

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SINGLETON, JAMES K.	2. Court or Organization U.S. DISTRICT COURT, ALASKA	3. Date of Report 08/01/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address THE JAMES M. FITZGERALD UNITED STATES COURTHOUSE AND FEDERAL BUILDING 222 W. 7TH AVENUE ANCHORAGE, AK 99513-7524		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 01/01/1994	STATE OF ALASKA, JUDICIAL RETIREMENT
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	STATE OF ALASKA RETIREMENT AND BENEFITS PLAN - RETIREMENT INCOME	\$121,751.16
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	TRANSAMERICA LIFE INSURANCE COMPANY - RETIREMENT PLAN
2. 2020	STATE OF ALASKA RETIREMENT AND BENEFITS PLAN FOR TEACHERS - RETIREMENT INCOME
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. FNBA BANK ACCOUNT		None	M	T					
2. ALASKA SUPPLEMENTAL ANNUITY PLAN		None	N	T	Distributed (part)	12/31/20	K	E	
3. MERRILL LYNCH WEALTH MANAGEMENT #1: (H)									
4. -AMN ELEC POWER CO	A	Dividend			Sold	10/06/20	J		
5. -AMER EXPRESS COMPANY	A	Dividend			Sold (part)	02/07/20	J	A	
6.					Sold (part)	02/28/20	J	A	
7.					Buy (add'l)	06/08/20	J		
8.					Sold	09/23/20	J		
9. -APPLE INC	A	Dividend	J	T	Sold (part)	01/22/20	J	A	
10. -BECTON DICKENSON CO	A	Dividend	J	T					
11. -DIAGEO PLC SPSP ADR NEW	A	Dividend			Sold	03/18/20	J		
12. -DISNEY (WALT) CO COM STK	A	Dividend	J	T	Sold (part)	02/28/20	J	A	
13.					Buy (add'l)	06/08/20	J		
14.					Sold (part)	10/06/20	J	A	
15. -EXXON MOBIL CORP COM	A	Dividend			Sold	03/19/20	J		
16. -ISHARES NASDAQ BIOTECH	A	Dividend	J	T	Sold (part)	05/12/20	J	A	
17. -ISHARES TIPS BOND ETF	A	Dividend	J	T	Sold (part)	01/14/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18.					Sold (part)	03/16/20	J	A	
19. -VANGUARD FINANCIALS ETF	A	Dividend	J	T	Buy (add'l)	01/16/20	J		
20.					Buy (add'l)	03/24/20	J		
21.					Sold (part)	08/12/20	J	A	
22.					Buy (add'l)	10/16/20	J		
23. -VANGUARD INFORMATION TECH ETF	A	Dividend	K	T	Buy (add'l)	03/16/20	J		
24.					Buy (add'l)	05/14/20	J		
25.					Sold (part)	08/12/20	J	A	
26.					Sold (part)	10/15/20	J	A	
27. -INVESCO EMERGING MARKETS	A	Dividend	J	T	Sold (part)	01/14/20	J	A	
28. -ISHARES 3-7 YEARS TREASURY BONDS ETF		None			Sold	01/14/20	J	A	
29. -ISHARES MBS ETF	A	Dividend	K	T	Sold (part)	03/12/20	J	A	
30.					Sold (part)	03/20/20	J		
31.					Buy (add'l)	04/16/20	J		
32.					Buy (add'l)	08/14/20	J		
33. -VANGUARD INTERMEDIATE TERM BOND ETF	B	Dividend	K	T	Sold (part)	01/14/20	J	A	
34.					Buy (add'l)	01/16/20	J		

