

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) TEILBORG, JAMES A.	2. Court or Organization U. S. DISTRICT COURT	3. Date of Report 05/06/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 401 WEST WASHINGTON STREET SUITE 523-SPC 51 PHOENIX, AZ 85003-2154		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. DIRECTOR AND VICE PRESIDENT	CLAREMONT HOMEOWNERS ASSOCIATION
2. DIRECTOR	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1997	METROPOLITAN LIFE # 1 (STRUCTURED SETTLEMENT - COMPENSATION FOR PRIOR SERVICES)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	METROPOLITAN LIFE (STRUCTURED SETTLEMENT - COMPENSATION FOR PRIOR SERVICES)	\$13,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. LIBERTY UNIVERSITY	SEPTEMBER 25-27, 2020	LYNCHBERG, VIRGINIA	PARTICIPATE IN MOOT COURT FINALS	HOTEL AND PLANE FARE
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. ++++ (2000, \$79,000.)		None	L	R					
2. (H) PACIFIC LIFE VARIABLE UNIVERSAL LIFE									
3. ---FIXED ACCOUNT-PACIFIC LIFE		None	M	T					
4. THERMOSURGERY, INC.		None	J	T					
5. (H) NATIONWIDE FLEXIBLE VARIABLE UNIVERSAL LIFE POLICY									
6. --- NW NVIT MD CAP INDEX 1 (FORMERLY NVIT MID CAP INDEX 1)		None	K	T					
7. --- BNY MEL VIF APP (NAME CHANGE FROM DREY VIF APPRECIATION PT)		None	K	T					
8. --- INVESCO OPP VI DISC MDCP (FORMERLY OPP MIDCAP/VA NSS)		None	J	T					
9. ---INVESCO OPP VI DISC MD CP (FORMERLY OPP MS ST/VA NSS)		None	K	T					
10. --- JnsHndrsn VIT OVRSEAS SVC (FORMERY JNS ASP OVERSEAS SERVICE		None	J	T					
11. --- NW NVIT WF DISC1 (FORMERLY NVIT MULT-MGR MID CAP GROWTH)		None	J	T					
12. (H) EQUITABLE LIFE POLICY									
13. --- EQ/ INTERMEDIATE GOVERNMENT BOND		None	J	T					
14. --- EQ/ BLACK ROCK BASIC VALUE EQUITY		None	K	T					
15. --- EQ/ MID CAP INDEX		None	K	T					
16. --- EQ/LARGE CAP CORE ,MGD VOL (FORMERLY EQ/LARGE CAP CORE PLUS)		None	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. --EQ/LARGE CAP GROWTH MGD VOL (-FORMERLY AXA LARGE CAP GROWTH)		None	K	T					
18. ---EQ/INTL CORE MNGD VOLAT (FORMERLY AXA INTL INTERNATIONAL CORE PLUS		None	J	T					
19. ---CHARTER SMALL CAP VALUE		None	J	T					
20. [REDACTED]		None	L	U					
21. JOHN HANCOCK FREEDOM 529, PORTFOLIO 2017-2020A, (NO CONTROL)	A	Int./Div.	K	T					
