | J                        |                         |                                                            |
| 309. BOEING CO (BA) (Y)                                                                                                    |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 310. BP PLC ADS (BP)                                                                                                       | A                                      | Dividend                               |                                                |                                    | Sold                                      | 12/22/20         | J                        |                         |                                                            |
| 311. BRENNTAG AG UNSP ADR (BNTGY)<br>(X)                                                                                   | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 312. BRISTOL MYERS SQUIBB CO (BMY)<br>(Y)                                                                                  |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 313. BROADCOM CORP CL A (AVGO)                                                                                             | A                                      | Dividend                               |                                                |                                    | Sold<br>(part)                            | 04/20/20         | J                        |                         |                                                            |
| 314.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 06/24/20         | J                        | C                       |                                                            |
| 315. CAPRI HOLDINGS LIMITED (CPRI)                                                                                         |                                        | None                                   |                                                |                                    | Buy<br>(add'l)                            | 12/03/20         | J                        |                         |                                                            |
| 316.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 12/29/20         | J                        | A                       |                                                            |
| 317. CEMEX SAB DE CV (CX) (Y)                                                                                              |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 318. CHARLES SCHWAB CORP (SCHW) (Y)                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 319. CHINA CONSTRUCTION BANK CORP<br>(CICHY)                                                                               |                                        | None                                   |                                                |                                    | Sold                                      | 05/27/20         | J                        | A                       |                                                            |
| 320. CHOW TAI FOOK JEWELLERY (CJEWY)                                                                                       | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 321. CITIGROUP INC NEW (C)                                                                                                 | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 03/23/20         | J                        |                         |                                                            |
| 322.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 04/20/20         | J                        |                         |                                                            |
| 323.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 06/08/20         | J                        | A                       |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 324.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 11/02/20                | J                               |                                |                                                                   |
| 325.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/03/20                | J                               |                                |                                                                   |
| 326. CITRIX SYSTEMS INC (CTXS)                                                                                             | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/24/20                | J                               | B                              |                                                                   |
| 327. CNH INDL N V SHS (CNHI)                                                                                               |                                        | None                                          | J                                              | T                                         | Sold                                            | 11/02/20                | J                               |                                |                                                                   |
| 328.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 12/03/20                | J                               |                                |                                                                   |
| 329. CNOOC LTD ADS (CEO) (X)                                                                                               |                                        | None                                          |                                                |                                           | Sold                                            | 12/07/20                | J                               |                                |                                                                   |
| 330. COMCAST CORP CL A (CMCSA)                                                                                             | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 02/13/20                | J                               |                                |                                                                   |
| 331.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 02/18/20                | J                               |                                |                                                                   |
| 332.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 06/24/20                | J                               | B                              |                                                                   |
| 333. COMPASS GROUP PLC SPD ADR<br>(CMPGY)                                                                                  |                                        | None                                          |                                                |                                           | Buy                                             | 12/03/20                | J                               |                                |                                                                   |
| 334.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/22/20                | J                               |                                |                                                                   |
| 335. CONAGRA BRANDS INC (CAG) (Y)                                                                                          |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 336. CONTL AG SPONS ADR (CTTAY)                                                                                            |                                        | None                                          |                                                |                                           | Sold                                            | 01/23/20                | J                               |                                |                                                                   |
| 337. CORTEVA INC (CTCVA)                                                                                                   | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 338. COSTCO WHOLESALE CORP NEW<br>(COST)                                                                                   | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 339. COVETRUS INC (CVET)                                                                                                   |                                        | None                                          | J                                              | T                                         | Sold<br>(part)                                  | 05/27/20                | J                               | A                              |                                                                   |
| 340. CREE RESEARCH INC (CREE)                                                                                              |                                        | None                                          |                                                |                                           | Sold                                            | 06/24/20                | J                               | A                              |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 341. CVS HEALTH CORP COM (CVS)                                                                                             | A                                      | Dividend                                      |                                                |                                           | Buy<br>(add'l)                                  | 02/13/20                | J                               |                                |                                                                   |
| 342.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 11/02/20                | J                               |                                |                                                                   |
| 343.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 12/03/20                | J                               |                                |                                                                   |
| 344.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/22/20                | J                               |                                |                                                                   |
| 345. DISCOVERY COMMUNICATIONS SER<br>A (DISCA)                                                                             |                                        | None                                          |                                                |                                           | Sold                                            | 06/24/20                | J                               |                                |                                                                   |
| 346. DOLBY LABORATORIES INC CLASS A<br>(DLB)                                                                               | A                                      | Dividend                                      |                                                |                                           | Sold<br>(part)                                  | 04/20/20                | J                               | A                              |                                                                   |
| 347.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 06/24/20                | J                               | A                              |                                                                   |
| 348. DUPONT DE NEMOURS INC (DD)                                                                                            | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 349. DXC TECHNOLOGY COMPANY (DXC)                                                                                          | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 350. E TRADE FINANCIAL CORP (ETFC)                                                                                         |                                        | None                                          |                                                |                                           | Sold                                            | 02/21/20                | J                               | A                              |                                                                   |
| 351. ECOLAB INC (ECL)                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 352. EQT CORPORATION COM NEW (EQT)<br>(X)                                                                                  |                                        | None                                          | J                                              | T                                         | Sold<br>(part)                                  | 04/20/20                | J                               | A                              |                                                                   |
| 353.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/03/20                | J                               |                                |                                                                   |
| 354.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/22/20                | J                               |                                |                                                                   |
| 355. ENCANA CORP (ECA) (Y)                                                                                                 |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 356. EQUINIX INC (EQIX)                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 06/24/20                | J                               | A                              |                                                                   |
| 357. EQUITABLE HLDGS INC (EQH) (X)                                                                                         | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                            |   |          |   |   |                |          |   |   |  |
|--------------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 358. EURONET WORLDWIDE INC (EEFT)          |   | None     | J | T |                |          |   |   |  |
| 359. EXELON CORP (EXC)                     | A | Dividend | J | T |                |          |   |   |  |
| 360. FACEBOOK INC (FB)                     |   | None     | J | T | Sold<br>(part) | 06/24/20 | J | B |  |
| 361. FIDELITY NATL INFORMATION SE<br>(FIS) | A | Dividend |   |   | Sold           | 11/02/20 | J |   |  |
