

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sontchi, Christopher S.	2. Court or Organization Delaware - Bankruptcy Court	3. Date of Report 07/14/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2020 to 12/31/2020
7. Chambers or Office Address 824 Market Street 5th Floor Wilmington, Delaware 19899		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Turnaround Mngement Association Philadelphia and Delaware Chapter
2. Adjunct Professor	Delaware Law School Widener University
3. Lecturer in Law	The University of Chicago Law School
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Delaware Law School Widener University; teaching	\$15,000.00
2. 2020	University of Chicago Law School; teaching	\$10,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	self-employed physician
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association	01/3/2020 - 01/31/2020	Boca Raton, FL	Panel member for seminar	Meals, lodging, tuition, and travel
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WSFS Bank	A	Interest	M	T					
2. DEXSTA Federal Credit Union	A	Interest	J	T					
3. 529 Plan #1									
4. - John Hancock, Freedom 529 College 2013-2016		None	L	T	Sold (part)	08/11/20	K		
5. 529 Plan #2									
6. - John Hancock, Freedom 529 College 2017-2020		None	M	T					
7. IRA #1									
8. - RJ Bank Deposit Program	A	Interest	J	T					
9. - American Funds, Europacific Growth Fund	A	Dividend	K	T					
10. - Metropolitan West, Total Return Bond Fund	A	Dividend	L	T					
11. - ISHARES TR CORE US AGGBD ET	A	Dividend	K	T	Sold (part)	06/10/20	J		
12. - ISHARES TR MIN VOL USA ETF	A	Dividend	K	T					
13. - ISHARES TR CORE MSCI EAFE	A	Dividend	K	T	Sold (part)	06/10/20	J		
14. - Vanguard Index Funds S&P 500 ETF SHS NEW	A	Dividend	K	T					
15. - American Mutual Fund	A	Dividend	K	T					
16. - Dodge & Cox Income Fund	A	Dividend	K	T					
17. - Loomis Sayles Growth Fubd	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. - Parnassus Mid Cap Fund	A	Dividend	K	T	Buy (add'l)	06/09/20	J		
19. - Pimco Low Duration Fund	A	Dividend	K	T	Sold (part)	06/09/20	J		
20. - PGIM High Yield Fund	A	Dividend	K	T	Buy (add'l)	06/10/20	K		
21. - T. Rowe Price Small Cap Value Fund	A	Dividend	K	T					
22. - Wesern Asset Total Return Fund	A	Dividend	J	T					
23. - Vanguard High Dividend Yield ETF	A	Dividend	K	T					
24. IRA #2									
25. - Fidelity Investments, Fidelity Overseas Fund Mutual fund	A	Dividend	J	T					
26. - RJ Bank Deposit Program	A	Interest	J	T					
27. IRA #3									
28. - RJ Bank Deposit Program	A	Interest	J	T					
29. - American Funds, Europacific Growth Fund	A	Dividend	J	T					
30. - Metropolitan West, Total Return Bond Fund	A	Dividend	K	T					
31. - ISHARES TR CORE US AGGBD ET	A	Dividend	K	T	Sold (part)	06/10/20	J		
32. - ISHARES TR MIN VOL USA ETF	A	Dividend	J	T					
33. - ISHARES TR CORE MSCI EAFE	A	Dividend	J	T	Sold (part)	06/10/20	J		
34. - Vanguard Index Funds S&P 500 ETF SHS NEW	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - American Mutual Fund	A	Dividend	J	T					
36. - Dodge & Cox Income Fund	A	Dividend	K	T					
37. - Loomis Sayles Growth Fubd	A	Dividend	K	T					
38. - Parnassus Mid Cap Fund	A	Dividend	J	T	Buy (add'l)	06/09/20	J		
39. - Pimco Low Duration Fund	A	Dividend	K	T	Sold (part)	06/09/20	J		
40. - PGIM High Yield Fund	A	Dividend	J	T	Buy (add'l)	06/10/20	J		
41. - T. Rowe Price Small Cap Value Fund	A	Dividend	J	T					
42. - Wesern Asset Total Return Fund	A	Dividend	J	T					
43. - Vanguard High Dividend Yield ETF	A	Dividend	J	T					
44. IRA #4									
45. - Fidelity Investments, Fidelity Overseas Fund Mutual fund	A	Dividend	J	T					
46. - RJ Bank Deposit Program	A	Interest	J	T					
47. 401(k) Plan #2									
48. - JH LS Grow Active Strategy Fund	A	Dividend	N	T					
49. Nationwide Universal Life Policy									
50. - One Year Multi-Index Monthly Average Indexed Interest Strategy	C	Int./Div.	M	T					
51. - Fixed Interest Strategy			J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christopher S. Sontchi**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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