

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Staton, Josephine L.	2. Court or Organization U.S. District Court, Central District of California	3. Date of Report 08/09/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 411 W. Fourth Street Santa Ana, CA 92701-4516		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Judicial Advisory Council	Association of Business and Trial Lawyers
2. Board Member	Federal Bar Association - Orange County Chapter
3. Board of Visitors	University of California, Irvine - School of Law
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Staton, Josephine L.

Date of Report

08/09/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	The Art Law Group ("ALG") (self-employed lawyer)
2. 2020	Self-employed writer
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT
Page 3 of 9

Name of Person Reporting	Date of Report
Staton, Josephine L.	08/09/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. ALG clients	Client Trust Account	K
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Staton, Josephine L.

Date of Report

08/09/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IRA #1 (H)									
2. -Ave Maria Rising Dividend Fund	B	Dividend	M	T					
3. -First Eagle Overseas Fund Cl 1	A	Dividend	M	T					
4. -First Eagle US Value Fund Cl 1	B	Dividend	M	T					
5. -Janus Balanced Fund Cl J	C	Dividend	N	T					
6. -Sterling Capital Stratton Small Cap Value Fund	B	Dividend	M	T					
7. -Janus Flexible Bond Fund CLT	A	Dividend	K	T					
8. -Charles Schwab Bank (Cash Account)		None	J	T					
9. IRA #2 (H)									
10. -Parnassus Core Equity Fund Investor SH	B	Dividend	M	T					
11. -Charles Schwab Bank (Cash Account)		None	J	T					
12. State of California Savings Plus Program (401k/457) (H)									
13. -Large Cap Fund	E	Interest	M	T					
14. -Target Date Fund	D	Interest	M	T					
15. Schwab 529 College Savings Plan Short Term Portfolio		None	J	T	Sold (part)	03/11/20	J		
16.					Sold (part)	03/16/20	J		
17.					Sold (part)	04/15/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Staton, Josephine L.

Date of Report

08/09/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	05/15/20	J		
19.					Sold (part)	12/06/20	J		
20. Schwab 529 College Savings Plan Moderately Conservative Portfolio		None	J	T	Sold (part)	03/11/20	J		
21.					Sold (part)	03/16/20	J		
22.					Sold (part)	04/15/20	J		
23.					Sold (part)	05/15/20	J		
24.					Sold (part)	12/06/20	J		
25. United Health Group	A	Dividend	L	T					
26. Charles Schwab Bank (Cash Account)		None	J	T					
27. Chase Bank (Cash Account) #1		None	J	T					
28. Chase Bank (Cash Account) #2 (X)		None	J	T					
29. American Express Bank (Cash Account)	C	Interest	O	T					
30. Rental Property #1 Orange County, CA	E	Rent	N	W					
31. IRA #3 (H)									
32. -Rental Property #2 Orange County CA	E	Rent	N	W					
33. -Custodial Cash (IRA Services Trust Co.)		None	L	T					
34. -Rental Property Phoenix AZ	D	Rent	M	W					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Staton, Josephine L.

Date of Report

08/09/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. First Republic Checking 1	A	Interest	K	T					
36. First Republic Savings 1	A	Interest	K	T					
37. First Republic Checking 2	A	Interest	K	T					
38. First Republic Savings 2	A	Interest	K	T					
39. First Republic LLC Acct	A	Interest	K	T					
40. USAA Checking 1	A	Interest	L	T					
41. USAA Checking 2	A	Interest	L	T					
42. USAA Checking 3	A	Interest	J	T					
43. USAA Checking 4	A	Interest	M	T					
44. USAA Savings 1	A	Interest	J	T					
45. USAA Savings 2	A	Interest	J	T					
46. USAA 529 College Plan (H)									
47. -USAA Age-Based 529 (18-19) Conservative Portfolio Mutual Fund	A	Int./Div.			Closed	05/15/20	M	A	
48. -Victory Capital 529 Acct (X)	A	Int./Div.	M	T	Open	05/15/20	M		
49.					Sold (part)	08/07/20	K	A	
50. USAA IRA (H)									
51. -USAA Money Market (Cash) Mutual Fund	A	Int./Div.			Closed	05/15/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. -Charles Schwab SWVXX Money Market (Cash) (X) Mutual Fund (X)	A	Int./Div.	K	T	Open	05/15/20	K		
53. USAA Brokerage (H)									
54. Fidelity Roth IRA (H)									
55. -FUSVX Fidelity Mutual Fund	A	Int./Div.	M	T					
56. Fidelity Rollover IRA (H)									
57. -FUXVX Fidelity Mutual Fund	A	Int./Div.	M	T					
58. Fidelity Indiv. Account (H)									
59. -FDRXX Fidelity Bond Fund	A	Int./Div.	J	T					
60. Fidelity Indv. Account (H)									
61. -Wells Fargo QPIQQ Mutual Funds	A	Int./Div.	J	T					
62. Limelight Bank CD	C	Interest			Closed	11/03/20	M		
63. Marcus Bank CD	C	Interest			Closed	05/06/20	M		
64. Citizens Access Bank CD	C	Interest			Closed	08/14/20	M		
65. American Express CD	C	Interest			Closed	11/03/20	M		
66. Marcus Bank Savings (X)	A	Interest	N	T	Open	05/06/20	N		
67. Citizens Access Bank Savings (X)	A	Interest	N	T	Open	08/14/20	N		
68.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Staton, Josephine L.

Date of Report

08/09/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII lines 47 and 48 (closed/opened accounts) represent a transfer of assets from USAA Age-Based 529 (18-19) Conservative Portfolio Mutual Fund to the Victory Capital 529 Acct (X) on May 15, 2020.

Section VII lines 51 and 52 (closed/opened accounts) represent a transfer of assets from USAA Money Market (Cash) Mutual Fund to the Charles Schwab SWVXX Money Market (Cash) Mutual Fund (X) on May 15, 2020.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Staton, Josephine L.

Date of Report

08/09/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Josephine L. Staton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544