| 362.                                       |   |          |   |   | Buy            | 12/03/20 | J |   |  |
| 363.                                       |   |          |   |   | Sold           | 12/22/20 | J |   |  |
| 364. FIREEYE INC (FEYE)                    |   | None     |   |   | Sold           | 06/24/20 | J |   |  |
| 365. FIRST AMERICAN FINL CORP (FAF)        | A | Dividend | J | T |                |          |   |   |  |
| 366. FLUOR CORP NEW (FLR) (Y)              |   |          |   |   |                |          |   |   |  |
| 367. FOOT LOCKER (FL)                      | A | Dividend |   |   | Sold           | 12/04/20 | J | A |  |
| 368. FREEPORT-MCMORAN INC (FCX)            | A | Dividend |   |   | Sold<br>(part) | 01/10/20 | J | B |  |
| 369.                                       |   |          |   |   | Sold<br>(part) | 06/08/20 | J | A |  |
| 370.                                       |   |          |   |   | Sold           | 06/24/20 | J | A |  |
| 371. FRESENIUS MEDICAL CARE AG&CO<br>(FMS) | A | Dividend |   |   | Buy            | 01/23/20 | J |   |  |
| 372.                                       |   |          |   |   | Buy<br>(add'l) | 12/03/20 | J |   |  |
| 373.                                       |   |          |   |   | Sold           | 12/22/20 | J |   |  |
| 374. G4S PLC UNSPONS ADR (GFSZY) (Y)       |   |          |   |   |                |          |   |   |  |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 375. GATX CORP (GATX)                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 376. GENL DYNAMICS CORP (GD) (X)                                                                                           | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 11/02/20                | J                               |                                |                                                                   |
| 377.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 12/03/20                | J                               |                                |                                                                   |
| 378.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/22/20                | J                               |                                |                                                                   |
| 379. GLENCORE PLC ADR (GLNCY)                                                                                              |                                        | None                                          | J                                              | T                                         | Buy<br>(add'l)                                  | 06/12/20                | J                               |                                |                                                                   |
| 380. GRAY TELEVISION CL B COM (GTN)                                                                                        |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 381. GREEK ORGANISATION OF<br>FOOTBALL (GOFPY)                                                                             | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 07/23/20                | J                               | A                              |                                                                   |
| 382. GUARDANT HEALTH INC (GH) (X)                                                                                          |                                        | None                                          |                                                |                                           | Sold                                            | 06/24/20                | J                               | A                              |                                                                   |
| 383. HITACHI 10 COM NEW ADR (HTHIY)                                                                                        | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 384. HOME DEPOT INC. (HD)                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 385. HONDA MOTOR COMPANY LTD ADR<br>(HMC)                                                                                  | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 11/02/20                | J                               |                                |                                                                   |
| 386.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 12/03/20                | J                               |                                |                                                                   |
| 387.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/22/20                | J                               |                                |                                                                   |
| 388. HONEYWELL INTL INC (HON)                                                                                              | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 04/28/20                | J                               | A                              |                                                                   |
| 389. HOYA CORP (HOCPY)                                                                                                     | A                                      | Dividend                                      |                                                |                                           | Sold<br>(part)                                  | 12/02/20                | J                               | B                              |                                                                   |
| 390.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/29/20                | J                               | A                              |                                                                   |
| 391. HYPERA SA ADR (HYPMY)                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/03/20                | J                               |                                |                                                                   |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 392. IHS MARKIT LTD (INFO)                                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 393. IMPERIAL BRANDS PLC SPD ADR<br>(IMBBY)                                                                                | A                                      | Dividend                               |                                                |                                    | Sold                                      | 02/14/20         | J                        |                         |                                                            |
| 394. INFINEON TECH AG (IFNNY)                                                                                              | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 395. INFOSYS LIMITED (INFY)                                                                                                | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/08/20         | J                        | A                       |                                                            |
| 396. INTESA SANPAOLO ADR (ISNPY)                                                                                           |                                        | None                                   |                                                |                                    | Sold                                      | 11/02/20         | J                        |                         |                                                            |
| 397.                                                                                                                       |                                        |                                        |                                                |                                    | Buy                                       | 12/03/20         | J                        |                         |                                                            |
| 398.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 12/22/20         | J                        |                         |                                                            |
| 399. IONIS PHARMACEUTICALS (IONS)                                                                                          |                                        | None                                   |                                                |                                    | Sold                                      | 06/24/20         | J                        | B                       |                                                            |
| 400. ITAU UNIBANCO MULTIPLE ADR<br>(ITUB)                                                                                  | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 401. JOHNSON & JOHNSON (JNJ)                                                                                               | A                                      | Dividend                               |                                                |                                    | Buy<br>(add'l)                            | 01/16/20         | J                        |                         |                                                            |
| 402.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/23/20         | J                        |                         |                                                            |
| 403.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 06/24/20         | J                        | A                       |                                                            |
| 404.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 11/06/20         | J                        | A                       |                                                            |
| 405.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 12/02/20         | J                        | A                       |                                                            |
| 406. JOHNSON CTLS INTL PLC (JCI)                                                                                           | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 06/24/20         | J                        | A                       |                                                            |
| 407. KBC GROUP NV UNSPONS ADR<br>(KBCSY) (X)                                                                               |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 408. KEYCORP - NEW (KEY)                                                                                                   | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 409. KINDER MORGAN INCORP (KMI)                                                                                            | A                                      | Dividend                                      |                                                |                                           | Buy<br>(add'l)                                  | 03/16/20                | J                               |                                |                                                                   |
| 410.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 05/27/20                | J                               |                                |                                                                   |
| 411.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 11/02/20                | J                               |                                |                                                                   |
| 412.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 12/03/20                | J                               |                                |                                                                   |
| 413.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/22/20                | J                               |                                |                                                                   |
| 414. KOMATSU LTD SPON ADR NEW<br>(KMTUY)                                                                                   |                                        | None                                          | J                                              | T                                         | Buy                                             | 10/29/20                | J                               |                                |                                                                   |
| 415.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 11/02/20                | J                               |                                |                                                                   |
| 416.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/03/20                | J                               |                                |                                                                   |
| 417. L3 HARRIS TECHNOLOGIES (LHX)                                                                                          | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/24/20                | J                               | B                              |                                                                   |
| 418. LAFARGEHOLCIM ADR (HCMLY) (X)                                                                                         |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 419. LIBERTY MEDIA C SER C SIRIUSXM<br>(LSXMK) (Y)                                                                         |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 420. LINDE PLC (LIN) (Y)                                                                                                   |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 421. LITHIA MOTORS INC A (LAD)                                                                                             |                                        | None                                          |                                                |                                           | Buy                                             | 10/01/20                | J                               |                                |                                                                   |
| 422.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 11/02/20                | J                               |                                |                                                                   |
| 423.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 12/03/20                | J                               |                                |                                                                   |
