

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sullivan, Emmet G.	2. Court or Organization U.S. District Court for the District of Columbia	3. Date of Report 08/09/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 333 Constitution Ave., NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Abramson Scholarship Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1994	D.C. Government (defined benefit pension plan)
2.	
3.	

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Name of Person Reporting

Sullivan, Emmet G.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Pension (D.C. Government)	\$67,983.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Sullivan, Emmet G.	08/09/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo	Equity Line / Rental Property, Washington, DC	K
2.			
3.			
4.			
5.			

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Wells Fargo (cash accounts)	A	Interest	M	T					
3. Rental Property I Income (Washington, DC)	E	Rent	O	W					
4. Rental Property II Income (Washington, DC)	F	Rent	P1	W					
5. Account #1 (H)									
6. Janney Sweep Account (cash)	A	Interest	J	T					
7. Abbott Laboratories (ABT)	A	Dividend			Sold	06/03/20	J	B	
8. Adobe Inc (ADBE)		None	J	T	Sold (part)	07/09/20	J	B	
9. Alphabet Inc Cl A (GOOGL)		None	J	T					
10. Amazon.com Inc (AMZN)		None	J	T	Sold (part)	07/09/20	J	C	
11. American Tower Corp (AMT)	A	Dividend	J	T	Buy	03/04/20	J		
12. Apple Inc (AAPL)	A	Dividend	J	T	Sold (part)	07/10/20	J	B	
13. Costco Wholesale Corp (COST)	A	Dividend	J	T					
14. Diamondback Energy Inc (FANG)		None			Buy	06/03/20	J		
15.					Sold	07/09/20	J		
16. D R Horton Inc (DHI)	A	Dividend	J	T	Sold	03/24/20	J		
17.					Buy	07/21/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Intel Corp (INTC)	A	Dividend			Buy	04/09/20	J		
19.					Buy (add'l)	04/27/20	J		
20.					Sold	07/09/20	J	A	
21. L3Harris Technologies Inc (LHX)	A	Dividend			Sold	06/24/20	J	A	
22. Lam Research Corp (LRCX)	A	Dividend	J	T	Buy	07/09/20	J		
23. Microsoft Corp (MSFT)	A	Dividend	J	T	Sold (part)	07/09/20	J	B	
24. NVIDIA Corp (NVDA)	A	Dividend	J	T	Buy	04/13/20	J		
25. Raytheon Technologies (RTX)	A	Dividend			Buy	04/09/20	J		
26.					Buy (add'l)	04/27/20	J		
27.					Sold	07/31/20	J		
28. Southwest Airlines (LUV)		None	J	T	Buy	09/17/20	J		
29.					Buy (add'l)	09/21/20	J		
30. Union Pacific Corp (UNP)		None	J	T	Buy	10/16/20	J		
31. United Parcel Service (UPS)		None	J	T	Buy	09/28/20	J		
32. United Rentals Inc (URI)		None			Sold	03/04/20	J		
33. Unitedhealth Group Inc (UNH)	A	Dividend	J	T					
34. Vertex Pharmaceuticals (VRTX)		None			Buy	04/27/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

