

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Somers, Dale L.	2. Court or Organization U.S. Bankruptcy Court - Kansas	3. Date of Report 05/21/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 255 U.S. Courthouse 444 SE Quincy Topeka, KS 66683		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

Somers, Dale L.

Date of Report

05/21/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Somers, Dale L.	05/21/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

Name of Person Reporting

Somers, Dale L.

Date of Report

05/21/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1. BJD Somers Family LLC	D	Distribution	M	U					See Note in Part VIII
2. C.M. Life Insurance Company - Whole Life	B	Int./Div.	K	T					
3. C.M. Life Insurance Company - Whole Life	A	Int./Div.	J	T					
4. C.M. Life Insurance Company - Whole Life	A	Int./Div.	J	T					
5. Fidelity Brokerage Services LLC - IRA Account #1 (H)									See Note in Part VIII
6. -Claymore Securities Defined Portfolios	B	Dividend	K	T					
7. -Fidelity Cash Reserves	A	Int./Div.	K	T					
8. -Ishares S&P Midcap 400 Growth	A	Dividend	K	T	Buy (add'l)	02/10/20	J		
9.					Buy (add'l)	03/30/20	J		
10.					Sold (part)	05/14/20	J	A	
11.					Sold (part)	10/15/20	J	B	
12. -Doubleline Total Rt Bond Fd	D	Dividend	M	T	Sold (part)	03/13/20	K	A	
13.					Buy (add'l)	04/16/20	K		
14.					Buy (add'l)	05/13/20	J		
15.					Sold (part)	06/03/20	J	A	
16.					Buy (add'l)	10/14/20	K		
17.					Sold (part)	11/19/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 16

Name of Person Reporting

Somers, Dale L.

Date of Report

05/21/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

18. -Metropolitan West Total Return	C	Dividend	M	T	Sold (part)	03/13/20	K	A	
19.					Buy (add'l)	04/16/20	J		
20.					Buy (add'l)	05/13/20	K		
21.					Sold (part)	06/03/20	J	A	
22.					Sold (part)	08/07/20	J	A	
23.					Buy (add'l)	10/14/20	J		
24.					Sold (part)	11/19/20	J	A	
25. -Oakmark Intl Advisor Fund	A	Dividend	L	T	Sold (part)	02/07/20	J	A	
26.					Buy (add'l)	03/27/20	K		
27.					Sold (part)	05/13/20	J	A	
28.					Buy (add'l)	06/03/20	J		
29.					Sold (part)	08/07/20	K	A	
30.					Sold (part)	11/12/20	J	A	
31.					Buy (add'l)	11/19/20	J		
32. -Ishares S&P 500 Value ETF	A	Dividend	L	T	Sold (part)	01/13/20	L	D	
33.					Buy (add'l)	02/10/20	J		
34.					Buy (add'l)	03/16/20	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	03/30/20	K		
36.					Sold (part)	04/17/20	J	A	
37.					Sold (part)	05/14/20	K	C	
38.					Buy (add'l)	06/04/20	K		
39.					Sold (part)	08/10/20	K	B	
40.					Buy (add'l)	10/15/20	K		
41.					Buy (add'l)	11/13/20	K		
42. -Ishares Trust Core MSCI EAFE ETF	C	Dividend	M	T	Sold (part)	03/03/20	J	A	
43.					Buy (add'l)	03/16/20	K		
44.					Sold (part)	05/14/20	K	A	
45.					Buy (add'l)	06/04/20	J		
46.					Sold (part)	08/10/20	K	A	
47.					Buy (add'l)	11/20/20	K		
48. -Wells Fargo Special Mid Cap CL I		None			Sold	01/13/20	K	E	
49. -Baird Aggregate Bond Fund Investor Cl	B	Dividend	L	T	Sold (part)	03/02/20	J	A	
50.					Buy (add'l)	05/13/20	K		
51.					Sold (part)	06/03/20	J	A	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 16

Name of Person Reporting	Date of Report
Somers, Dale L.	05/21/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	08/07/20	J	A	
53.					Buy (add'l)	10/14/20	J		
54.					Sold (part)	11/19/20	J	A	
55.	-Ishares Core U.S. Aggregate Bond ETF	D	Dividend	O	T	Buy (add'l)	01/13/20	J	
56.					Buy (add'l)	01/14/20	K		
57.					Sold (part)	03/03/20	K	C	
58.					Sold (part)	03/16/20	K	A	
59.					Buy (add'l)	03/18/20	L		
60.					Buy (add'l)	05/14/20	L		
61.					Sold (part)	06/04/20	L	C	
62.					Buy (add'l)	07/09/20	J		
63.					Sold (part)	08/10/20	K	B	
64.					Buy (add'l)	10/15/20	J		
65.					Sold (part)	11/20/20	K	A	
66.	-Ishares Inc Core MSCI Emerging Mkts	B	Dividend	M	T	Buy (add'l)	01/13/20	J	
67.					Sold (part)	02/26/20	J	A	
68.					Buy (add'l)	08/10/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

