

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tomlinson, A. Kathleen	2. Court or Organization Eastern District of New York	3. Date of Report 05/03/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Alphone D'Amato US Courthouse 914 Federal Plaza Central Islip, NY 11722		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. American Express FSB Mortgage	Shared mortgage on [REDACTED] home, Livingston, NJ	J
2. Citizens First Bank	Mortgage on Rental Property, The Villages, Florida (Pt. VII, line 10)	L
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts (cash equivalent)	A	Interest	L	T					
2. IBM Stock	B	Dividend	K	T					
3. Talcott Resolution Select Dimen Var Ann. (formerly Hartford) (H)									
4. - Invesco V.I. Govt MM Fd		None	K	T					
5. - Invesco V.I. GrowthandInc Fd		None	L	T					
6. Prudential Financial (common stock)	A	Dividend	J	T					
7. Allianz Vision New York Qualified IRA (H)									
8. -- AZL MVP Growth Index Strategy - RMD	E	Dividend	O	T	Sold (part)	01/16/20	J		
9.					Sold (part)	04/16/20	J		
10.					Sold (part)	06/16/20	J		
11.					Sold (part)	10/16/20	J		
12. Rental Prop: The Villages, FL (7/08 \$290,000)	D	Rent	N	R					
13. Avantax Acct #1 (formerly 1st Global Acct #1) (ECX) (H)									
14. - American Amcap Fund Class F2 (AMCFX) (formerly AMPFX)	D	Dividend	M	T					
15. - T Rowe Price Equity Income	A	Dividend	K	T					
16. - T Rowe Price NY Tax Free Bond	D	Interest	M	T					
17. - ishares Tr Devppty ETF	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. - DFA Commod Strategy Port Instl	A	Dividend	J	T					
19. - DFA Emerging Mks Core Eq	A	Dividend	K	T					
20. - DFA US Large Cap Value	B	Dividend	L	T					
21. - ECX Cash Balance	A	Int./Div.	M	T					
22. Avantax Acct #2 (formerly 1st Global IRA Acct #2) (EZY) (H):									
23. - DFA Commod Strategy Port Instl					Sold	01/03/20	K		
24. - DFA Emerging Mrkts Core Eq		None	K	T	Sold (part)	01/03/20	J		
25. - DFA US Large Cap Value					Sold	01/03/20	J		
26.		None	L	T	Buy	03/11/20	J		
27. - DFA Int'l Small Cap Value					Sold	01/03/20	K		
28. - DFA Investment Grade Portfolio Instl					Sold (part)	01/03/20	J		
29.					Sold	03/11/20	K		
30. - DFA International Core Equity					Sold	01/03/20	M		
31. - DFA Real Estate Sec Prtf Instl		None	K	T	Buy (add'l)	01/03/20	J		
32. - DFA US Large Company Portfolio					Sold	01/03/20	L		
33. - DFA US Small Cap Portfolio Instl CL					Sold	01/03/20	K		
34. - DFA US Targeted Value Prtf Instl					Sold	01/03/20	J		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

35.		None	L	T	Buy	03/11/20	K		
36.	- DFA Int'l LR Cap GR Port Instl				Buy	01/03/20	K		
37.	- DFA US Small Cap Growth				Buy	01/03/20	K		
38.	- DFA U.S. Large Cap Growth				Buy	01/03/20	L		
39.	- DFA Interm Extended Qual Port Instl	None	L	T	Buy	01/03/20	L		
40.	- DFA Intl Small Company Port	None	K	T	Buy	01/03/20	K		
41.	- DFA Intl Value Prtf Instl	None	L	T	Buy	01/03/20	K		
42.					Buy (add'l)	03/11/20	J		
43.	Avantax Acct #3 (formerly 1st Global Account # 3) (EBU) (H)								
44.	- Inpoint Commercial REIT	C	Int./Div.	L	T				
45.	- United States Treas. zero coupon	A	Int./Div.		Buy	04/14/20	L		
46.					Matured	07/14/20	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Lines 13, 22 and 43 reflect the change in name of the accounts based on First Gloal having been taken over by Avantax Investment Services.
2. Lines 8 - 11 reflect the Required Minimum Distribution made from the Allianz Vision Account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ A. Kathleen Tomlinson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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