

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) TRAUGER, ALETA A.	2. Court or Organization U.S. DISTRICT COURT (MD TENN)	3. Date of Report 10/14/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 825 U.S. Courthouse 801 Broadway Nashville, Tennessee 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Income from law firm partnership - [REDACTED] is attorney partner in Trauger & Tuke
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Pinnacle Bank	Davidson County, Nashville, TN 37212	P1
2. Pinnacle Bank	HELOC	O
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. The Equitable A.B.A. Group IRA									
2. - AXA Moderate Alloc.Portfolio		None			Distributed	09/14/20	K		
3. - EQ Money Market		None			Distributed	09/14/20	J		
4. - Multimanager Aggressive Equity		None			Distributed	09/14/20	K		
5. - EQ/Common Stock Index		None			Distributed	09/14/20	M		
6. - EQ/International Equity Index		None			Distributed	09/14/20	J		
7. Charles Schwab & Co IRA					Open				
8. - Blackrock Short Maturity ETF	A	Dividend	K	T	Buy	12/07/20	K		
9. - Invesco S&P 500 Equal ETF	A	Dividend	K	T	Buy	12/07/20	K		
10. - Schwab Short Term US Treasury ETF	A	Dividend	J	T	Buy	12/07/20	K		
11. - SPDR S&P 500 ETF	A	Dividend	M	T	Buy	10/26/20	L		
12. - SPDR S&P 500 ETF					Buy (add'l)	12/07/20	L		
13. - Vanguard Growth ETF	A	Dividend	L	T	Buy	12/07/20	K		
14. - Vanguard Mid Cap ETF	A	Dividend	K	T	Buy	12/07/20	K		
15. - Vanguard Small Cap ETF	A	Dividend	K	T	Buy	10/26/20	K		
16. - Vanguard Small Cap ETF					Sold (part)	12/07/20	K	A	
17. - Vanguard Value ETF	A	Dividend	K	T	Buy	12/07/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. - Baird Aggregate Bond Fund	A	Dividend	M	T	Buy	10/26/20	K		
19. - Baird Aggregate Bond Fund					Buy (add'l)	12/07/20	L		
20. - Blackrock Strategic Income Fund	A	Dividend	K	T	Buy	12/07/20	K		
21. - Brown Advisory Mortgage Securities	A	Dividend	K	T	Buy	12/08/20	K		
22. - Vanguard High Yield Corporate	A	Dividend	K	T	Buy	10/26/20	K		
23. - American Funds Europacific	A	Dividend	L	T	Buy	12/07/20	L		
24. - Baillie Gifford Emerging Markets	A	Dividend	K	T	Buy	12/07/20	K		
25. - First Eagle Global	A	Dividend	K	T	Buy	12/07/20	K		
26. - Jensen Quality Growth	B	Dividend	K	T	Buy	10/26/20	K		
27. - Matthews Asia Dividend	A	Dividend	K	T	Buy	10/26/20	K		
28. - Primecap Odyssey Aggressive Growth	B	Dividend	K	T	Buy	12/07/20	K		
29. Charles Schwab & Co Taxable Account					Open				
30. - Parnassus Mid Cap Growth	A	Dividend	M	T					
31. - Victory RS Small Cap Growth	F	Dividend	O	T					
32. Nelson's Green Brier Distillery, LLC		None			Distributed	08/11/20	L		
33. Moore County, North Carolina timber land	B	Rent	N	W					
34. Guilford County, North Carolina farmland	C	Rent	O	W					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold (part)	12/31/20	J		
36. Fillmore Co., Nebr farmland	D	Rent	N	W					
37. Cash surr. value NW Life policy	B	Dividend	M	T					
38. Cash surr. value of 2 NW Life policies	D	Dividend	N	T					
39. Hirtle Callahan Inv [REDACTED] SD									
40. - Deutsche Govt Cash		Dividend	K	T					
41. - Exxon Mobil Corp	B	Dividend	K	T					
42. - Bank of America Corp	A	Dividend	J	T					
43. - Lincoln National Corp	A	Dividend	K	T					
44. - MGT Holdings Inv Inc		None	J	T					
45. Hirtle Callaghan Inv [REDACTED]									
46. - Duetsche Govt Cash	A	Int./Div.	J	T					
47. - HCCT Intermed.Muni Bond II	A	Dividend	K	T	Buy (add'l)	04/17/20	J		
48. - HCCT Intermed. Muni Bond	A	Dividend	L	T	Buy (add'l)	04/17/20	K		
49. - HCCT Commodities	A	Dividend	J	T	Sold (part)	03/26/20	J		
50. - HCCT Emerging Mkts.	A	Dividend	J	T					
51. - HCCT Large Cap Growth	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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52. - HCCT International	A	Dividend	M	T					
53. - HCCT Small Cap	A	Dividend	J	T					
54. - HCCT Large Cap Value	A	Dividend	L	T					
55. - HCCT Value Equity	A	Dividend	L	T					
56. - iShares MSCI Emerging Mkts ETF	A	Dividend	K	T					
57. - iShares MSCI EAFE ETF	A	Dividend	J	T					
58. - SPDR S&P 500 TR Unit ETF	A	Dividend	K	T	Buy (add'l)	03/16/20	J		
59.					Buy (add'l)	03/26/20	J		
60.					Buy (add'l)	04/17/20	J		
61. - Vanguard FTSE Dev. Mkt. ETF	A	Dividend	J	T	Sold (part)	04/17/20	J		
62. - Vanguard FTSE Emerging Mkt. ETF	A	Dividend	K	T	Sold (part)	04/17/20	J		
63. Hirtle Callahan Inv ■■■									
64. - Deutsche Govt Cash	A	Dividend	J	T					
65. - Providence Service Corp.		None	O	T	Sold (part)	12/04/20	N	G	
66. - Providence Service Corp.					Donated (part)	12/18/20	L		
67. Hirtle Callahan Inv ■■■									
68. - Deutsche Govt Cash	A	Dividend	J	T	Distributed (part)	07/14/20	L		

