

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Torres, Analisa N.	2. Court or Organization U.S. District Court for the Southern District of New York	3. Date of Report 07/19/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address U.S. District Court for the Southern District of New York 500 Pearl Street New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2019	New York State Local & Retirement System/Defined Benefit Pension Plan
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	New York State Local & Retirement System/Defined Benefit Pension Plan	\$48,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Wells Fargo Advisors-salary, commissions and bonus
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Wells Fargo Visa	Credit Card	J
2. Citibank MC	Credit Card	J
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Brokerage Account-TOD #1 (H)									
2. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					
3. -iShares Dividend Index-DVY	A	Dividend			Sold	03/23/20	L		
4. -Wisdom Tree Equity Income-DHS	A	Dividend			Sold	03/23/20	J		
5. -Center Coast MLP Fund-CCCNX	B	Dividend			Sold	03/23/20	J		
6. -Calamos Strategic Fund-CSQ	A	Dividend			Sold	03/23/20	J		
7. -Nuveen Pref Inc Fund-JPC	B	Dividend			Sold	03/23/20	K		
8. Spider S&P 500-SPY	A	Dividend			Sold	03/23/20	J		
9. -Rochester Fund NY-RMUYY	A	Interest	K	T	Buy	07/09/20	K		
10. -American Funds Tax Exempt NY-TEAFX	A	Interest	K	T	Buy	07/09/20	K		
11. -Nuveen Flagship NY-NTNYX	A	Interest	K	T	Buy	07/15/20	K		
12. -PIMCO Income-PIMIX	A	Interest	K	T	Buy	07/17/20	K		
13.									
14. Brokerage Account #2 (H)									
15. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					
16.									
17. Brokerage Account #3 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$500,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					
19.									
20. SW-IRA#1 (H)									
21. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					
22. -Apple-AAPL	B	Dividend	L	T	Buy (add'l)	10/01/20	K		
23. -AT&T-T	C	Dividend	L	T					
24. -iShares Core Mid Cap-IJH	A	Dividend	J	T	Buy	12/30/20	J		
25. -iShares Core Small Cap-IJR	A	Dividend	J	T	Buy	12/30/20	J		
26. -Moderna-MRNA			K	T	Buy	04/02/20	K		
27. -Nuveen ST Muni-FSHYX	A	Interest	K	T	Buy	10/30/20	K		
28. -Facebook-FB	A	Dividend	K	T					
29. -JPM Chase-JPM	A	Dividend	L	T	Sold (part)	08/07/20	K	E	
30. -Microsoft-MSFT	A	Dividend	K	T					
31.									
32. SCW Roth IRA #2 (H)									
33. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					
34. -Bank of America-BAC	A	Dividend	L	T	Buy	03/09/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. -Fidelity-FNSXX					Sold	06/10/20	K		
36. -iShares S&P 500 ETF-IVV	A	Dividend	K	T	Buy	08/24/20	K		
37. -iShares QQQ-QQQ	A	Dividend	K	T	Buy	04/20/20	K		
38.									
39. AT- Roth IRA #3 (H)									
40. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					
41. -iShares Core S&P 500-IVV	A	Dividend	J	T	Buy	11/03/20	J		
42. -NHHEF					Sold	11/03/20	J		
43.									
44. AT-Eq Inc-IRA#5 (H)									
45. -AEP	A	Dividend	J	T					
46. -T	A	Dividend	J	T					
47. -CSCO	A	Dividend	J	T					
48. -DEO	A	Dividend	J	T					
49. -D	A	Dividend			Sold	08/03/20	J	A	
50. -DOV	A	Dividend	J	T					
51. -DD	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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52. -GD	A	Dividend	J	T					
53. -GPC	A	Dividend	J	T					
54. -HCN	A	Dividend	J	T					
55. -HD	A	Dividend	J	T					
56. -ITW	A	Dividend	J	T					
57. -INTC	A	Dividend	J	T					
58. -JNJ	A	Dividend	J	T					
59. -JPM	A	Dividend	J	T					
60. -KMB	A	Dividend	J	T					
61. -LMT	A	Dividend	J	T					
62. -MTB	A	Dividend	J	T					
63. -MAT	A	Dividend	J	T					
64. -MXIM	A	Dividend			Sold	08/19/20	J	A	
65. -MCD	A	Dividend	J	T					
66. -MRK	A	Dividend	J	T					
67. -MSFT	A	Dividend	J	T					
68. -NEE	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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P3 =\$25,000,001 - \$50,000,000

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U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

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69. -PBCT	A	Dividend	J	T					
70. -PEP	A	Dividend	J	T					
71. -PSX	A	Dividend	J	T					
72. -PG	A	Dividend	J	T					
73. -UPS	A	Dividend	J	T					
74. -VZ	A	Dividend	J	T					
75. -VOD	A	Dividend	J	T					
76. -WAG	A	Dividend	J	T					
77. -WM	A	Dividend	J	T					
78. QCOM	A	Dividend	J	T					
79. PFE	A	Dividend	J	T					
80. NUE	A	Dividend	J	T					
81. -XOM	A	Dividend			Sold	08/07/20	J	A	
82. -IBM	A	Dividend	J	T					
83. -USB	A	Dividend	J	T					
84. WELL	A	Dividend			Sold	01/28/20	J	A	
85. TGT	A	Dividend	J	T					

