

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Srinivasan, Srikanth	2. Court or Organization U.S. Court of Appeals for the District of Columbia Circuit	3. Date of Report 6/4/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of Appeals Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address E. Barrett Prettyman U.S. Courthouse and William B. Bryant Annex 333 Constitution Avenue, NW Washington, D.C. 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor of Law	Georgetown University Law Center
2. Trustee/Grantor	Trust #1
3. Adviser	American Law Institute
4. Member	Edward Coke Appellate Inn of Court
5. Committee Member	National Academies of Sciences, Engineering and Medicine

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2020	Georgetown University Law Center - Teaching in 2021
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Georgetown University Law Center - Teaching Income	\$5,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Asian American Bar Association of New York	February 26-27, 2020	New York, NY	Annual Gala (award recipient)	Transportation, meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. American Express	Credit Card	K
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent , or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brinker Destinations IRA (H)									
2. - Fidelity Cash Reserves (FDRXX)	A	Dividend	J	T					
3. - Destinations Large Cap Equity (DLCFX)	D	Dividend	N	T	Sold (part)	01/28/20	J	A	
4.					Sold (part)	05/05/20	J	A	
5.					Sold (part)	07/14/20	J	A	
6. - Destinations Small Mid Cap Equity (DSMFX)	B	Dividend	L	T	Sold (part)	05/05/20	J		
7.					Sold (part)	07/14/20	J	A	
8. - Destinations International Equity (DIEFX)	A	Dividend	M	T	Buy (add'l)	01/28/20	J		
9.					Sold (part)	05/05/20	J	A	
10.					Buy (add'l)	07/14/20	J		
11. - Destinations Equity Income (DGEFX)	A	Dividend	J	T	Sold (part)	01/28/20	J	A	
12.					Sold (part)	05/05/20	J		
13.					Sold (part)	07/14/20	J		
14. - Destinations Multi Strategy (DMSFX)	A	Dividend	K	T	Buy (add'l)	05/05/20	J		
15. - Destinations Core Fixed Income (DCFFX)	B	Dividend	L	T	Buy (add'l)	03/17/20	J		
16.					Buy (add'l)	05/05/20	J		
17. - Destinations Low Duration Fixed Income (DLDFX)	A	Dividend	J	T	Buy (add'l)	05/05/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18. - Destinations Global Fixed Income (DGFFX)	A	Dividend	K	T	Sold (part)	01/28/20	J		
19.					Sold (part)	03/17/20	J		
20.					Buy (add'l)	05/05/20	J		
21.					Sold (part)	11/10/20	J		
22. Trust #1 - Brinker Destinations Brokerage Account (H)									
23. - Fidelity 500 Index (FXAIX)	A	Dividend			Sold (part)	03/17/20	J	A	
24.					Sold (part)	05/05/20	J	A	
25.					Sold	07/14/20	J	B	
26. - Delaware Value (DDVIX)	A	Dividend			Sold (part)	03/17/20	J	B	
27.					Sold	11/10/20	K	C	
28. - T. Rowe Price Growth Stock Advisor (TRSAX)		None			Sold (part)	03/17/20	J	B	
29.					Sold (part)	05/05/20	J	B	
30.					Sold	11/10/20	K	D	
31. - Fidelity Cash Reserves (FCASH)	A	Dividend	J	T					
32. - Destinations Multi Strategy (DMSFX)	A	Dividend	K	T					
33. - Destinations Core Fixed Income (DCFFX)	A	Dividend	K	T	Buy (add'l)	03/17/20	J		
34.					Buy (add'l)	05/05/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35.					Buy (add'l)	11/10/20	J		
36. - Destinations Low Duration Fixed Income (DLDFX)	A	Dividend	J	T	Buy (add'l)	05/05/20	J		
37. - Destinations Global Fixed Income (DGFFX)	A	Dividend	K	T	Sold (part)	11/10/20	J		
38. - Destinations Municipal Fixed Income (DMFFX)	C	Dividend	M	T	Sold (part)	01/28/20	J	A	
39.					Buy (add'l)	03/17/20	J		
40.					Sold (part)	05/05/20	J	A	
41.					Buy (add'l)	07/14/20	J		
42. - Destinations Large Cap Equity (DLCFX)	C	Dividend	M	T	Buy (add'l)	01/28/20	J		
43.					Buy (add'l)	03/17/20	J		
44.					Buy (add'l)	05/05/20	J		
45.					Buy (add'l)	07/14/20	J		
46.					Buy (add'l)	11/10/20	L		
47. - Destinations Small Mid Cap Eq (DSMFX)	A	Dividend	K	T	Sold (part)	11/10/20	J	B	
48. - Destinations Intl Equity Fd (DIEFX)	A	Dividend	L	T	Sold (part)	11/10/20	J	A	
49. - Destinations Equity Income Fd (DGEFX)	A	Dividend	J	T	Sold (part)	11/10/20	J		
50. American Funds Roth IRA (H)									
51. - American Funds AMCAP (AMCPX)	A	Dividend	J	T					

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52. - American Funds New World (NEWFX)	A	Dividend	J	T					
53. - American Funds Small Cap World (SMCWX)	A	Dividend	J	T					
54. - American Funds Capital World Growth & Income (CWGIX)	A	Dividend	J	T					
55. - American Funds Washington Mutual (AWSHX)	A	Dividend	J	T					
56. - American Funds Income Fund of America (AMECX)	A	Dividend	J	T					
57. Wells Fargo Cash Account (H)	A	Interest	L	T					
58. Citibank Cash Account (H)	A	Interest	J	T					
59. American Funds College Savings Plan (529) #1 (H)									
60. - College 2021 Fund-529F2 (FCACX)	D	Dividend	M	T					
61. - College 2024 Fund-529F2 (FACRX)	D	Dividend	M	T					
62. - AF U.S. Money Market-529F2 (FFFX)	A	Dividend	M	T	Distributed (part)	06/23/20	J		
63.					Distributed (part)	06/23/20	J		
64. American Funds College Savings Plan (529) #2 (H)									
65. - College 2021 Fund 529F2 (FCACX)	D	Dividend	M	T					
66. - College 2024 Fund 529F2 (FACRX)	D	Dividend	M	T					
67. - AF U.S. Government Money Market 529F2 (FFFX)	A	Dividend	M	T	Distributed (part)	06/23/20	J		
68.					Distributed (part)	06/23/20	J		

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69. - Preservation Portfolio-529F2 (FPPPX)	A	Dividend	J	T					
70. Mass Mutual Variable Life Insurance Policy (H)									
71. - Mass Mutual VUL Guard Guaranteed Account	B	Interest	L	T	Buy (add'l)	08/18/20	J		
72. - Deutsche Small Cap Index		None	K	T	Buy (add'l)	08/18/20	J		
73. - Mass Mutual VUL Guard Small Cap Equity		None	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII (Investments and Trusts):

The ticker symbol for Delaware Value Fund (line 26) changed from DDVAX to DDVIX. The new ticker symbol is used in this report.

The ticker symbol for Destinations Large Cap Equity (line 42) was entered incorrectly in last year's report. The correct ticker symbol is used in this report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.



Signature: s/ **Srikanth Srinivasan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544