

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Thorne, Deborah L.	2. Court or Organization Bankruptcy Court for the Northern District of Illinois	3. Date of Report 08/22/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 1/1/2020 to 12/31/2020
7. Chambers or Office Address 219 South Dearborn Street Room 668 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member Board of Directors - President Elect (10/20 - Present)	National Conference of Bankruptcy Judges
2. Board and Executive Committee Member (1/20 - 6/20)	Women Employed
3. Board of Trustees (1/20 - 6/20)	Am Yisrael Congregation of the Northshore
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 08/25/2020	West Publishing -- Royalty	\$1,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	State of Illinois - Wages (Circuit Court of Cook County Judge)
2. 2020	Cook County - Wages (Circuit Court of Cook County Judge)
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	1/5/2020 - 1/9/2020	San Francisco, California	To attend MPI Conference	airfare/hotel/other transportation and meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Signature Bank	Mortgage on Investment Property [REDACTED] LLC	O
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Israel Bonds	A	Interest	J	T					
2. ██████████ LLC, Cook County, Illinois	E	Rent	N	W					
3. Focused Retail Prop LLC		None	L	W					
4.									
5. IRA R/O									
6. AB Large Cap Growth Fund (APGYX)		None			Sold	03/23/20	L		
7. ALPS ETF TR ALERIAN MLP (AMLP)	D	Dividend	L	T	Sold (part)	05/18/20	M		
8. DBX ETF Trust (DBEF)	B	Dividend	L	T					
9.					Buy (add'l)	02/19/20	L		
10. Beal Bk USA Las Vegas Nev CD		None			Buy	02/19/20	L		
11.					Sold	08/26/20	L		
12. Blackrock FDS V (BRHYX)	C	Dividend	L	T					
13. First Trust Prefered Securities and Income ETF (FPE)	D	Dividend	M	T					
14. Invesco QQQ TR (QQQ)	B	Dividend	M	T					
15. IShares MSCI Emerging (EEM)	A	Dividend	L	T	Buy	02/19/20	L		
16. Nuveen Floating Rate Income Opportunity Fund (JRO)	C	Dividend	L	T					
17. PIMCO Enhanced Short Maturity (MINT)	B	Dividend	M	T	Buy (add'l)	05/06/20	L		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. PIMCO Income FD (PIMIX)	C	Dividend	M	T					
19. Safra National Bank New York C/D	B	Int./Div.			Redeemed	02/13/20	L		
20. Select Sector SPDR Trust - The Healthcare Select Sector (XLV)	B	Dividend	M	T					
21. Schwab Stragic TR (SCHD)	B	Dividend	L	T					
22. SPDR Dow Jones INDL Average ETF TR Unit Ser. 1 (DIA)	C	Dividend	M	T					
23. SPDR S&P 500 ETF Trust (SPY)	C	Dividend	M	T					
24. Vanguard Short Term Bond (BSV)	B	Dividend	M	T	Buy	09/17/20	M		
25. Vanguard Specialized Funds Vanguard Dividend Appreciation ETF (VIG)	A	Dividend	K	T	Buy	12/09/20	K		
26. Vanguard Index Funds (VYM)	C	Dividend	L	T					
27. Vanguard Sector Index Funds REIT ETF (VNQ)	C	Dividend	L	T					
28. Vanguard Index Funds Mid-cap Value ETF (VOE)	C	Dividend	M	T					
29. Vanguard Intl Equity Index FD ETF (VGK)	C	Dividend	M	T					
30. Vanguard Sector Index Funds -- Energy ETF (VDE)	A	Dividend	K	T	Sold (part)	02/19/20	K		
31. WCM Focused International	A	Dividend	K	T	Buy	12/09/20	K		
32. JPMorgan Deposit Account		None	L	T					
33. EXELON Generation Company LLC					Redeemed	01/15/20	K		
34. Walgreens Boots Aliance Inc. SR Unsecured	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. OTHER									
36. Northwestern Mutual Extra Ordinary Life (214)	C	Dividend	M	T					
37. Northwestern Mutual Extra Ordinary Life (246)	D	Dividend	N	T					
38. Northwestern Ord Life- Former EOL (829)	C	Dividend	M	T					
39. Northwestern Whole Life	D	Dividend	M	T					
40. Signature Bank (Private Stock)		None	L	U					
41. Millennium Trust Company, LLC FBO Deborah Thorne IRA									
42. -- Focus Real Estate Investment		None	L	U					
43. Inherited IRA (WAT/DLT)									
44. Vanguard Value ETF (VTV)	A	Dividend	J	T					
45. IShares Barklays Aggregate Bond Fund (AGG)	A	Dividend	J	T					
46. Vanguard Total Stock Market ETF (VTI)	A	Dividend	J	T					
47. Vanguard Mid-Cap Value ETF (VOE)	A	Dividend	J	T					
48. Vanguard Total International Bond ETF (BNDX)	A	Dividend	J	T					
49. Vanguard SH.T.Inf.P.S.I ETF (VTIP)	A	Dividend	J	T					
50. Vanguard Small-Cap. Value (VBR)	A	Dividend	J	T					
51. VANGUARD TAX-MA/FTSE DEVELOPED (VEA)	A	Dividend	J	T					

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52. Vanguard Emerging Markets ETF (VWO)	A	Dividend	J	T					
53. IShares Emerging Markets USD Bond ETF (EMB)	A	Dividend	J	T					
54. IShares US Total Bond Market ETF (BND)	A	Dividend	J	T					
55.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 2: Real property owned by LLC is located in Chicago, Illinois

Part VII, Line 39, Bank of India. The 2018 entry was incorrect as the CD with Bank of India was purchased on 2/23/18 and not sold. It was redeemed on 2/27/19 as reflected on the 2019 report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Deborah L. Thorne**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544