Name of Person Reporting	Date of Report
Somers, Dale L.	05/21/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	10/15/20	J		
70.					Buy (add'l)	11/20/20	K		
71. -Ishares Core S&P Small-Cap ETF	A	Dividend	M	T	Sold (part)	02/10/20	K	A	
72.					Sold (part)	05/14/20	J	A	
73.					Sold (part)	07/09/20	K	A	
74.					Buy (add'l)	11/20/20	L		
75. -Ishares S&P Midcap 400 Value ETF	A	Dividend	K	T	Buy (add'l)	02/10/20	J		
76.					Buy (add'l)	03/16/20	K		
77.					Sold (part)	07/09/20	J	A	
78.					Sold (part)	09/25/20	J	A	
79. -The Hartford Intl Oppt Fd	A	Dividend	J	T	Sold (part)	03/02/20	K	A	
80.					Buy (add'l)	03/13/20	J		
81.					Buy (add'l)	06/03/20	J		
82.					Sold (part)	08/07/20	K	C	
83.					Sold (part)	09/24/20	J	B	
84.					Buy (add'l)	11/19/20	J		
85. -Pimco Total Return Fund	C	Dividend	M	T	Sold (part)	03/13/20	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 16

Name of Person Reporting

Somers, Dale L.

Date of Report

05/21/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	04/16/20	J		
87.					Buy (add'l)	05/13/20	K		
88.					Sold (part)	06/03/20	J	A	
89.					Sold (part)	08/07/20	J	A	
90. -Harding Loevner Inst Emerging Mkts	A	Dividend	M	T	Buy (add'l)	01/10/20	K		
91.					Buy (add'l)	08/07/20	J		
92.					Buy (add'l)	11/19/20	J		
93. -Pimco Intl Bond (US Dollar Hedged)	C	Dividend	M	T	Sold (part)	01/10/20	L	A	
94.					Sold (part)	03/13/20	K	A	
95.					Buy (add'l)	04/16/20	J		
96. -Ishares Intl Aggregate Bond	B	Dividend	L	T	Sold (part)	01/13/20	K	A	
97.					Buy (add'l)	03/18/20	J		
98.					Sold (part)	03/30/20	K	A	
99.					Buy (add'l)	10/15/20	J		
100. -Ishares Gold Trust		None			Buy	01/13/20	L		
101.					Sold (part)	03/30/20	J	A	
102.					Sold	10/15/20	L	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 16

Name of Person Reporting

Somers, Dale L.

Date of Report

05/21/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. -Ishares TIPS Bond ETF	A	Dividend			Buy	01/13/20	L		
104.					Sold (part)	03/18/20	L	A	
105.					Buy (add'l)	08/10/20	L		
106.					Sold	11/20/20	M	A	
107. -Ishares S&P 500 Growth ETF	A	Dividend			Buy	03/09/20	K		
108.					Buy (add'l)	03/16/20	K		
109.					Sold (part)	04/17/20	K	A	
110.					Sold (part)	05/14/20	L	D	
111.					Buy (add'l)	06/04/20	K		
112.					Buy (add'l)	07/09/20	K		
113.					Sold (part)	10/15/20	K	D	
114.					Sold	11/20/20	K	D	
115. Fidelity Brokerage Services LLC - IRA Account #2 (H)									See Note in Part VIII
116. -Fidelity Cash Reserves	A	Int./Div.	J	T					
117. -Harbor Bond	A	Dividend	K	T					
118. -Baird Aggregate Bond Fund Investor Cl	B	Dividend	L	T					
119. -Ishares Trust Core MSCI EAFE ETF	A	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

Somers, Dale L.

Date of Report

05/21/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. -Ishares S&P 500 Growth ETF	A	Dividend			Buy	07/09/20	J		
121.					Sold	11/20/20	J	A	
122. -Ishares Core S&P Smallcap ETF	A	Dividend	J	T	Buy	11/20/20	J		
123. Fidelity Brokerage Services LLC - Non IRA Acct (H)									See Note in Part VIII
124. -Fidelity Government Cash Reserves	A	Dividend	J	T					
125. -Ishares Russell 1000 Value	B	Dividend	K	T	Sold (part)	11/13/20	K	D	
126. -Ishares Core S&P Smallcap ETF	A	Dividend	J	T	Buy	01/14/20	K		
127.					Sold (part)	02/10/20	K	A	
128.					Buy (add'l)	11/20/20	J		
129. -Ishares S&P Midcap 400 Value ETF	A	Dividend	K	T	Buy	01/14/20	K		
130.					Sold (part)	03/16/20	J	A	
131. -Ishares S&P Midcap 400 Growth ETF	A	Dividend	J	T	Buy	11/20/20	K		
132. -Ishare S&P 500 Value ETF	B	Dividend			Buy (add'l)	01/14/20	L		
133.					Sold (part)	04/01/20	N	A	
134.					Sold (part)	08/10/20	J	C	
135.					Sold	11/13/20	K	C	
136. -Ishare S&P 500 Growth ETF	B	Dividend	M	T	Buy (add'l)	02/10/20	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

Somers, Dale L.