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35.					Buy (add'l)	04/30/20	J		
36.					Sold	10/15/20	J		
37. Visa Inc Cl A (V)	A	Dividend	J	T					
38. Zoetis Inc Cl A (ZTS)	A	Dividend	J	T					
39. First Trust Large Cap Core Alphasdex ETF (FEX)	B	Dividend	L	T	Sold (part)	03/19/20	J		
40.					Sold (part)	03/24/20	J		
41.					Sold (part)	03/27/20	J		
42.					Sold (part)	04/09/20	J		
43.					Buy (add'l)	07/10/20	J		
44.					Buy (add'l)	07/22/20	J		
45.					Sold (part)	09/28/20	J	A	
46. First Trust Small Cap Core Alphasdex ETF (FYX)	A	Dividend	K	T	Buy	05/26/20	J		
47.					Buy (add'l)	07/22/20	J		
48. IShares Russell Mid Cap ETF (IWR)	A	Dividend			Sold (part)	03/19/20	J		
49.					Sold (part)	03/24/20	J		
50.					Sold (part)	03/27/20	J		
51.					Sold	09/28/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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52. Vanguard S&P 500 Index ETF (VOO)	B	Dividend	L	T	Buy (add'l)	02/27/20	J		
53.					Sold (part)	04/07/20	J		
54. PGIM Total Return Bond Cl Z (PDBZX)	B	Dividend	K	T	Buy (add'l)	01/09/20	K		
55.					Sold (part)	02/27/20	J	A	
56. PGIM Absolute Return Bond Cl Z (PADZX)	A	Dividend			Sold	01/09/20	K		
57. Putnam Short Duration Income CL Y (PSDYX)	A	Dividend			Sold	01/02/20	K	A	
58. Western Asset Core Bond Cl I (WATFX)	A	Dividend	K	T	Buy	01/09/20	K		
59.					Sold (part)	02/27/20	J	A	
60. Account #2 (H)									
61. First Trust Nasdaq 100 Equal Weighted Index ETF (QQEW)	A	Dividend	J	T	Buy	01/09/20	J		
62. First Trust Cloud Computing Index ETF (SKYY)	A	Dividend			Buy	04/01/20	J		
63.					Sold	07/10/20	J	B	
64. First Trust Nasdaq Bank ETF (FTXO)	A	Dividend			Sold	04/01/20	J		
65. First Trust Horizon Managed Volatility Dev Intl ETF (HDMV)	A	Dividend			Sold	07/10/20	J		
66. First Trust Cap Strength ETF (FTCS)	A	Dividend	J	T	Buy (add'l)	04/01/20	J		
67.					Sold (part)	07/10/20	J	A	
68. First Trust Consumer Discretionary Alphadex ETF (FXD)		None			Sold	01/09/20	J	A	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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69. First Trust Devel Mkts Ex US Alphadex ETF (FDT)	A	Dividend	J	T	Buy	07/10/20	J		
70. First Trust Dow Jones Internet Index ETF (FDN)		None	J	T	Buy	04/01/20	J		
71. First Trust Emerging Markets Alphadex (FEM)	A	Dividend	J	T	Buy (add'l)	01/09/20	J		
72. First Trust Europe Alphadex ETF (FEP)	A	Dividend	J	T					
73. First Trust Large Cap Value Opportunity ETF (FTA)	A	Dividend	J	T	Buy (add'l)	07/10/20	J		
74. First Trust Large Cap Growth Opportunity (FTC)	A	Dividend	K	T	Sold (part)	01/09/20	J	B	
75. First Trust Mid Cap Growth Alphadex ETF (FNY)	A	Dividend	J	T					
76. First Trust NYSE Arca Bio Tech Index (FBT)		None	J	T					
77. First Trust Technology Alphadex (FXL)	A	Dividend	J	T	Sold (part)	01/09/20	J	A	
78.					Sold	04/01/20	J	A	
79.					Buy	07/10/20	J		
80. First Trust Value Line Dividend Index ETF (FVD)	A	Dividend	J	T	Sold (part)	01/09/20	J	A	
81. AB Global Bond Advisor (ANAYX)	A	Dividend			Sold	01/09/20	J	A	
82. PGIM Total Return Bond Cl Z (PDBZX)	A	Dividend	K	T	Buy (add'l)	01/09/20	J		
83.					Sold (part)	04/02/20	J		
84. Account #3 (H)									
85. Dreyfus Govt Cash Mgmt (cash) (Y)									