| 424.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/22/20                | J                               |                                |                                                                   |
| 425. MC CORMICK AND CO NON VOTING<br>(MKC) (Y)                                                                             |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 426. MEDTRONIC PLC SHS (MDT)                                                                                               | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 06/24/20                | J                               | A                              |                                                                   |
| 427.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 11/02/20                | J                               |                                |                                                                   |
| 428. MERCK & CO INC. (MRK)                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 429. METSO OUTOTEC CORPORATION ADR<br>(OUKPY) (X)                                                                          |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 430. MGIC INVT CORP (MTG)                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 431. MICROSOFT (MFST)                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 04/20/20                | J                               | B                              |                                                                   |
| 432.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 06/24/20                | J                               | B                              |                                                                   |
| 433. MONSTER BEVERAGE CORP NEW<br>COM (MNST) (X)                                                                           |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 434. MTU AERO ENGINES AG (MTUAY) (X)                                                                                       |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 435. MURPHY USA INC COM (MUSA)                                                                                             | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 436. NATIONAL OILWELL VARCO INC<br>(NOV) (Y)                                                                               |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 437. NETAPP INC COM (NTAP)                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 11/02/20                | J                               |                                |                                                                   |
| 438.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/03/20                | J                               |                                |                                                                   |
| 439. NOVARTIS AG ADR (NVS)                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 440. NUANCE COMMUNICATIONS INC<br>(NUAN)                                                                                   |                                        | None                                          |                                                |                                           | Sold                                            | 06/24/20                | J                               | A                              |                                                                   |
| 441. NUCOR CORP (NUE)                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 442. NUTANIX INC CL A (NTNX) (Y)                                                                                           |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 443. NUTRIEN LTD (NTR)                                                                                                     | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 11/02/20                | J                               |                                |                                                                   |
| 444. NVIDIA CORPORATION (NVDA)                                                                                             | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 445. ONEMAIN HLDGS INC (OMF)                                                                                               | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 446. ORACLE CORP (ORCL)                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 447. OWENS CORNING (OC)                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 448. PALO ALTO NETWORKS INC (PANW)                                                                                         |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 449. PEBBLEBROOK HOTEL TRUST (PEB)<br>(Y)                                                                                  |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 450. PENTAIR PLC (PNR) (X)                                                                                                 |                                        | None                                          |                                                |                                           | Sold                                            | 06/24/20                | J                               | A                              |                                                                   |
| 451. PIONEER NATURAL RESOURCES<br>(PXD)                                                                                    | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 452. PJSC GAZPROM (OGZPY) (Y)                                                                                              |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 453. POSCO ADS (PKX)                                                                                                       | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 454. QUALCOMM INC (QCOM)                                                                                                   | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 04/09/20                | J                               | A                              |                                                                   |
| 455. QURATE RETAIL INC SER A (QRTEA)<br>(X)                                                                                |                                        | None                                          |                                                |                                           | Sold                                            | 06/08/20                | J                               |                                |                                                                   |
| 456. RAYTHEON TECHNOLOGIES CORP<br>(RTX) (X)                                                                               | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 457. REYNOLDS CONSUMER PRODS INC<br>(REYN)                                                                                 | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/31/20                | J                               |                                |                                                                   |
| 458.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 06/24/20                | J                               | A                              |                                                                   |
| 459. ROCHE HOLDINGS (RHHBY)                                                                                                | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 460. ROYAL BK SCOTLAND GROUP SP ADR<br>(RBS) (Y)                                                                           |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 461. SALESFORCE.COM, INC (CRM)                                                                                             |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 462. SANDERSON FARMS (SAFM)                                                                                                | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 463. SANOFI ADR (SNY)                                                                                                      | A                                      | Dividend                               |                                                |                                    | Sold                                      | 11/02/20         | J                        |                         |                                                            |
| 464.                                                                                                                       |                                        |                                        |                                                |                                    | Buy                                       | 12/03/20         | J                        |                         |                                                            |
| 465.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 12/22/20         | J                        |                         |                                                            |
| 466. SCHLUMBERGER LTD (SLB) (Y)                                                                                            |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 467. SCHNEIDER ELEC SA UNSP ADR<br>(SBGSY)                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 468. SEAGATE TECHNOLOGY (STX)                                                                                              | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/24/20         | J                        | B                       |                                                            |
| 469. SENSATA TECHNOLOGIES (ST)                                                                                             |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 470. SERVICE CORP INTL (SCI) (Y)                                                                                           |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 471. SONY CORP ADR 1974 NEW (SNE) (X)                                                                                      | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 472. SPLUNK INC (SPLK)                                                                                                     |                                        | None                                   | J                                              | T                                  | Sold<br>(part)                            | 06/24/20         | J                        | A                       |                                                            |
| 473. SPROUTS FARMERS MARKET INC<br>(SFM)                                                                                   |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 474. STANDARD CHARTERED PLC ADR<br>(SCBFY) (Y)                                                                             |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 475. STANLEY BLACK & DECKER INC<br>(SWK)                                                                                   | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 476. SUNCOR ENERGY INC (SU)                                                                                                | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 03/19/20         | J                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 477.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 05/21/20                | J                               |                                |                                                                   |
| 478. SYNCHRONY FINANCIAL (SYF)                                                                                             | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 06/08/20                | J                               | A                              |                                                                   |
| 479.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 10/19/20                | J                               | A                              |                                                                   |
| 480. TE CONNECTIVITY LTD-CHF (TEL)                                                                                         | A                                      | Dividend                                      |                                                |                                           | Sold<br>(part)                                  | 06/08/20                | J                               | A                              |                                                                   |
| 481.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 06/24/20                | J                               | B                              |                                                                   |
| 482. TEXAS INSTRUMENTS INC. (TXN)                                                                                          | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 483. THERMO FISHER SCIENTIFIC (TMO)                                                                                        | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 06/24/20                | J                               | A                              |                                                                   |
| 484. TOTAL S A SPON ADR (TOT)                                                                                              | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 12/22/20                | J                               |                                |                                                                   |
| 485. TRAVELSKY TECH LTD SPONS ADR<br>(TSYHY) (X)                                                                           | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 486. TREEHOUSE FOODS INC (THS) (X)                                                                                         |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 487. TWITTER INC (TWTR)                                                                                                    |                                        | None                                          |                                                |                                           | Sold<br>(part)                                  | 06/08/20                | J                               | A                              |                                                                   |