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86. - AMGN	A	Dividend	J	T					
87. -ADP	A	Dividend	J	T					
88. -CSCSA	A	Dividend	J	T					
89. -SBUX	A	Dividend	J	T					
90. -BK	A	Dividend	J	T	Buy	10/06/20	J		
91. -GILD	A	Dividend	J	T	Buy	08/03/20	J		
92. -INTU	A	Dividend	J	T	Buy	08/04/20	J		
93. -XEL	A	Distribution	J	T	Buy	08/03/20	J		
94.									
95. SCW-IRA#6 DSIP (H)									
96. -AFL	A	Dividend	J	T					
97. -ACN	A	Dividend	J	T					
98. -APD	A	Dividend	J	T					
99. -ADI	A	Dividend	J	T					
100. -ADP	A	Dividend	J	T					
101. -AMGN	A	Dividend	J	T					
102. -AAPL	A	Dividend	J	T					

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103. -BDX	A	Dividend	J	T					
104. -CVX	A	Dividend	J	T					
105. -CB	A	Dividend	J	T					
106. -CLX	A	Dividend	J	T					
107. -CL	A	Dividend	J	T					
108. -CSCO	A	Dividend	J	T					
109. -EV	A	Dividend	J	T					
110. -EMR	A	Dividend	J	T					
111. -FDS	A	Dividend	J	T					
112. -GD	A	Dividend	J	T					
113. -HRS	A	Dividend	J	T					
114. -IBM	A	Dividend							
115. -JNJ	A	Dividend	J	T					
116. -JPM	A	Dividend	J	T					
117. -MCD	A	Dividend	J	T					
118. -MDT	A	Dividend	J	T					
119. -MSFT	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. -NEE	A	Dividend	J	T					
121. -NSC	A	Dividend	J	T					
122. -NVS	A	Dividend	J	T					
123. -PAYX	A	Dividend	J	T					
124. -PEP	A	Dividend	J	T					
125. -PSX	A	Dividend	J	T					
126. -PII	A	Dividend			Sold	04/07/20	J		
127. - PG	A	Dividend	J	T					
128. -SIAL	A	Dividend	J	T					
129. -SY Y	A	Dividend			Sold	06/10/20	J	A	
130. -TGT	A	Dividend	J	T					
131. -USB	A	Dividend	J	T					
132. -VFC	A	Dividend	J	T					
133. -WMT	A	Dividend	J	T					
134. -WEC	A	Dividend	J	T					
135. -MMM	A	Dividend	J	T					
136. -ES	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. -NKE	A	Dividend	J	T					
138. -GMCSA	A	Dividend	J	T					
139. -AMT	A	Dividend	J	T	Buy	04/08/20	J		
140. -UNH	A	Dividend	J	T	Buy	03/25/20	J		
141.									
142. TD Bank Savings Account	A	Dividend	K	T					
143.									
144. Chase Checking Plus Account	A	Dividend	J	T					
145.									
146. 401K #1 (H)									
147. -Wells Fargo 401k Large Cap Growth Multi-Manager Fund	A	Dividend	K	T					
148. -Wells Fargo 401k LargeCap Value Multi- Manage Fund	A	Dividend	K	T					
149. -Wells Fargo 401k Russell SC Index	A	Dividend	J	T					
150. -Wells Fargo 401k Small Cap Multi Manager Fund	A	Dividend	J	T					
151. -State Street Emerging Mkts Fund	A	Dividend	K	T					
152. -Wells Fargo 401k Intl Equity Multi Manager Fund	A	Dividend	K	T					
153. -Wells Fargo 401k State Street International Index	A	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. WF/State Street Target 2020	A	Dividend	J	T					
155. WF/State Street Target 2025	A	Dividend	J	T					
156. WF/State Street Target 2030	A	Dividend	J	T					
157. WF/State Street Target 2035	A	Dividend	J	T					
158. WF/State Street Target 2040	A	Dividend	J	T					
159. WF/State Street Target 2045	A	Dividend	J	T					
160. WF/State Street Target 2050	A	Dividend	J	T					
161. WF/State Street Target 2050	A	Dividend	J	T					
162.									
163.									
164.									
165.									
166.									
167.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

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B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Wells Fargo 401(k) plan is a custom, multi-manager investment fund created exclusively for the employees of Wells Fargo & Company. A commingled fund is not a registered product but a collective trust fund. A collective fund is not a mutual fund and is exempt from SEC registration. Designed for and exclusively sold to qualified retirement plans and their participants, the funds are not available to individual retail investors.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Analisa N. Torres**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544