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35.					Sold (part)	03/12/20	J	A	
36. -ISHARES IBOXX\$ HIGH YIEL CORPORATE BOND		None			Sold (part)	01/14/20	J	A	
37. -VANGUARD SHORT TERM BOND		None	K	T	Sold (part)	01/14/20	J	A	
38.					Sold (part)	03/12/20	J	A	
39.					Buy (add'l)	04/16/20	J		
40.					Buy (add'l)	08/14/20	J		
41. -SPDR S&P INSURANCE ETF	A	Dividend			Sold	10/14/20	J	A	
42. -REAL ESTATE SELECT SECTOR SPDR FD SHS	A	Dividend			Sold	05/12/20	J		
43. -INVESCO PREFERRED ETF	A	Dividend	J	T					
44. -HOME DEPOT INC	A	Dividend	J	T					
45. -VANECK VECTORS J.P. MORGAN EM LOCAL CURR		None			Sold	01/14/20	J	A	
46. -ISHARES INC CORE MSCI EMERGING MKTS ETF	A	Dividend	J	T	Buy	01/16/20	J		
47.					Buy (add'l)	03/24/20	J		
48.					Sold (part)	04/14/20	J		
49. -JPMORGAN CHASE & CO	A	Dividend	J	T	Buy (add'l)	11/25/20	J		
50.					Buy (add'l)	12/09/20	J		
51. -MCDONALDS CORP COM	A	Dividend	J	T					

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52. -MICROSOFT CORP	A	Dividend	J	T	Buy (add'l)	04/03/20	J		
53.					Sold (part)	06/04/20	J	B	
54.					Sold (part)	12/07/20	J	A	
55. -NEXTERA ENERGY INC SHS	A	Dividend	J	T					
56. -NORTHROP GRUMMAN CORP	A	Dividend	J	T					
57. -PFIZER INC	A	Dividend			Sold	01/31/20	J	A	
58. -RAYTHEON CO DELAWARE NEW	A	Dividend			Sold	04/01/20	J		
59. -ROYAL DUTCH SHEL PLC		None			Sold	02/03/20	J		
60. -HEALTH CARE SELECT SPDR	A	Dividend	J	T	Buy (add'l)	05/14/20	J		
61.					Sold (part)	08/12/20	J	A	
62. -SECTOR SPDR CONSMRS STPL	A	Dividend	J	T	Buy (add'l)	01/16/20	J		
63.					Buy (add'l)	04/16/20	J		
64.					Sold (part)	05/12/20	J	A	
65.					Sold (part)	08/12/20	J	A	
66. -CONSUMER DISCRETIONARY SPDR	A	Dividend	J	T	Sold (part)	08/12/20	J	A	
67.					Sold (part)	10/14/20	J	A	
68. -SECTOR SPDR ENERGY	A	Dividend	J	T					

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69. -SECTOR SPDR INDUSTRIAL	A	Dividend	J	T	Buy (add'l)	03/24/20	J		
70.					Sold (part)	05/12/20	J	A	
71.					Sold (part)	08/12/20	J	A	
72. -SECTOR SPDR UTILITIES	A	Dividend			Sold	10/14/20	J		
73. -UNITEDHEALTH GROUP INC	A	Dividend	J	T					
74. -UNITED TECHS CORP COM	A	Dividend			Sold	04/01/20	J	A	
75. -VERIZON COMMUNICATNS COM	A	Dividend	J	T					
76. -VISA INC CL A SHRS	A	Dividend	J	T					
77. -WALMART INC	A	Dividend	J	T					
78. -LOOMIS SAYLES STRATEGIC INC FD CL Y	B	Dividend	L	T	Buy (add'l)	10/16/20	J		
79. -ACCENTURE PLC SHS		None			Sold	01/07/20	J	A	
80. -AMAZON COM INC COM		None	J	T	Buy (add'l)	03/19/20	J		
81. -INTUIT INC COM	A	Dividend	J	T					
82. -PEPSICO INC	A	Dividend	J	T					
83. -STRYKER CORP	A	Dividend	J	T	Sold (part)	04/01/20	J		
84. -TJX COS INC NEW	A	Dividend	J	T	Buy (add'l)	06/08/20	J		
85.					Buy (add'l)	11/25/20	J		