| 488.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 06/24/20                | J                               | B                              |                                                                   |
| 489. US BANCORP (USB)                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 06/24/20                | J                               |                                |                                                                   |
| 490.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 11/02/20                | J                               |                                |                                                                   |
| 491.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/03/20                | J                               |                                |                                                                   |
| 492. UBER TECH (UBER)                                                                                                      |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 493. UBS GROUP AG SHS (UBS)                                                                                                | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 11/02/20                | J                               |                                |                                                                   |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
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| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

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08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 494.                                                                                                                       |                                        |                                        |                                                |                                    | Buy                                       | 12/03/20         | J                        |                         |                                                            |
| 495.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 12/22/20         | J                        |                         |                                                            |
| 496. ULTA BEAUTY INC (ULTA) (X)                                                                                            |                                        | None                                   |                                                |                                    | Sold                                      | 11/02/20         | J                        |                         |                                                            |
| 497.                                                                                                                       |                                        |                                        |                                                |                                    | Buy                                       | 12/03/20         | J                        |                         |                                                            |
| 498.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 12/22/20         | J                        |                         |                                                            |
| 499. UNILEVER PLC (NEW) ADS (UL)                                                                                           | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 500. UNITED PARCEL SERVICE (UPS)                                                                                           | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 501. UNITEDHEALTH GROUP INC (UNH)                                                                                          | A                                      | Dividend                               |                                                |                                    | Sold<br>(part)                            | 01/10/20         | J                        | B                       |                                                            |
| 502.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 06/24/20         | J                        | C                       |                                                            |
| 503. VERIZON COMM (VZ)                                                                                                     | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 10/22/20         | J                        | A                       |                                                            |
| 504. VERTEX PHARMACEUTICALS (VRTX)                                                                                         |                                        | None                                   |                                                |                                    | Sold                                      | 06/24/20         | J                        | C                       |                                                            |
| 505. VIACOMCBS INC CL B (VIAC)                                                                                             | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 06/08/20         | J                        |                         |                                                            |
| 506. VISA INC COM (V)                                                                                                      | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 06/24/20         | J                        | B                       |                                                            |
| 507. VISTRA ENERGY CORP (VST)                                                                                              | A                                      | Dividend                               |                                                |                                    | Sold                                      | 11/02/20         | J                        |                         |                                                            |
| 508.                                                                                                                       |                                        |                                        |                                                |                                    | Buy                                       | 12/03/20         | J                        |                         |                                                            |
| 509.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 12/22/20         | J                        |                         |                                                            |
| 510. VMWARE INC CLASS A (VMW)                                                                                              |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 511. VODAFONE GROUP (VOD)                                                                                                  | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 11/02/20                | J                               |                                |                                                                   |
| 512.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 12/03/20                | J                               |                                |                                                                   |
| 513.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/22/20                | J                               |                                |                                                                   |
| 514. VOYA FINL INC (VOYA)                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 515. VULCAN MATERIALS CO (VMC) (X)                                                                                         | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 516. W W GRAINGER INC (GWW)                                                                                                | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 517. WALT DISNEY CO. (DIS)                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 518. WELLS FARGO & CO NEW (WFC)                                                                                            | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 01/14/20                | J                               |                                |                                                                   |
| 519.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/23/20                | J                               |                                |                                                                   |
| 520.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/22/20                | J                               |                                |                                                                   |
| 521. WESTERN DIGITAL CORPORATION<br>(WDC)                                                                                  | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 06/24/20                | J                               | A                              |                                                                   |
| 522. WEYERHAEUSER CO (WY)                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 523. WHEATON PRECIOUS METALS CORP<br>(WPM) (X)                                                                             | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 524. WIENERBERGER AG SPON ADR<br>(WBRBY)                                                                                   | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 525. ZOETIS INC CLASS A (ZTS)                                                                                              | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 06/24/20                | J                               | A                              |                                                                   |
| 526. VOLKSWAGEN AG RP ADR (VWAPY)                                                                                          | A                                      | Dividend                                      | J                                              | T                                         | Sold                                            | 11/02/20                | J                               |                                |                                                                   |
| 527.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 12/03/20                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 528. ISHARES CORE S&P U.S. VALUE<br>(IUSV)                                                                                 |                                        | None                                          | K                                              | T                                         | Buy                                             | 11/02/20                | K                               |                                |                                                                   |
| 529.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 11/19/20                | J                               | A                              |                                                                   |
| 530.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/03/20                | K                               | C                              |                                                                   |
| 531.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 12/22/20                | K                               |                                |                                                                   |
| 532. ISHARES RUSSELL 1000 GRW ETF<br>(IWF)                                                                                 |                                        | None                                          | J                                              | T                                         | Buy                                             | 11/02/20                | J                               |                                |                                                                   |
| 533.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/03/20                | J                               | A                              |                                                                   |
| 534.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 12/22/20                | J                               |                                |                                                                   |
| 535. VANGUARD FTSE DEVELOPED MKTS<br>E (VEA)                                                                               |                                        | None                                          | K                                              | T                                         | Buy                                             | 11/02/20                | K                               |                                |                                                                   |
| 536.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 11/19/20                | J                               | A                              |                                                                   |
| 537.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/03/20                | K                               | D                              |                                                                   |
| 538.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 12/22/20                | K                               |                                |                                                                   |
| 539. NEW YORK ST TWY AUTH GEN REV<br>[REDACTED]                                                                            | A                                      | Interest                                      |                                                |                                           | Redeemed                                        | 01/02/20                | J                               |                                |                                                                   |