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69. - HCCT Intermed.Muni Bond II	A	Dividend	L	T					
70. - HCCT Intermed. Muni Bond	A	Dividend	M	T					
71. - HCCT Commodities	A	Dividend	K	T	Sold (part)	03/26/20	K		
72. - HCCT Emerging Mkts.	A	Dividend	J	T					
73. - HCCT Large Cap Growth	C	Dividend	N	T	Sold (part)	03/16/20	J		
74. - HCCT International	D	Dividend	N	T					
75. - HCCT Small Cap	A	Dividend	K	T	Sold (part)	03/16/20	J		
76. - HCCT Large Cap Value	C	Dividend	M	T	Sold (part)	03/16/20	J		
77. - iShares MSCI Emerging Mkts ETF	B	Dividend	M	T					
78. - iShares MSCI EAFE ETF	B	Dividend	L	T					
79. - SPDR S&P 500 TR Unit ETF	B	Dividend	M	T	Buy (add'l)	03/16/20	J		
80.					Buy (add'l)	03/26/20	K		
81.					Buy (add'l)	04/17/20	K		
82. - Vanguard FTSE Dev. Mkt. ETF	B	Dividend	L	T	Sold (part)	04/17/20	K		
83. - Vanguard FTSE Emerging Mkt. ETF	B	Dividend	L	T	Sold (part)	04/17/20	J		
84. Hirtle Callahan ██████ IRA									
85. - Deutsche Govt Cash	A	Dividend	J	T					

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86. - HCCT Fixed Income Opportunity	A	Dividend	J	T					
87. - HCCT Core Fixed Income	A	Dividend	J	T	Sold (part)	03/06/20	J	A	
88. - iShares TR 1-5 yr Inv Grade Bond ETF	A	Dividend	J	T					
89. - SPDR Series Trst Port Short ETF	A	Dividend	J	T					
90. - Vanguard Short Term Corp. Bond ETF	A	Dividend	J	T					
91. - HCCT Emerging Mkts.	A	Dividend	J	T	Sold (part)	04/16/20	J		
92. - HCCT International	A	Dividend	J	T	Buy (add'l)	12/11/20	J		
93. - HCCT Institutional Grwth Equity	A	Dividend	K	T	Sold (part)	03/06/20	J	A	
94.					Buy (add'l)	04/16/20	J		
95.					Sold (part)	12/11/20	J	A	
96.					Buy (add'l)	05/04/20	J		
97. - HCCT Inst Value Equity	A	Dividend			Sold	05/04/20	J		
98. - HCCT Real Estate	A	Dividend			Sold	05/04/20	J		
99. - HCCT Institutional Small Cap	A	Dividend			Sold	05/04/20	J		
100. Profit Sharing Plan - Divers. Trust	E	Dividend			Distributed	10/05/20	P1		
101. Diversified Trust - IRA Rollover									
102. - Diversified Trust - Cash	A	Int./Div.	J	T	Open	10/05/20	J		