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86. -WASTE MANAGEMENT INC NEW	A	Dividend			Sold	10/27/20	J	A	
87. -ZOETIS INC	A	Dividend	J	T					
88. -COMM SERVICES SELECT	A	Dividend	J	T	Buy (add'l)	05/14/20	J		
89.					Sold (part)	08/12/20	J	A	
90. -FIRST TRUST DOW JONES		None	J	T	Sold (part)	05/12/20	J	A	
91. -ISHARES TR CORE MSCI EAF ETF	A	Dividend	K	T	Buy (add'l)	01/16/20	J		
92.					Buy (add'l)	03/24/20	J		
93.					Buy (add'l)	08/14/20	J		
94. -MATERIALS SELECT SECTOR	A	Dividend	J	T					
95. -BLACKROCK LIQUIDITY FUND	A	Dividend	J	T	Sold (part)	01/14/20	J		
96. -AIR PRODUCTS&CHEM	A	Dividend	J	T					
97. -ALPHABET INC SHS CL A		None	J	T					
98. -AMERICAN TOWER REIT INC	A	Dividend	J	T					
99. -AON PLC	A	Dividend	J	T	Buy (add'l)	03/17/20	J		
100. -CISCO SYSTEMS INC COM	A	Dividend			Sold	03/13/20	J		
101. -MERCK AND CO INC SHS	A	Dividend			Sold (part)	01/22/20	J	A	
102.					Sold (part)	02/13/20	J	A	

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103.					Sold	11/03/20	J		
104. -VANGUARD TOTAL INTERNATL BOND ETF		None			Sold	01/14/20	J	A	
105. -ISHARES 20+ YEAR TREASURY BOND ETF	A	Dividend	J	T	Buy	01/16/20	J		
106.					Sold (part)	03/13/20	J	A	
107.					Sold (part)	03/16/20	J	A	
108. -INTEL CORP	A	Dividend			Buy	05/22/20	J		
109.					Sold	10/23/20	J		
110. -PROCTER & GAMBLE CO	A	Dividend	J	T	Buy	03/20/20	J		
111.					Sold (part)	06/04/20	J	A	
112. -TRANE TECHNOLOGIES PLC		None			Buy	10/08/20	J		
113.					Buy (add'l)	11/03/20	J		
114.					Sold	11/11/20	J	A	
115. -RAYTHEON TECHNOLOGIES CORP	A	Dividend			Sold (part)	05/19/20	J		
116.					Sold	10/06/20	J		
117. -VANECK VECTORS FALLEN ANGEL	A	Dividend	J	T	Buy	01/16/20	J		
118. -VANGUARD SMALL CAP	A	Dividend	J	T	Buy	01/16/20	J		
119.					Buy (add'l)	01/17/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

SINGLETON, JAMES K.

Date of Report

08/01/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	03/24/20	J		
121. -XTRACKERS USD HIGH YIELD	A	Dividend	J	T	Buy	01/16/20	J		
122. -FIDELITY NATL INFO SVCS INC	A	Dividend	J	T	Buy	01/24/20	J		
123. -INTERCONTINENTAL EXCHANGE	A	Dividend	J	T	Buy	02/11/20	J		
124.					Buy (add'l)	03/03/20	J		
125. -ELI LILLY & CO	A	Dividend	J	T	Buy	02/18/20	J		
126.					Buy (add'l)	04/03/20	J		
127. -THOMSON REUTERS CORP REG SHS	A	Dividend	J	T	Buy	03/03/20	J		
128. -SPDR BLOOMBERG BARCLAYS 1-3	A	Dividend	J	T	Buy	03/16/20	J		
129.					Buy (add'l)	04/16/20	J		
130. -SALESFORCE COM INC		None	J	T	Buy	03/27/20	J		
131. -EQUINIX INC	A	Dividend	J	T	Buy	03/27/20	J		
132. -COSTCO WHOLESALE CRP DEL	A	Dividend	J	T	Buy	04/03/20	J		
133. -FIRST TRUST CLOUD COMPUTING	A	Dividend	J	T	Buy	04/16/20	J		
134.					Buy (add'l)	05/14/20	J		
135. -NIKE INC CL B	A	Dividend	J	T	Buy	05/21/20	J		
136.					Buy (add'l)	10/08/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

SINGLETON, JAMES K.