| 540. NEW YORK ST ENV FACS CORP CLN<br>WTR & DRNKNG WTR [REDACTED]                                                          | A                                      | Interest                                      |                                                |                                           | Buy                                             | 01/14/20                | J                               |                                |                                                                   |
| 541.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 03/18/20                | J                               |                                |                                                                   |
| 542. METROPOLITAN TRANSPORTATION<br>AUTHORITY REV-E [REDACTED]                                                             | A                                      | Interest                                      |                                                |                                           | Redeemed                                        | 11/16/20                | J                               |                                |                                                                   |
| 543. SYRACUSE N Y PUB IMPT GENL<br>OBLIG REF-A [REDACTED]                                                                  | A                                      | Interest                                      | J                                              | T                                         | Sold<br>(part)                                  | 03/18/20                | J                               |                                |                                                                   |
| 544. NEW YORK ST DORM AUTH ST PERS<br>INCOME TAX [REDACTED]                                                                |                                        | None                                          | J                                              | T                                         | Buy                                             | 06/12/20                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 545. ROCKLAND CNTY N Y TAX ANTIC<br>NTS GENL OBLIG [REDACTED]                                                              |                                        | None                                          | J                                              | T                                         | Buy                                             | 07/10/20                | J                               |                                |                                                                   |
| 546. RIVERHEAD N Y GENL OBLIG<br>[REDACTED]                                                                                | A                                      | Interest                                      | J                                              | T                                         | Buy                                             | 07/17/20                | J                               |                                |                                                                   |
| 547. MIDDLETOWN N Y GENL OBLIG<br>[REDACTED]                                                                               |                                        | None                                          | J                                              | T                                         | Buy                                             | 08/24/20                | J                               |                                |                                                                   |
| 548. METROPOLITAN TRANSN AUTH<br>N Y DEEDICATED TAX FD REV-A<br>[REDACTED]                                                 |                                        | None                                          | J                                              | T                                         | Buy                                             | 12/30/20                | J                               |                                |                                                                   |
| 549. SUFFOLK CNTY NY GENL OBLIG REF<br>SER-B [REDACTED]                                                                    | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 550. LONG ISLAND PWR AUTH N Y ELEC<br>SYS REV-B [REDACTED]                                                                 | A                                      | Interest                                      |                                                |                                           | Sold                                            | 03/20/20                | J                               |                                |                                                                   |
| 551. NEW YORK N Y CITY TRANSITIONAL<br>FIN AUTH [REDACTED]                                                                 | A                                      | Interest                                      | J                                              | T                                         | Buy                                             | 01/08/20                | J                               |                                |                                                                   |
| 552. WHEATFIELD N Y PUB IMPT GENL<br>OBLIG REF [REDACTED]                                                                  |                                        | None                                          | J                                              | T                                         | Buy                                             | 10/07/20                | J                               |                                |                                                                   |
| 553. BABYLON N Y VAR PURP GENL<br>OBLIG [REDACTED]                                                                         |                                        | None                                          | J                                              | T                                         | Buy                                             | 08/19/20                | J                               |                                |                                                                   |
| 554. TRIBOROUGH BRIDGE TUNNEL<br>NY AU MTA BRDG TUNNEL GEN<br>[REDACTED]                                                   | A                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 555. ROCKLAND CNTY NY SOLID WASTE<br>MGMT AUGH GENL OB REF-A<br>[REDACTED]                                                 | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 556. BUFFALO NY GENL OBLIG REF-A<br>[REDACTED]                                                                             | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 557. NEW YORK ST DORM AUTH REVS ST<br>UNIV EDL FACS-A [REDACTED]                                                           | A                                      | Interest                                      | K                                              | T                                         | Buy                                             | 08/26/20                | J                               |                                |                                                                   |
| 558. ROCKLAND CNTY NY GENL OBLIG<br>REF [REDACTED]                                                                         | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 559. NEW YORK CITY MUN WTR FIN<br>[REDACTED]                                                                               |                                        | None                                          |                                                |                                           | Sold                                            | 03/20/20                | K                               |                                |                                                                   |
| 560. UTIL DEBT NY SEC AUTH [REDACTED]                                                                                      | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 561. NEW YORK ST DORM AUTH REVS<br>NON ST SUPPOR REV-A [REDACTED]                                                          | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 562. NEW YORK ST THRUWAY AUTH<br>GENL REV SER-J [REDACTED]                                                                 |                                        | None                                          | K                                              | T                                         | Buy                                             | 08/18/20                | K                               |                                |                                                                   |
| 563. NASSAU CNTY N Y FOR ISSUES DTD<br>PRIOR TO [REDACTED]                                                                 | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 564. NEW YORK ST DORM AUTH NORTH<br>SH ORE JEWISH OBLIGATED<br>[REDACTED]                                                  | A                                      | Interest                                      | J                                              | T                                         | Buy                                             | 06/30/20                | J                               |                                |                                                                   |
| 565. NEW YORK NY CITY MUN WTR FIN<br>AUTH WTR [REDACTED]                                                                   | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 566. ST OF NEW YORK AUTH UNIV REV-A<br>[REDACTED]                                                                          | A                                      | Interest                                      |                                                |                                           | Sold                                            | 03/20/20                | J                               |                                |                                                                   |
| 567. LONG ISLAND PWR AUTH N Y ELEC<br>SYS [REDACTED]                                                                       | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 568. DORMITORY AUTH ST NY COLUMBIA<br>UNIV REV-A [REDACTED]                                                                | A                                      | Interest                                      |                                                |                                           | Buy                                             | 08/28/20                | K                               |                                |                                                                   |
| 569.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 11/04/20                | K                               |                                |                                                                   |
| 570. WESTCHESTER CNTY N Y GENL<br>OBLIG SER-B [REDACTED]                                                                   | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 571. HUDSON YDS INFRASTRUCTURE<br>CORP N Y SECOND INDENTURE REV-<br>A [REDACTED]                                           | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 572. ONEIDA CNTY NY PUB IMPT<br>[REDACTED]                                                                                 | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 573. WESTERN NASSAU CNTY N Y WTR<br>AUTH WTR SYS REV-B [REDACTED]                                                          | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 574. HEMPSTEAD TOWN N Y [REDACTED]                                                                                         | A                                      | Interest                                      | J                                              | T                                         | Buy                                             | 10/14/20                | J                               |                                |                                                                   |
| 575. NEW YORK ST ENVIRONMTL FACS CO<br>RP CLEAN WTR [REDACTED]                                                             | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 576. NEW YORK ST DORM AUTH REVS<br>NON ST SUPPORTED DEBT REV-A<br>[REDACTED]                                               | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 577. NEW YORK ST DORM AUTH REVS<br>NON ST SUPPORTED DEBT REV-B<br>[REDACTED]                                               | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 578. LONG IS PWR AUTH NY ELEC SYS<br>REV [REDACTED]                                                                        | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 579. THE PORT AUTH NEW YORK AND<br>NEW JERSEY CONSLD REV-194<br>[REDACTED]                                                 | A                                      | Interest                               | J                                              | T                                  | Buy                                       | 04/22/20         | J                        |                         |                                                            |
| 580. TRIBOROUGH BRIDGE TUNNEL AUTH<br>GENL REV-A [REDACTED]                                                                | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 581. NEW YORK N Y CITY TR CULTURAL<br>RES REV-A [REDACTED]                                                                 | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 582. NEW YORK ST DORM AUTH ST PERS<br>INCOME TAX REV-B [REDACTED]                                                          | A                                      | Interest                               | J                                              | T                                  | Buy                                       | 07/01/20         | J                        |                         |                                                            |
| 583. YONKERS NY GENL OBLIG<br>[REDACTED]                                                                                   | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 584. NEW YORK LIBERTY DEV CORP<br>[REDACTED]                                                                               | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 585. YONKERS N Y [REDACTED]                                                                                                | A                                      | Interest                               | J                                              | T                                  | Buy                                       | 05/20/20         | J                        |                         |                                                            |