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103. - AQR Managed Futures Strategy Fund	B	Dividend	K	T	Buy	10/12/20	K		
104. - Causeway International Opps	B	Dividend	M	T	Buy	10/12/20	M		
105. - Eaton Vance Global Macro ABS	B	Dividend	K	T	Buy	10/12/20	K		
106. - PIMCO Low Duration II Instl	A	Dividend	K	T	Buy	10/12/20	K		
107. - PIMCO Multi-Strategy Alt	A	Dividend	K	T	Buy	10/12/20	K		
108. - PIMCO FDS PAC Inv Total Return	D	Dividend	N	T	Buy	10/13/20	N		
109. - SPDR S&P 500 ETF		None	O	T	Buy	10/12/20	N		
110.					Buy (add'l)	10/13/20	N		
111. - Touchstone Total Return	B	Dividend	N	T	Buy	10/13/20	N		
112. - Vanguard Extended Mkt ETF	B	Dividend	M	T	Buy	10/12/20	M		
113.					Buy (add'l)	10/13/20	K		
114. - Vanguard FTSE All World	B	Dividend	M	T	Buy	10/12/20	L		
115.					Buy (add'l)	10/13/20	L		
116. - Vanguard Mkt Neutral Fd	A	Dividend	K	T	Buy	10/12/20	K		
117. - Virtus Foreign Opportunities	A	Dividend	L	T	Buy	10/12/20	L		
118. - Western Asset Macro Opp	A	Dividend	K	T	Buy	10/12/20	K		
119. Edward Jones XXXX Inh IRA									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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TRAUGER, ALETA A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. - Amer. Funs - Invest. Co. of Amer.	A	Dividend	L	T					
121. - Amer. Funds - Amer. Mut. A.	A	Dividend	L	T					
122. - Franklin Dynatech	A	Dividend	K	T	Buy	10/02/20	J		
123. - Franklin Income	A	Dividend	K	T					
124. - Franklin Mutual Shares	A	Dividend	K	T					
125. - Franklin Rising Dividends	A	Dividend	K	T					
126. - Franklin Total Return	A	Dividend	K	T	Buy	10/02/20	K		
127. - Franklin Templeton Investments - Mut. Global Discovery A	A	Dividend			Sold	10/02/20	J	B	
128. Franklin Templeton Investments - Global Bond A	A	Dividend			Sold	10/02/20	J	B	
129. Edward Jones Indv TOD									
130. - money mkt acct	A	Dividend	J	T					
131. - Amer. Balanced Fund	A	Dividend	L	T					
132. - Cap. Income Bldr. Fund	B	Dividend	L	T					
133. - Cap. World Growth & Income Fund	B	Dividend	M	T					
134. - Amer. Funds-Fundamental Investors	B	Dividend	M	T					
135. - Growth Fund of Amer.	A	Dividend	L	T					
136. - Income Fund of Amer.	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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Name of Person Reporting