Date of Report

05/21/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	03/30/20	K		
138.					Sold (part)	04/01/20	M	A	
139.					Sold (part)	04/01/20	J	A	
140.					Sold (part)	05/14/20	J	B	
141.					Buy (add'l)	07/09/20	J		
142.					Buy (add'l)	08/10/20	K		
143.					Sold (part)	11/13/20	J	C	
144.					Sold (part)	11/20/20	K	D	
145. -Principal Blue Chip I Shares		None	M	T	Buy	04/01/20	M		
146.					Sold (part)	11/12/20	J	B	
147. -Principal Equity Income Instl	C	Dividend	N	T	Buy	04/01/20	M		
148.					Sold (part)	11/12/20	J	B	
149. -Doubleline Total Return Bond Fund	A	Dividend			Buy	05/13/20	K		
150.					Sold	08/07/20	K	A	
151. Fidelity Brokerage Services LLC - Roth IRA Account (H)									See Note in Part VIII
152. -Fidelity Government Cash Reserves	A	Dividend	J	T					
153. -Baird Aggregate Bond Fund	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

Somers, Dale L.

Date of Report

05/21/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Ishares S&P Mid Cap 400 Growth ETF	A	Dividend	J	T					
155. -Ishares S&P 500 Growth ETF	A	Dividend			Buy	07/09/20	J		
156.					Sold	11/20/20	J	A	
157. -Ishares Core S&P Smallcap ETF	A	Dividend	J	T	Buy	11/20/20	J		
158. Fidelity Brokerage Services LLC - IRA Account #3 (H)									See Note in Part VIII
159. -Fidelity Government Cash Reserves	A	Dividend	J	T					
160. -Doubleline Total RT Bond Fund	A	Dividend	K	T	Buy (add'l)	01/13/20	J		
161. -The Hartford Intl Oppt Fund	A	Dividend	L	T	Sold (part)	05/13/20	J	A	
162. -Ishares Core US Aggr Bond ETF	D	Dividend	N	T	Buy (add'l)	01/14/20	J		
163.					Buy (add'l)	03/18/20	K		
164. -Ishares Inc Core MSCI Emerging Mkts	A	Dividend	K	T					
165. -Ishares S&P Midcap 400 Value	A	Dividend	J	T					
166. -Ishares S&P 500 Value ETF		None			Sold	01/14/20	L	E	
167. -Baird Aggregate Bond Fund	A	Dividend	K	T	Buy (add'l)	01/13/20	J		
168. -Pimco Total Return Fund	A	Dividend	K	T					
169. -Pimco Intl Bond (US Dollar Hedged)	B	Dividend	K	T	Buy (add'l)	01/13/20	J		
170.					Buy (add'l)	05/13/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. -Ishares Core S&P Small Cap EFT	A	Dividend	K	T	Buy (add'l)	11/20/20	J		
172. -Ishares Intl Aggregate Bond	B	Dividend	L	T	Buy (add'l)	03/18/20	J		
173. -Ishares Trust Core MSCI EAFE EFT	A	Dividend	J	T					
174. -Metropolitan West Total Return Bond	A	Dividend	J	T	Buy	01/13/20	J		
175. -Ishares TIPS Bond ETF	A	Dividend			Buy	01/14/20	K		
176.					Sold	03/18/20	K	A	
177. -Ishares S&P 500 Growth ETF	A	Dividend			Buy	07/09/20	J		
178.					Sold	11/20/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting

Somers, Dale L.

Date of Report

05/21/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

A. Item #1 BJD Somers Family LLC - I am a member, I am not an officer or board member and I have no managerial responsibilities.

B. Items listed in #6 through #114 are all assets of Fidelity Brokerage Services LLC IRA account identified in #5 above.

C. Items listed in #116 through #122 are all assets of Fidelity Brokerage Services LLC IRA account identified in #115 above.

D. Items listed in #124 through #150 are all assets of Fidelity Brokerage Services LLC non-IRA account identified in #123 above.

E. Items listed in #152 though #157 are all assets of Fidelity Brokerage Services LLC Roth IRA account identified in #151 above.

F. Items listed in #159 though #178 are all assets of Fidelity Brokerage Services LLC IRA account identified in #158 above.

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Somers, Dale L.

Date of Report

05/21/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Dale L. Somers**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544