| 586. HERRICKS N Y UN FREE SCH DIST<br>[REDACTED]                                                                           |                                        | None                                   | J                                              | T                                  | Buy                                       | 07/29/20         | J                        |                         |                                                            |
| 587. SALES TAX ASSET RECEIVABLE CORP<br>REV-A [REDACTED]                                                                   | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 588. ACCOUNT #9 (H)                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 589. MORGAN STANLEY PRIVATE BANK<br>NA (cash)                                                                              | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 590. ABBVIE INC COM (ABBV) (X)                                                                                             | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 591. ADIDAS AG (ADDYY)                                                                                                     |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 592. ADOBE SYSTEMS (ADBE)                                                                                                  |                                        | None                                   | J                                              | T                                  | Sold<br>(part)                            | 02/06/20         | J                        | A                       |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 593. ADVANCE AUTO PARTS (AAP)                                                                                              | A                                      | Dividend                                      | J                                              | T                                         | Sold                                            | 11/02/20                | J                               |                                |                                                                   |
| 594.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 12/03/20                | J                               |                                |                                                                   |
| 595. ADYEN N V UNSPONSORED ADR<br>(ADYEY)                                                                                  |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 596. AIA GROUP LTD (AAGIY)                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 597. AIRBUS SE UNSPONSORED ADR<br>(EADSY)                                                                                  |                                        | None                                          | J                                              | T                                         | Sold                                            | 11/02/20                | J                               | A                              |                                                                   |
| 598.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 12/03/20                | J                               |                                |                                                                   |
| 599. AKAMAI TECHNOLOGIES (AKAM)                                                                                            |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 600. ALCON INC (ALC)                                                                                                       |                                        | None                                          | J                                              | T                                         | Buy                                             | 12/03/20                | J                               |                                |                                                                   |
| 601. ALEXION PHARM INC (ALXN)                                                                                              |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 602. ALIBABA GROUP HLDG LTD (BABA)                                                                                         |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 603. ALLERGAN PLC SHS (AGN)                                                                                                | A                                      | Dividend                                      |                                                |                                           | Redeemed                                        | 05/11/20                | J                               | B                              |                                                                   |
| 604. ALPHABET INC CL A (GOOGL)                                                                                             |                                        | None                                          |                                                |                                           | Sold                                            | 06/18/20                | J                               | C                              |                                                                   |
| 605. ALPHABET INC CL C (GOOG)                                                                                              |                                        | None                                          |                                                |                                           | Sold                                            | 08/03/20                | J                               | C                              |                                                                   |
| 606. AMADEUS IT GROUP S.A ADR<br>(AMADY)                                                                                   | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 607. AMAZON COM INC (AMZN)                                                                                                 |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 608. AMC NETWORKS INC CL A (AMCX)                                                                                          |                                        | None                                          | J                                              | T                                         | Buy                                             | 12/03/20                | J                               |                                |                                                                   |
| 609. AMDOCS LIMITED ORD (DOX) (Y)                                                                                          |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
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| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 610. AMERICAN EXPRESS CO (AXP) (Y)                                                                                         |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 611. AMGEN INC (AMGN) (X)                                                                                                  | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 11/02/20         | J                        |                         |                                                            |
| 612.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/03/20         | J                        |                         |                                                            |
| 613. ANHEUSER-BUSCH INBEV SPONS<br>(BUD)                                                                                   | A                                      | Dividend                               | J                                              | T                                  | Sold                                      | 11/02/20         | J                        |                         |                                                            |
| 614.                                                                                                                       |                                        |                                        |                                                |                                    | Buy                                       | 12/03/20         | J                        |                         |                                                            |
| 615. APPLE INC (AAPL)                                                                                                      | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 616. APTIV PLC (APTV) (X)                                                                                                  |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 617. ASML HLDG NV NEW YORK REG<br>(ASML)                                                                                   | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 618. ATLAS COPCO AS A ADR A NEW<br>(ATLKY)                                                                                 |                                        | None                                   | J                                              | T                                  | Buy                                       | 12/09/20         | J                        |                         |                                                            |
| 619. ATLISSIAN CORP PLC CL A (TEAM)<br>(X)                                                                                 |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 620. AUTODESK INC (ADSK)                                                                                                   |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 621. BIOGEN INC COM (BIIB)                                                                                                 |                                        | None                                   | J                                              | T                                  | Sold<br>(part)                            | 11/02/20         | J                        |                         |                                                            |
| 622.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/03/20         | J                        |                         |                                                            |
| 623. BIOMARIN PHARMAC SE (BMRN)                                                                                            |                                        | None                                   | J                                              | T                                  | Sold                                      | 11/02/20         | J                        |                         |                                                            |
| 624.                                                                                                                       |                                        |                                        |                                                |                                    | Buy                                       | 12/03/20         | J                        |                         |                                                            |
| 625. BLACKROCK INC. (BLK)                                                                                                  |                                        | None                                   |                                                |                                    | Sold                                      | 01/09/20         | J                        | B                       |                                                            |
| 626. BROADCOM CORP (AVGO)                                                                                                  | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
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| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 627. BURBERRY GROUP PLC SPONS ADR<br>(BURBY)                                                                               | A                                      | Dividend                               | J                                              | T                                  | Sold                                      | 11/02/20         | J                        |                         |                                                            |
| 628.                                                                                                                       |                                        |                                        |                                                |                                    | Buy                                       | 12/03/20         | J                        |                         |                                                            |
| 629. CELLNEX TELECOM SA UNS ADR<br>(CLLNY) (X)                                                                             |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 630. CERENCE INC (CRNC) (X)                                                                                                |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 631. CHARLES SCHWAB CORP (SCHW) (Y)                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 632. CITRIX SYSTEMS INC (CTXS)                                                                                             | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 09/08/20         | J                        |                         |                                                            |
| 633.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 11/02/20         | J                        |                         |                                                            |
| 634.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/03/20         | J                        |                         |                                                            |