Date of Report

08/01/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. -QUALCOMM INC	A	Dividend	J	T	Buy	10/08/20	J		
138.					Buy (add'l)	11/12/20	J		
139. -FEDEX CORP DELAWARE COM	A	Dividend	J	T	Buy	10/08/20	J		
140. -FIDELITY MSCI UTILITIES INDEX	A	Dividend	J	T	Buy	10/16/20	J		
141. -PROSHARES ONLINE RETAIL ETF	A	Dividend	J	T	Buy	10/16/20	J		
142. -TEXAS INSTRUMENTS		None	J	T	Buy	11/12/20	J		
143. -PROGRESSIVE CRP OHIO		None	J	T	Buy	11/12/20	J		
144. -KANSAS CITY SOUTHERN		None	J	T	Buy	12/09/20	J		
145. -COMCAST CORP NEW CL A		None	J	T	Buy	12/09/20	J		
146.					Buy (add'l)	12/10/20	J		
147. -TOTAL SE		None	J	T	Buy	12/10/20	J		
148.									
149. MANAGEMENT ACCOUNT #2:(H)									
150. -LOOMIS SAYLES STRATEGIC		None			Sold	01/02/20	J		
151. -TEMPLETON GBLB BOND FD		None			Sold	01/02/20	J		
152. -BLACKROCK LIQUIDITY FUND T FUND INSTL CL	A	Dividend	J	T					
153. -ISHARES IBOXX \$	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

SINGLETON, JAMES K.

Date of Report

08/01/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -ISHARES TIPS	A	Dividend	J	T					
155. -VANGUARD FINANCIALS ETF	A	Dividend	J	T					
156. -VANGUARD INFORMATION	A	Dividend	J	T					
157. -FIRST TRUST DOW JONES		None	J	T					
158. -ISHARES MBS ETF	A	Dividend	J	T					
159. -VANGUARD INTERMEDIATE	A	Dividend			Sold	03/13/20	J		
160. -COMM SERVICES SELECT	A	Dividend	J	T					
161. -VANGUARD SHORT-TERM	A	Dividend	J	T	Sold (part)	01/16/20	J		
162. -VANGUARD INTRMDIATE-TERM	A	Dividend	J	T					
163. -ISHARES INC CORE MSCI	A	Dividend	J	T	Sold (part)	04/16/20	J		
164. -ISHARES TR CORE MSCI EAF	A	Dividend	J	T	Buy (add'l)	01/16/20	J		
165.					Buy (add'l)	08/14/20	J		
166. -HEALTH CARE SELECT SPDR	A	Dividend	J	T					
167. -SECTOR SPDR CONSMRS STPL	A	Dividend	J	T					
168. -CONSUMER DISCRETIONARY	A	Dividend	J	T					
169. -SECTOR SPDR INDUSTRIAL	A	Dividend	J	T					
170. -VANGUARD SMALL CAP	A	Dividend	J	T	Buy	01/17/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

SINGLETON, JAMES K.

Date of Report

08/01/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -FIRST TRUST CLOUD (X)	A	Dividend	J	T					
172. -ISHARES NASDAQ BIOTECH (X)	A	Dividend	J	T					
173. -SPDR BLOOMBERG BARCLAYS (X)	A	Dividend	J	T					
174.									
175. MANAGEMENT ACCOUNT #3;(H)									
176. -GABELLI DIV & INC TR	A	Dividend			Sold	02/21/20	J		
177. -LOOMIS SAYLES STRATEGIC		None			Sold	01/17/20	J		
178. -TEMPLETON GLBL BOND FD	A	Dividend			Sold	02/05/20	J		
179. -AMERICAN GROWTH FUND		None			Sold	04/13/20	J		
180. -BLACKROCK ADVANTAGE LRG	A	Dividend	J	T	Sold (part)	01/17/20	J		
181.					Sold (part)	10/08/20	J		
182. -BLACKROCK TECHNOLOGY		None	J	T	Sold (part)	06/05/20	J		
183. -BLACKROCK EMERGING MARKETS FUND INSTL	A	Dividend	J	T	Buy (add'l)	10/08/20	J		
184. -BLACKROCK TOTAL RETURN	A	Dividend	K	T	Buy (add'l)	03/13/20	J		
185.					Buy (add'l)	03/16/20	J		
186.					Buy (add'l)	10/08/20	J		
187. -ISHARES EDGE MSCI MIN VOL USA ETF	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