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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86. Abbott Laboratories (ABT)	A	Dividend	J	T	Buy (add'l)	08/05/20	J		
87. Activision Blizzard (ATVI)		None			Buy	06/30/20	J		
88.					Buy (add'l)	09/23/20	J		
89.					Buy (add'l)	11/04/20	J		
90.					Sold	11/20/20	J	A	
91. Adidas AG Spons ADR (ADDYY)		None	J	T					
92. Adobe Inc (ADOBE)		None	K	T	Buy (add'l)	03/26/20	J		
93.					Buy (add'l)	04/22/20	J		
94.					Buy (add'l)	11/04/20	J		
95. Alibaba Group Holding Ltd Spons Ads Repstg 8 Ord Shs (BABA)		None	J	T	Sold (part)	04/22/20	J	A	
96.					Buy (add'l)	08/04/20	J		
97.					Sold (part)	11/20/20	J	A	
98. Alphabet Inc. C1 A (GOOGL)		None	K	T	Buy (add'l)	06/26/20	J		
99.					Buy (add'l)	09/23/20	J		
100. Amazon.com Inc (AMZN)		None	L	T	Buy (add'l)	08/04/20	J		
101. Apple Inc. (AAPL)	A	Dividend	L	T	Buy (add'l)	08/04/20	J		
102. Applied Materials Inc (AMAT)	A	Dividend			Buy	08/04/20	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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103.					Sold	09/17/20	J		
104. Biomarin Pharmaceutical Inc (BMRN)		None			Buy	04/14/20	J		
105.					Buy (add'l)	04/16/20	J		
106.					Sold	08/21/20	J		
107. Blackstone Group Inc Cl A (BX)	A	Dividend			Sold	03/18/20	J		
108. Carmax Inc (KMX)		None			Buy (add'l)	01/27/20	J		
109.					Sold	03/18/20	J		
110. CDW Corp (CDW)	A	Dividend			Sold	03/26/20	J		
111. Chipotle Mexican Grill Inc Cl A (GMG)		None	K	T	Buy	10/16/20	J		
112.					Buy (add'l)	11/04/20	J		
113. Comcast Corp Cl A New (CMCSA)	A	Dividend			Sold	03/25/20	J		
114. Costco Wholesale Corp (COST)	A	Dividend	J	T	Sold (part)	04/22/20	J	C	
115.					Buy (add'l)	08/05/20	J		
116. D R Horton Inc (DHI)	A	Dividend	J	T	Sold	03/24/20	J		
117.					Buy	07/09/20	J		
118.					Buy (add'l)	08/04/20	J		
119. Diamondback Energy Inc (FANG)		None			Buy	05/26/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	06/04/20	J		
121.					Buy (add'l)	06/26/20	J		
122.					Sold	07/09/20	J		
123. Equinix Inc (EQIX)	A	Dividend			Buy	04/13/20	J		
124.					Buy (add'l)	04/16/20	J		
125.					Sold	05/26/20	J		
126. Expedia Group Inc (EXPE)		None	J	T	Buy	11/20/20	J		
127.					Buy (add'l)	11/23/20	J		
128. Ferrari NV New (RACE)	A	Dividend	K	T	Buy (add'l)	06/26/20	J		
129.					Buy (add'l)	09/23/20	J		
130. Intel Corp (INTC)	A	Dividend			Buy	04/22/20	J		
131.					Buy (add'l)	06/04/20	J		
132.					Sold	08/04/20	J		
133. JPMorgan Chase & Company (JPM)	A	Dividend	J	T	Sold (part)	04/02/20	J		
134.					Buy (add'l)	06/04/20	J		
135.					Buy (add'l)	08/05/20	J		
136. L3Harris Technologies Inc (LHX)	A	Dividend			Buy (add'l)	06/04/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Sullivan, Emmet G.

Date of Report

08/09/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	06/30/20	J		
138. LAM Research Corp (LRCX)	A	Dividend	K	T	Buy (add'l)	09/23/20	J		
139. Lockheed Martin Corp (LMT)	A	Dividend			Buy	03/06/20	J		
140.					Sold	07/09/20	J		
141. Masco Corp (MAS)	A	Dividend			Buy	08/05/20	J		
142.					Sold	11/20/20	J		
143. Microsoft Corp (MSFT)	A	Dividend	K	T	Sold (part)	04/22/20	J	A	
144.					Buy (add'l)	08/05/20	J		
145. Netflix Inc (NFLX)		None			Buy	03/19/20	J		
146.					Sold	06/03/20	J	B	
147. Nike Inc (NKE)	A	Dividend	K	T	Buy	11/20/20	K		
148. NVIDIA Corp (NVDA)	A	Dividend	K	T	Buy	03/06/20	J		
149.					Sold (part)	07/09/20	J	A	
150. Northrop Grumman Corp (NOC)	A	Dividend			Sold	03/06/20	J		
151. Paypal Holdings Inc (PYPL)		None	K	T	Buy (add'l)	01/27/20	J		
152.					Sold (part)	07/09/20	J	A	
153.					Buy (add'l)	09/23/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Sullivan, Emmet G.