| 635. COMCAST CORP CL A-SPL (CMCSA)                                                                                         | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 636. COSTCO WHOLESALE CORP NEW<br>(COST)                                                                                   | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 637. CREDIT AGRICOLE SA UNSP (CRARY)<br>(Y)                                                                                |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 638. CREE RESEARCH INC (CREE)                                                                                              |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 639. DIAGEO PLC -SPONSORED ADR NEW<br>(DEO)                                                                                | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 640. DISCOVERY COMM SER A (DISCA)                                                                                          |                                        | None                                   | J                                              | T                                  | Sold<br>(part)                            | 11/02/20         | J                        |                         |                                                            |
| 641.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/03/20         | J                        |                         |                                                            |
| 642. DOLBY LABORATORIES INC CLASS A<br>(DLB)                                                                               | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 643. ECOLAB INC (ECL)                                                                                                      | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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# FINANCIAL DISCLOSURE REPORT

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 644. EDP ENERGIAS DE PORTUGAL SA (EDPFY) (X)                                                                               | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 645. ELASTIC N V (ESTC) (X)                                                                                                |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 646. EPIROC AKTIEBOLAG ADR (EPOKY) (Y)                                                                                     |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 647. EQUINIX INC (EQIX)                                                                                                    | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 648. ERSTE GROUP BANK AG SPONS ADR (EBKDY) (Y)                                                                             |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 649. FACEBOOK INC CL-A (FB)                                                                                                |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 650. FANUC CORPORATION UNSP ADR (FANUY)                                                                                    | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 651. FIDELIY NATYL INFORMATION (FIS)                                                                                       | A                                      | Dividend                               | J                                              | T                                  | Sold                                      | 11/02/20         | J                        |                         |                                                            |
| 652.                                                                                                                       |                                        |                                        |                                                |                                    | Buy                                       | 12/03/20         | J                        |                         |                                                            |
| 653. FIREEYE INC (FEYE)                                                                                                    |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 654. FLUOR CORP NEW (FLR) (Y)                                                                                              |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 655. FREEPORT-MCMORAN INC (FCX)                                                                                            | A                                      | Dividend                               | J                                              | T                                  | Sold (part)                               | 12/09/20         | J                        |                         |                                                            |
| 656. GIVAUDAN SA ADR (GVDNY)                                                                                               | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 657. GUARDANT HEALTH INC (GH) (X)                                                                                          |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 658. HDFC BANK LTD (HDB) (Y)                                                                                               |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 659. HOME DEPOT (HD)                                                                                                       | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 660. HONEYWELL INTERNATIONAL INC (HON) (Y)                                                                                 |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 661. HOYA CORP SPONS ADR (HOCPTY)                                                                                          | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 662. ICON PLC SP ADR (ICLR)                                                                                                |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 663. IHS MARKIT LTD (INFO)                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 664. INDUSTRIA DE DISENO TEXTIL IND<br>(IDEXY)                                                                             | A                                      | Dividend                                      | J                                              | T                                         | Sold                                            | 11/02/20                | J                               |                                |                                                                   |
| 665.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 12/03/20                | J                               |                                |                                                                   |
| 666. IONIS PHARMACEUTICALS (IONS)                                                                                          |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 667. JOHNSON & JOHNSON (JNJ) (Y)                                                                                           |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 668. JOHNSON CTLS INTL PLC (JCI)                                                                                           | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 669. JUST EAT TAKEAWAY COM N V ADR<br>(TKAYY) (X)                                                                          |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 670. KAO CORP SPONS ADR (KCRPY)                                                                                            | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 671. KBC GROUP NV UNSPONS ADR<br>(KBCSY)                                                                                   |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 672. L'OREAL CO ADR (LRLCY)                                                                                                | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 673. L3 HARRIS TECHNOLOGIES INC (LHX)                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 674. LEGRAND SA (LGRDY)                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 675. LIBERTY BROADBAND CORP S-C<br>(LBRDK) (X)                                                                             |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 676. LINDE PLC (LIN)                                                                                                       | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 677. LONDON STK EXCHANGE GROUP<br>ADR (LNSTY)                                                                              | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 678. LVMH MOET HENNESSY (LVMUY)                                                                                            | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 679. MC CORMICK AND CO NON VOTING (MKC) (Y)                                                                                |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 680. MEDTRONIC PLC SHS (MDT)                                                                                               | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 681. MICROSOFT CORP (MSFT)                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         | Sold (part)                                     | 05/12/20                | J                               | B                              |                                                                   |
| 682. MONOTARO CO LTD ADR (MONOY) (X)                                                                                       | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 683. MONSTER BEVERAGE CORP NEW COM (MNST) (X)                                                                              |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 684. NATIONAL OILWELL VARCO (NOV)                                                                                          | A                                      | Dividend                                      | J                                              | T                                         | Buy (add'l)                                     | 12/03/20                | J                               |                                |                                                                   |
| 685. NESTLE SA SPONSORED ADR REPSTG REG SH (NSRGY)                                                                         | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 686. NIDEC CORP (NJDCY)                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 687. NINTENDO CO LTD ADR (NTDOY)                                                                                           | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 688. NOVARTIS ADR (NVS)                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         | Sold (part)                                     | 11/02/20                | J                               |                                |                                                                   |
| 689. NOVO NORDISK A S ADR (NVO)                                                                                            | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 690. NOW INC (DNOW)                                                                                                        |                                        | None                                          | J                                              | T                                         | Buy                                             | 12/03/20                | J                               |                                |                                                                   |
| 691. NUANCE COMMUNICATIONS INC (NUAN) (X)                                                                                  |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 692. NUCOR CORP (NUE)                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 693. NUTANIX INC CL A (NTNX) (Y)                                                                                           |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 694. NVIDIA CORP (NVDA)                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 695. NXP SEMICONDUCTORS NV (NXPI) (X)                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 696. ORACLE CORP (ORCL) (Y)                                                                                                |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 697. PALO ALTO NETWORKS INC (PANW)                                                                                         |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 698. PENTAIR PLC (PNR) (X)                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 699. PING AN INSURANCE (PNGAY)                                                                                             | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 700. PIONEER NATURAL RESOURCES<br>(PXD) (Y)                                                                                |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 701. QUALCOMM INC (QCOM)                                                                                                   | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 702. QURATE RETAIL INC SER A (QRTEA)                                                                                       | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 02/26/20                | J                               |                                |                                                                   |
| 703. RAYTHEON TECHNOLOGIES CORP<br>(RTX) (X)                                                                               | A                                      | Dividend                                      | J                                              | T                                         | Sold                                            | 11/02/20                | J                               |                                |                                                                   |
| 704.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 12/03/20                | J                               |                                |                                                                   |