22. JOHN HANCOCK FREEDOM 529, PORTFOLIO 2017-2020A, (NO CONTROL)	A	Int./Div.			Redeemed	07/01/20	J	C	
23. JOHN HANCOCK FREEDOM 529, PORTFOLIO 2021-20524A (NO CONTROL)	A	Int./Div.	K	T	Redeemed (part)	07/01/20	J	C	
24. NUVEEN MUNICIPAL VALUE FUND	A	Dividend	J	T					
25. (H) LINCOLN NATIONAL AMERICAN LEGACY III VIEW 14L									
26. ---AF BL CHP INCOME & GROWTH		None	L	T					
27. ---AF GROWTH		None	M	T					
28. ---AF GRWTH-INC		None	L	T					
29. ---AF GLBL SM CAP		None	K	T					
30. ---AF INT'L		None	L	T					
31. --- AF NEW WORLD		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
32. --- GLOBAL GROWTH-INCOME		None	K	T					
33. ---AF GLOBAL GROWTH		None	L	T					
34. ---AF BOND		None	K	T					
35. --- GLOBAL BOND		None	K	T					
36. --- GOV'T/AAA SECURITIES		None	K	T					
37. --- HIGH INCOME BOND		None	J	T					
38. AXA EQUITABLE WHOLE LIFE INSURANCE	A	Int./Div.	J	T					
39. METROPOLITAN TOWER LIFE	A	Int./Div.	J	T					
40. BANK OF AMERICA NA, RASP	A	Int./Div.	K	T					
41. ISHARES MBS ETF	B	Int./Div.	L	T	Buy (add'l)	01/18/20	K		
42.					Sold (part)	03/16/20	J	A	
43.					Sold (part)	03/24/20	J		
44.					Buy (add'l)	08/14/20	J		
45. (H) PRUDENTIAL RETIREMENT B SERIES ANNUITY									
46. ---AST ACADEMIC STRATEGIES ASSET ALLOCATION		None	L	T					
47. ---AST GOLDMAN SACHS MULTI- ASSET		None	L	T					
48. ---AST BLACKROCK GLOBAL STRATEGIES PORT.		None	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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49. ---AST PRUDENTIAL GROWTH ALLOCATION		None	L	T					
50. ISHARES IBOXX \$ INV GRADE CORP BD FD	A	Dividend	K	T	Sold (part)	01/16/20	J	A	
51.					Buy (add'l)	03/24/20	J		
52.					Sold (part)	03/26/20	J	A	
53.					Sold (part)	04/16/20	J	A	
54.					Buy (add'l)	08/14/20	J		
55. VANGUARD INTERMEDIATE TERM BOND ETF	A	Dividend	K	T	Sold (part)	01/16/20	K		
56.					Sold (part)	03/16/20	J	A	
57.					Sold (part)	03/16/20	K	A	
58.					Sold (part)	03/24/20	J	A	
59.					Buy (add'l)	04/16/20	J		
60.					Buy (add'l)	08/14/20	J		
61. INVESCO EMERGING MARKET SOVEREIGN	A	Dividend	J	T	Buy (add'l)	01/16/20	J		
62. ISHARES 3-7 YEAR TREASURY BOND ETF	A	Dividend			Sold	01/15/20	K	D	
63. ++++ [REDACTED] [REDACTED] (2015, \$55,000.)		None	L	R					
64. VANGUARD GROWTH ETF	B	Dividend	M	T	Buy (add'l)	04/16/20	K		

