

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Elrod, Jennifer W.	2. Court or Organization Fifth Circuit Court of Appeals	3. Date of Report 06/6/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Court of Appeals, Active Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 515 Rusk Avenue, 12th Floor Houston, TX 77002-2603		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Regents Member	Baylor University
2. Board Member	Houston Urban Debate League
3. Board Member	Garland R. Walker American Inn of Court
4. Judicial Liason to Board	Federal Bar Association, Southern District of Texas
5. Board Member	Federal Judges Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	Employee Retirement System of Texas (since 2002), no control - not vested
2. 2013	Texas County and District Retirement System (since 2002), no control - not vested
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 10/2013	Brigham Young University Law School - teaching	\$2,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Whitefence Inc., - salary
2. 2013	Allconnect, Inc - salary
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. George Washington University	2/1/13	Washington, DC	Judging Moot Court	Lodging, Travel and Meals
2. Baylor University	2/7/13-2/8/13	Waco, TX	Board Meeting	Lodging and Meals
3. Stanford University	2/24/13-2/26/13	Stanford, CA	Speaking to law students	Travel and Meals
4. Baylor University	3/26/13-3/27/13	Waco, TX	Board Meeting	Lodging and Meals
5. Federal Judges Association	4/23/13-4/24/13	Washington, DC	Board Meeting	Travel, Lodging and Meals
6. Texas Center for Legal Ethics	5/10/13	Austin, TX	Award Dinner meeting	Lodging and Meals
7. Baylor University	5/15/13-5/17/13	Waco, TX	Board Meeting	Lodging and Meals

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8.	Baylor University	7/16/13-7/19/13	Waco, TX	Board meeting	Lodging and Meals
9.	Baylor University	10/17/13-10/18/13	Waco, TX	Board meeting	Lodging and Meals
10.	Brigham Young University	10/23/13-10/25/13	Provo, UT	Law teaching	Travel, Lodging and Meals
11.	Bar Assn for the Eastern District of TX	10/31/13-11/1/13	Plano, TX	Speaking at Bar conference	Travel, Lodging and Meals
12.	Federalist Society	11/14/13-11/15/13	Washington, DC	Speaking at Lawyers Conference	Travel, Lodging and Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Dreyfus Bond Mkt Index	B	Dividend			Sold	12/26/13	K	A	
2. Fidelity Balanced Fund (X)	C	Dividend	L	T					
3. Fidelity GNMA Fund (X)	A	Dividend	J	T					
4. Fidelity Growth & Income Fund (X)	A	Dividend	K	T					
5. Fidelity Real Estate Investment (X)	A	Dividend	J	T					
6. Fidelity Short Term Bond (X)	A	Dividend	J	T					
7. Fidelity Small Cap Stock (X)	B	Dividend	K	T					
8. Fidelity Total Bond (X)	A	Dividend	J	T					
9. iShares Core S&P Total US Stock	A	Dividend	L	T	Buy	10/4/13	L		
10. iShares Core Total US Bond	A	Dividend	L	T	Buy	10/04/13	L		
11. iShares Trust MSCI Total Intl Stk	A	Dividend	L	T	Buy	10/04/13	L		
12. Janus Fund Class T (X)	A	Dividend	K	T					
13. JH Total Stock Mkt Index Fund 401k (X)	A	Int./Div.	J	T					
14. Fidelity Merger Fund (X)	A	Dividend	J	T					
15. Perkins Mid Cap Value Fund (X)	C	Dividend	K	T					
16. Pimco Short Term Admin SHS (X)	A	Dividend	J	T					
17. Pimco Total Return Admin SHS (Z)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. SCH US AGG Bond ETF		None	K	T	Buy	12/26/13	J		
19. Schwab MarketMgr International	B	Dividend	L	T					
20. Schwab Small Cap Index Fund	C	Distribution	L	T					
21. Schwab Total Stk Market	D	Distribution	O	T	Sold (part)	07/22/13	J	A	
22.					Buy (add'l)	11/11/13	N		
23.					Buy (add'l)	12/26/13	J		
24. Templeton Global Bond (X)	A	Dividend	J	T					
25. Vanguard Lifestrategy Growth	C	Dividend	M	T					
26. Vanguard College Savings Iowa 529 Age - based Savings Track A	A	Dividend	K	T					
27. Texas County and District Retirement System	A	Interest	J	T					
28. Employee Retirement System of Texas	B	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. (A) - to clarify addition of new funds, filer's [REDACTED] inherited assets in 2013

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jennifer W. Elrod**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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