| 705. RENTOKIL GROUP PLC SP ADR<br>(RTOKY)                                                                                  |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 706. ROCHE HOLDINGS ADR (RHHBY)                                                                                            | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 707. ROGERS COMM INC (RCI) (Y)                                                                                             |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 708. SALESFORCE.COM, INC. (CRM)                                                                                            |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 709. SAP AG (SAP) (Y)                                                                                                      |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 710. SEAGATE TECHNOLOGY (STX)                                                                                              | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 711. SHISEIDO LTD SPON ADR (SSDOY)                                                                                         | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 712. SHOPIFY INC (SHOP)                                                                                                    |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 713. SOLAREEDGE TECHNOLOGIES INC (SEDG) (X)                                                                                |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 714. SPLUNK INC (SPLK)                                                                                                     |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 715. SPOTIFY TECH (SPOT)                                                                                                   |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 716. STONECO LTD CL (STNE)                                                                                                 |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 717. TAIWAN SMCNDCR (TSM)                                                                                                  | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 718. TE CONNECTIVITY LTD NEW (TEL)                                                                                         | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 719. TEAMVIEWER AG ADR (TMVWY) (X)                                                                                         |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 720. TECHNOPRO HLDGS (TCCPY) (Y)                                                                                           |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 721. TELEPERFORMANCE SA (TLPFY)                                                                                            | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 722. TEMENOS GROUP AG SPON ADR (TMSNY)                                                                                     | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 723. TENCENT HLDGS LTD UNSPON ADR (TCEHY)                                                                                  |                                        | None                                   | J                                              | T                                  | Buy                                       | 06/23/20         | J                        |                         |                                                            |
| 724. TEXAS INSTRUMENTS (TXN)                                                                                               | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 725. THERMO FISHER SCIENTIFIC (TMO)                                                                                        | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 726. THOMSON REUTERS CORP (TRI)                                                                                            | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 727. TOKYO ELECTRON LTD UNSPON ADR (TOELY)                                                                                 | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 01/14/20         | J                        |                         |                                                            |
| 728. TWITTER INC (TWTR)                                                                                                    |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 729. UBER TECH (UBER)                                                                                                      |                                        | None                                   | J                                              | T                                  | Sold                                      | 11/02/20         | J                        |                         |                                                            |
| 730.                                                                                                                       |                                        |                                        |                                                |                                    | Buy                                       | 12/03/20         | J                        |                         |                                                            |
| 731.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/18/20         | J                        |                         |                                                            |
| 732. ULTA BEAUTY INC (ULTA) (X)                                                                                            |                                        | None                                   | J                                              | T                                  | Sold                                      | 11/02/20         | J                        |                         |                                                            |
| 733.                                                                                                                       |                                        |                                        |                                                |                                    | Buy                                       | 12/03/20         | J                        |                         |                                                            |
| 734. UMICORE SA ADR (UMICY)                                                                                                | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 735. UNITED PARCEL SERVICE CL B (UPS)                                                                                      | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 736. UNITEDHEALTH GROUP INC (UNH)                                                                                          | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 737. VERTEX PHARMACEUTICALS (VRTX)                                                                                         |                                        | None                                   | J                                              | T                                  | Buy<br>(add'l)                            | 09/17/20         | J                        |                         |                                                            |
| 738.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/15/20         | J                        |                         |                                                            |
| 739.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 11/02/20         | J                        |                         |                                                            |
| 740.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/03/20         | J                        |                         |                                                            |
| 741. VESTAS WIND SYSTEMS ADS<br>(VWDRY) (X)                                                                                |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 742. VISA INC (V)                                                                                                          | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 743. VMWARE INC CLASS A (VMW)                                                                                              |                                        | None                                   | J                                              | T                                  | Buy<br>(add'l)                            | 12/03/20         | J                        |                         |                                                            |
| 744. WW GRAINGER (GWW)                                                                                                     | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 745. WALT DISNEY CO (DIS)                                                                                                  | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 746. WESTERN DIGITAL CORP (WDC)                                                                                            | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/03/20                | J                               |                                |                                                                   |
| 747. WORLDLINE SA ADR (WRDLY) (X)                                                                                          |                                        | None                                          | J                                              | T                                         | Sold                                            | 11/02/20                | J                               |                                |                                                                   |
| 748.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 12/03/20                | J                               |                                |                                                                   |
| 749. ZOETIS INC CLASS-A (ZTS)                                                                                              | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 750. ISHARES CORE S&P U.S. GROWTH<br>(IUSG)                                                                                |                                        | None                                          |                                                |                                           | Buy                                             | 11/02/20                | J                               |                                |                                                                   |
| 751.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/03/20                | K                               |                                |                                                                   |
| 752. ISHARES MSCI EAFE ETF (EFA)                                                                                           |                                        | None                                          |                                                |                                           | Buy                                             | 11/02/20                | J                               |                                |                                                                   |
| 753.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/03/20                | J                               |                                |                                                                   |
| 754. ISHARES RUSSELL 1000 GRW ETF<br>(IWF)                                                                                 |                                        | None                                          |                                                |                                           | Buy                                             | 11/02/20                | K                               |                                |                                                                   |
| 755.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/03/20                | K                               |                                |                                                                   |
| 756. ACCOUNT #10 (H)                                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 757. BLACKROCK ADV US TOTAL<br>MARKET I (MASPX)                                                                            | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 758. BLACKROCK TECHNOLOGY<br>OPPORTUNITY INST (BGSIX)                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 759. ACCOUNT #11 (H)                                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 760. MORGAN STANLEY BANK NA (cash)                                                                                         | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 761. ACCOUNT #12 (H)                                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 762. GOLDMAN SACHS SM CAP VAL A<br>(GSSMX)                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

08/06/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                         |  |      |   |   |                |          |   |   |  |
|---------------------------------------------------------|--|------|---|---|----------------|----------|---|---|--|
| 763. ACCOUNT #13 (H)                                    |  |      |   |   |                |          |   |   |  |
| 764. NY COLLEGE SAVINGS VALUE STOCK INDEX PORTFOLIO     |  | None |   |   | Sold           | 06/10/20 | M | A |  |
| 765. NY COLLEGE SAVINGS CONSERVATIVE GROWTH PORTFOLIO   |  | None |   |   | Sold           | 06/10/20 | M | A |  |
| 766. NY COLLEGE SAVINGS INTEREST ACCUMULATION PORTFOLIO |  | None | N | T | Buy            | 06/10/20 | N |   |  |
| 767.                                                    |  |      |   |   | Buy<br>(add'l) | 12/08/20 | J |   |  |
| 768. ACCOUNT #14 (H)                                    |  |      |   |   |                |          |   |   |  |
| 769. NY COLLEGE SAVINGS VALUE STOCK INDEX PORTFOLIO     |  | None |   |   | Sold           | 06/10/20 | M | A |  |
| 770. NY COLLEGE SAVINGS CONSERVATIVE GROWTH PORTFOLIO   |  | None |   |   | Sold           | 06/10/20 | M | A |  |
| 771. NY COLLEGE SAVINGS INTEREST ACCUMULATION PORTFOLIO |  | None | N | T | Buy            | 06/10/20 | N |   |  |
| 772.                                                    |  |      |   |   | Buy<br>(add'l) | 12/08/20 | J |   |  |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Parenthetical numbers in Part VII are CUSIP numbers.

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

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08/06/2021

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ CHARLES J. SIRAGUSA**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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