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65.					Sold (part)	08/14/20	L	E	
66. ISHARES INC CORE MSCI EMERG MKTS ETF	A	Dividend	K	T	Buy (add'l)	01/16/20	J		
67.					Buy (add'l)	03/24/20	J		
68.					Sold (part)	04/16/20	K		
69.					Sold (part)	08/14/20	J	A	
70. VANGUARD VALUE ETF	D	Dividend	M	T	Sold (part)	01/15/20	K	D	
71.					Buy (add'l)	03/24/20	K		
72.					Buy (add'l)	08/14/20	J		
73. INVESCO PREFERRED ETF	A	Dividend	J	T					
74. ISHARES TIPS BOND ETF	A	Dividend	K	T	Buy (add'l)	08/14/20	J		
75. VANGUARD SMALL CAP VALUE ETF	A	Dividend	K	T	Buy (add'l)	01/16/20	J		
76.					Buy (add'l)	03/24/20	J		
77.					Sold (part)	08/14/20	J		
78. VANGUARD SMALL CAP GROWTH ETF	A	Dividend	K	T	Buy (add'l)	01/16/20	K		
79.					Buy (add'l)	03/24/20	J		
80.					Buy (add'l)	03/24/20	J		
81.					Sold (part)	08/14/20	J	B	

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
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(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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82. VANECK VECTORS. JPMORGAN EM LOCAL CURR CCY		None			Sold	01/15/20	J	A	
83. ISHARES IBOXX HIGH YIELD CORP BD		None			Sold	01/15/20	J	A	
84. ISHARES TR CORE MSCI EAF	A	Dividend	M	T	Buy (add'l)	01/16/20	K		
85.					Buy (add'l)	03/24/20	J		
86.					Sold (part)	04/16/20	J		
87.					Buy (add'l)	08/14/20	K		
88. MERRILL LYNCH CASH ACCOUNT		None			Merged (with line 111)	08/21/20	M		
89. VANGUARD SHORT-TERM CORP BOND	B	Dividend	K	T	Sold (part)	01/15/20	K	B	
90.					Sold (part)	03/16/20	J	A	
91.					Buy (add'l)	04/16/20	J		
92. VANGUARD INTERMEDIATE-TERM CORPORATE BOND FD	A	Dividend	K	T					
93.									
94. XTRACKERS USE HIGH YIELD CORP BOND ETF	A	Dividend	J	T					
95. BLACKROCK LIQUIDITY FUND T FD	A	Dividend	K	T					
96. ISHARES 20+ YEAR	A	Dividend	J	T	Buy	01/16/20	K		
97.					Sold (part)	03/17/20	J	A	
98.					Sold (part)	03/18/20	J	A	

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99.					Buy (add'l)	08/14/20	J		
100. SCHWAB SHORT TERM U.S.TREASURY	A	Dividend	J	T	Buy	01/16/20	J		
101.					Sold (part)	03/16/20	J	A	
102.					Sold (part)	03/24/20	J	A	
103.					Buy (add'l)	04/16/20	J		
104. VANECK VECTORS FALLEN ANGEL	A	Dividend	J	T	Buy	01/16/20	J		
105. SPDR BLOOMBERG BARCLAYS	A	Dividend	K	T	Buy	03/16/20	J		
106.					Buy (add'l)	03/18/20	J		
107.					Buy (add'l)	03/19/20	J		
108.					Buy (add'l)	03/20/20	J		
109.					Buy (add'l)	04/16/20	J		
110.					Buy (add'l)	08/14/20	J		
111. (H) INVESTMENT ACCOUNT # 2									
112. - ML BANK DEPOSIT PROGRAM	A	Interest	J	T	Open	08/21/20	M		
113. - BLACKROCK LIQUIDITY FUND T FUND INSTL CL	A	Dividend	J	T	Buy	10/22/20	J		
114. - INVESCO EMERGING MARKETS SOVEREIGN DET ETF	A	Dividend	J	T	Buy	10/22/20	J		
115. - INVESCO PREFERRED ETF	A	Dividend	J	T	Buy	10/22/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
116. - ISHARES 20+ YEARS TREASURY BOND ETF	A	Dividend	J	T	Buy	10/22/20	J		
117. - ISHARES TIPS BOND ETF	A	Dividend	J	T	Buy	10/22/20	J		
118. - ISHARES MBS ETF	A	Dividend	K	T	Buy	10/22/20	K		
119. - ISHARES INC CORE MSCI EMERGING MKTS ETF	A	Dividend	J	T	Buy	10/22/20	J		
120. - ISHARES TR CORE MSCI EAF EFT	A	Dividend	K	T	Buy	10/22/20	K		
121. - SCHWAB SHORT-TERM U.S. TREASURY ETF	A	Dividend	J	T	Buy	10/22/20	J		
122. - SPDR BLOOMBERG BARCLAYS 1-3 MNTH T BILL ETF	A	Dividend	J	T	Buy	10/22/20	J		
123. - VANECK VECTORS FALLEN ANGEL HIGH YIELD B	A	Dividend	J	T	Buy	10/22/20	J		
124. - VANGUARD SMALL CAP VALUE ETF	A	Dividend	J	T	Buy	10/22/20	J		
125. - VANGUARD SMALL CAP GROWTH ETF	A	Dividend	J	T	Buy	10/22/20	J		
126. - VANGUARD VALUE ETF	A	Dividend	K	T	Buy	10/22/20	K		
127. - VANGUARD GROWTH ETF	A	Dividend	K	T	Buy	10/22/20	K		
128. - VANGUARD INTERMDIATE-TERM BOND ETF	A	Dividend	J	T	Buy	10/22/20	J		
129. - VANGUARD SHORT-TERM CORPORATE BOND	A	Dividend	J	T	Buy	10/22/20	J		
130. - VANGUARD TOTAL INTERNATIONAL BOND ETF	A	Dividend	J	T	Buy	10/22/20	J		
131. - XTRACKERS USD HIGH YIELD CORPORATE BOND ETF	A	Dividend	J	T	Buy	10/22/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

TEILBORG, JAMES A.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JAMES A. TEILBORG

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544