SINGLETON, JAMES K.

Date of Report

08/01/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. -ISHARES JP MORGAN EM BON	A	Dividend			Sold	03/16/20	J		
189. -ISHARES CORE S&P 500 ETF	A	Dividend	J	T	Buy (add'l)	01/03/20	J		
190.					Buy (add'l)	01/21/20	J		
191.					Sold (part)	04/14/20	J		
192.					Sold (part)	06/08/20	J		
193.					Buy (add'l)	10/09/20	J		
194.					Buy (add'l)	11/09/20	J		
195. -ISHARES EDGE MSCI USA QUALITY FACTOR ETF		None			Sold	04/14/20	J		
196. -ISHARES FLOATING RATE		None			Sold	01/03/20	J		
197. -ISHARES TR CORE MSCI EAF	A	Dividend	J	T	Sold (part)	04/14/20	J		
198.					Buy (add'l)	06/08/20	J		
199.					Sold (part)	10/09/20	J		
200. -ISHARES EDGE MSCI USA SIZE FACTOR ETF		None			Sold (part)	01/21/20	J		
201.					Sold	04/14/20	J		
202. -PIMCO INCOME FUND CL I2	A	Dividend	J	T	Sold (part)	04/13/20	J		
203.					Sold (part)	06/05/20	J		
204. -SPDR MSCI EMERGING MARKETS	A	Dividend			Sold (part)	03/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

SINGLETON, JAMES K.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Sold	10/09/20	J		
206. -ISHARES 20+ YEAR	A	Dividend	J	T	Sold (part)	01/21/20	J		
207.					Sold (part)	04/14/20	J		
208.					Buy (add'l)	10/09/20	J		
209. -HARTFORD CORE EQUITY FUND	A	Dividend	J	T	Buy	01/17/20	J		
210.					Buy (add'l)	04/13/20	J		
211. -ISHARES TR MSCI EAFE GROWTH	A	Dividend	J	T	Buy	04/14/20	J		
212. -ISHARES IBOXX \$ INVT GRADE	A	Dividend			Buy	04/14/20	J		
213.					Sold	10/09/20	J		
214. -ISHARES MSCI USA ESG	A	Dividend			Buy	04/14/20	J		
215.					Sold	06/08/20	J		
216. -ISHARES U.S. MEDICAL DEVICES	A	Dividend	J	T	Buy	04/14/20	J		
217. -ISHARES EDGE MSCI USA VALUE	A	Dividend	J	T	Buy	04/14/20	J		
218. -ISHARES DOWJONES US FINL SVCS	A	Dividend			Buy	06/08/20	J		
219.					Sold	10/09/20	J		
220. -ISHARES 7-10 YEAR TREAS BOND	A	Dividend	J	T	Buy	06/08/20	J		
221. -ISHARES CORE S&P SMALL CAP ETF	A	Dividend	J	T	Buy	06/08/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222.					Sold (part)	10/09/20	J		
223. -WISDOMTREE EMERGING MARK	A	Dividend	J	T	Buy	10/09/20	J		
224. -ISHARES TRUST ISHARES ES	A	Dividend	J	T	Buy	10/09/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

SINGLETON, JAMES K.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

SINGLETON, JAMES K.

Date of Report

08/01/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JAMES K. SINGLETON

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544