TRAUGER, ALETA A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - New Perspective Fund	A	Dividend	M	T					
138. Edward Jones [REDACTED] Adv Solutions Acct									
139. - Amer. Funds - Fundamental Investors	A	Dividend	K	T	Sold (part)	03/27/20	J	A	
140. - Amer. Intl Growth & Income	A	Dividend	L	T	Sold (part)	03/27/20	J		
141. - Artisan-Internat. Value Instl.	A	Dividend	K	T	Sold (part)	05/28/20	K	B	
142. - BridgeBuilder-Core Bond	A	Dividend	K	T	Sold (part)	03/27/20	J	A	
143.					Sold (part)	05/28/20	J	A	
144. - Bridge Builder Core Plus Bond	B	Dividend	K	T	Sold (part)	03/27/20	J	A	
145. - BridgeBuilder-Internat. Equity	B	Dividend	L	T	Sold (part)	03/27/20	J		
146. - BridgeBuilder-Large Cap Growth	A	Dividend	L	T	Sold (part)	03/27/20	J	B	
147.					Buy (add'l)	05/28/20	J		
148.					Buy (add'l)	05/29/20	K		
149. - BridgeBuilder - Large Value	A	Dividend	K	T	Buy (add'l)	05/29/20	K		
150. - BridgeBuilder-Small/Mid-Cap Growth	A	Dividend	L	T					
151. - BridgeBuilder-Small/Mid-Cap Value	A	Dividend	K	T					
152. - Delaware Funds by Macquarie-Small Cap Value Instl.	A	Dividend	J	T	Buy (add'l)	05/28/20	J		
153. - Delaware Funds by Macquarie - U.S. Growth Instl.	A	Dividend	K	T	Sold (part)	03/27/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Dodge & Cox Stock Fund	A	Dividend	K	T					
155. - JPM U.S. Govt' Money Mkt. Cap.	A	Dividend	J	T					
156. - MFS-Value R6	A	Dividend	K	T	Sold (part)	03/27/20	J	A	
157. - PIMCO-High Yield Instl.	A	Dividend	J	T	Sold (part)	03/27/20	J		
158. - PGIM High Yeild Fund Cl R6	A	Dividend	J	T	Buy	05/28/20	J		
159. - PRIMECAP Odyssey Funds - Stock	A	Dividend	K	T					
160. - T. Rowe Price - Internat. Discovery	A	Dividend	K	T	Sold (part)	03/27/20	J		
161.					Sold (part)	05/28/20	J		
162. - T. Rowe Price Intl Stock	A	Dividend	K	T	Buy	05/28/20	K		
163. - T. Rowe Price - Small-Cap Value I	A	Dividend	K	T					
164. - Hotchkis & Wiley-Mid-Cap Value I	A	Dividend			Sold	03/27/20	J		
165. - Invesco - Comstock R6	A	Dividend			Sold	05/29/20	L		
166. Lincoln Fin. Group Annuity - American Legacy III View									
167. - Amer. Funds IS Asset Allocation 2		None	J	T					
168. - Amer. Funds IS Bond 2		None	J	T					
169. - Amer. Funds IS Gowth-Income 2		None	K	T					
170. - Amer. Funds IS Asset 2/Growth		None	K	T					

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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171. - Amer. Funds IS High Income Bond 2		None	K	T					
172. - Amer. Funds IS Intl. 2		None	K	T					
173. Jumpstart Foundry 2017 Fund		None	L	T	Distributed (part)	06/30/20	L	E	
174. Jumpstart Foundry 2018 Fund		None	M	T					
175. Silicon Ranch Corp.		None	O	T	Buy	12/15/20	M		
176. Slice Wireless Solutions Inc.		None	K	T					
177. Accts. at SunTrust Bank, [REDACTED]	A	Interest	J	T					
178. Pinnacle National Bank Accounts	G	Interest	M	T					
179. Clearloop Corporation		None	K	T					
180. Raymond James [REDACTED] Acct									
181. - Elizabethton, TN water & sewer revenue bonds		None			Sold	09/30/20	K	B	
182. - Shelby Co. TN Health EDL & HSG Facs Rev. Bonds	B	Interest			Sold	09/30/20	K	B	
183. Rental Property - Nashville, Tennessee, Davidson County	A	Rent			Sold	10/16/20	O	G	
184. Rental Property - Davidson County, Nashville, TN	A	Rent	P1	Q	Buy	08/21/20	P1		

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TRAUGER, ALETA A.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Line 1. The Equitable was rolled over into the Charles Schwab IRA listed on Line 7. From the rollover date of 9/14 to the first BUY in the Charles Schwab IRA, there was mail time from Equitable to Schwab. The first investment of the cash at Charles Schwab occurred on 10/26 with subsequent investment of cash on 12/7.

Line 30 The Parnassus Fund was transferred, in kind, from the Fund Co to Charles Schwab. There was no distribution or liquidation to process the transfer.

Line 31 The Victory Fund was transferred, in kind, from the Fund Co to Charles Schwab. There was no distribution or liquidation to process the transfer.

Line 100 The Profit Sharing Plan at Diversified Trust was rolled into the Diversified Trust IRA listed on Line 101. This includes all the investments bought from lines 102-118.

Line 179 We inadvertently omitted the purchase of \$50,000 of Clearloop stock on January 14, 2019 from the 2019 report. At that time the company was named Carbonzero Corporation.

We have 4 Hirtle Callahan accounts and 3 Edward Jones accounts. In years past we have consolidated, across all of those accounts, the total holdings in each individual fund. In this report, we are reporting the holdings by account.

If no gain reported on a sale of an investment, then there was a loss.

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Name of Person Reporting

TRAUGER, ALETA A.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ ALETA A. TRAUGER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544