Date of Report

08/09/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Pioneer Natural Resources Co (PXD)	A	Dividend			Buy	06/03/20	J		
155.					Buy (add'l)	06/04/20	J		
156.					Sold	07/09/20	J		
157. Quanta Services Inc (PWR)		None	K	T	Buy	11/20/20	J		
158.					Buy (add'l)	11/23/20	J		
159. Raytheon Technologies Corp (RTX)		None			Buy	05/26/20	J		
160.					Buy (add'l)	06/04/20	J		
161.					Buy (add'l)	06/26/20	J		
162.					Sold	07/31/20	J		
163. ServiceNow Inc (NOW)		None	K	T	Buy	04/22/20	J		
164. Southwest Airlines (LUV)		None	K	T	Buy	09/17/20	J		
165.					Buy (add'l)	09/23/20	J		
166. Starbucks Corp (SBUX)	A	Dividend			Sold	04/02/20	J		
167. Texas Instruments Inc (TXN)	A	Dividend			Sold	03/06/20	J		
168. Thermo Fisher Scientific Inc (TMO)	A	Dividend	K	T					
169. Transdigm Group Inc (TDG)		None			Buy	03/10/20	J		
170.					Sold	03/18/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. United Parcel Service (UPS)	A	Dividend	K	T	Buy	09/21/20	J		
172.					Buy (add'l)	11/04/20	J		
173. United Rentals Inc (URI)		None	K	T	Sold	03/10/20	J		
174.					Buy	07/13/20	J		
175.					Buy (add'l)	08/04/20	J		
176.					Sold (part)	11/23/20	J	A	
177. United Healthcare Group Inc (UNH)	A	Dividend	K	T	Buy (add'l)	09/23/20	J		
178. Vertex Pharmaceuticals (VRTX)		None			Buy	03/10/20	J		
179.					Buy (add'l)	04/22/20	J		
180.					Buy (add'l)	08/04/20	J		
181.					Sold	10/15/20	J		
182. Visa Inc Class A (V)	A	Dividend	K	T	Buy (add'l)	08/04/20	J		
183. Walt Disney Co (DIS)	A	Dividend	K	T	Buy (add'l)	01/27/20	J		
184.					Buy (add'l)	08/05/20	J		
185.					Buy (add'l)	11/04/20	J		
186. Waste Management Inc Del (WM)	A	Dividend			Sold	04/22/20	J		
187. Zebra Technologies Corp Cl A (ZBRA)		None	K	T	Buy (add'l)	06/26/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

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S =Assessment

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E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	09/23/20	J		
189.					Sold (part)	11/23/20	J	A	
190. Zoetis Inc Cl A (ZTS)	A	Dividend	J	T	Buy (add'l)	11/04/20	J		
191. Zoom Video Communications (ZM)		None	K	T	Buy	09/02/20	J		
192.					Buy (add'l)	09/23/20	J		
193. First Trust Small Cap Core Alphasdex ETF (FYX)	A	Dividend	K	T	Buy	05/26/20	J		
194.					Buy (add'l)	06/04/20	J		
195.					Buy (add'l)	07/22/20	J		
196. iShares Russell 1000 Value ETF (IWD)	A	Dividend			Sold	04/02/20	J	A	
197. iShares Russell 1000 Growth ETF (IWF)	A	Dividend			Sold	10/16/20	K	D	
198. JPMorgan Mid Cap Value Cl I (JMV SX)		None			Sold	04/02/20	J		
199. T Rowe Price Growth Stock (PRGFX)		None			Sold	04/02/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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V =Other

C =\$2,501 - \$5,000

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E =\$15,001 - \$50,000

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Name of Person Reporting	Date of Report
Sullivan, Emmet G.	08/09/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 3: One-third interest Rental Property #1 (Washington, DC).

Part VII, line 4: One-half interest in Rental Property #2 (Washington, DC).

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Sullivan, Emmet G.

Date of Report

08/09/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Emmet G. Sullivan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544