

FINANCIAL DISCLOSURE REPORT

FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ungaro, Ursula	2. Court or Organization United States District Court	3. Date of Report 6/15/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge (Retired)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final	6. Reporting Period 01/01/2021 to 05/31/2021
	5b. ☐ Amended Report	
7. Chambers or Office Address Boies Schiller Flexner, LLP 100 SE 2D Street 28th Floor Miami, FL 33131		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Ungaro, Ursula

Date of Report

6/15/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Ungaro, Ursula

Date of Report

6/15/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Ungaro, Ursula

Date of Report

6/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Miami Federal Credit Union Cash Account (H)	C	Interest	J	T					
2.									
3. Lincoln Annuity (H)									
4. Lincoln Annuity		None	N	T					
5. AMERICAN FUNDS GROWTH FUND - CLASS 4		None	K	T					
6. AMERICAN FUNDS GROWTH-INCOME FUND - CL		None	K	T					
7. AMERICAN FUNDS INTERNATIONAL FUND - CL		None	K	T					
8. DELAWARE VIP SMALL CAP VALUE - SVC CLA		None	K	T					
9. DELAWARE VIP VALUE SERIES - SVC CLASS		None	K	T					
10. FIDELITY VIP II CONTRAFUND - SERVICE C		None	K	T					
11. FIDELITY VIP STRATEGIC INCOME PORTFOLI		None	K	T					
12. FRANKLIN MUTUAL SHARES VIP FUND - CLAS		None	K	T					
13. LVIP DIMENSIONAL/VANGUARD TOTAL BOND S		None	J	T					
14. LVIP SSGA SMALL/MID CAP 200 - SV CL		None	J	T					
15. LVIP T R PRICE GROWTH STOCK - SV CL		None	K	T					
16. Lvip Western Asset Core Bond Fund Serv		None	J	T					
17. TEMPLETON GLOBAL BOND VIP FUND - CLASS		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Ungaro, Ursula

Date of Report

6/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.									
19. Meg Green Account- Fidelity (H)									
20. Fidelity Master Account	A	Dividend	J	T					
21.					Distributed (part)	03/23/21	K	A	
22. Hawaii St	A	Interest	K	T					
23. Regional Transn Dist Colo Sale	A	Interest	J	T					
24. Connecticut St Revolving Fd	A	Interest	J	T	Sold (part)	03/21/21	J	A	
25. Phoenix Ariz Civic Impt Corp	A	Interest	K	T					
26. Central Fla Expwy Auth Sr Lien	A	Interest	J	T					
27. Mesa Cnty Colo Vy Sch Dist No		None	K	T					
28. New York State Dormitory Autho	A	Interest	J	T					
29. Virginia College Building Auth	A	Interest	K	T					
30. Lincoln Neb Elec Sys Rev	A	Interest	K	T					
31. King Cnty Wash Swr Rev	A	Interest	J	T					
32. Riley Cnty Kans Uni Sch Dist N	A	Interest	J	T	Buy	01/29/21	J		
33. Franklin Cnty Wash Sch Dist		None			Sold	03/31/21	K	B	
34. Hurst Euleess Bedford Tex Indpt		None			Sold	02/10/21	K	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Ungaro, Ursula

Date of Report

6/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Larimer Weld & Boulder Cntys C		None			Sold	02/10/21	K	C	
36. Maricopa Cnty Ariz Sch Dist No	A	Interest			Sold	03/31/21	K	B	
37. Reedy Creek Impt Dist Fla		None			Sold	02/10/21	K	B	
38. Snohomish Cnty Wash Pub Util D		None			Sold	01/06/21	K	A	
39. SPDR® Blmbg Barclays Intl Trs Bd ETF		None			Sold	01/28/21	L		
40. West Lafayette Ind Sch Bldg	A	Interest			Sold	03/31/21	J	A	
41. WCM Focused International Growth Instl		None	L	T	Sold (part)	01/28/21	J	A	
42.					Buy (add'l)	04/01/21	K		
43. Nuveen Strategic Municipal Opps I	A	Dividend	L	T	Sold (part)	01/28/21	J	A	
44.					Sold (part)	04/01/21	L	C	
45. WCM Focused Emerging Markets Instl		None	L	T	Sold (part)	01/28/21	J	A	
46.					Buy (add'l)	04/01/21	K		
47. DoubleLine Income I	A	Dividend	K	T	Buy (add'l)	04/01/21	J		
48. Vanguard Tax-Exempt Bond ETF	A	Dividend	L	T	Buy	02/11/21	L		
49.					Sold (part)	03/09/21	K		
50.					Sold (part)	03/29/21	K		
51.					Buy (add'l)	04/30/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Ungaro, Ursula

Date of Report

6/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	05/12/21	K		
53.					Buy (add'l)	05/20/21	K		
54. AMG GW&K Small/Mid Cap I		None	L	T	Sold (part)	01/28/21	J	A	
55.					Buy (add'l)	04/01/21	K		
56. Polen Growth Institutional		None	K	T	Buy	04/01/21	K		
57. Templeton Global Total Return Adv	A	Dividend			Buy (add'l)	01/28/21	L		
58.					Sold (part)	03/09/21	K		
59.					Sold	04/01/21	K		
60. Templeton Global Bond Adv	A	Dividend	K	T	Buy	04/01/21	K		
61. Eaton Vance Emerging Markets Local Inc	B	Dividend	K	T	Buy	03/09/21	K		
62.					Buy (add'l)	04/01/21	J		
63. JPMorgan Equity Income	A	Dividend	K	T	Buy	04/01/21	K		
64. DFA US Large Company I	A	Dividend	M	T	Sold (part)	04/01/21	K	D	
65. ARK Innovation ETF		None			Buy	03/09/21	K		
66.					Buy (add'l)	03/29/21	J		
67.					Sold	04/01/21	L	A	
68. ARK Next Generation Internet		None			Buy	04/01/21	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Ungaro, Ursula

Date of Report

6/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	04/14/21	J		
70.					Sold	05/12/21	L		
71. Hartford Schroders Tax-Aware Bond	A	Dividend			Buy	03/29/21	K		
72.					Buy (add'l)	04/01/21	J		
73.					Sold (part)	04/14/21	J		
74.					Sold (part)	04/30/21	J		
75.					Sold	05/20/21	J	A	
76.									
77. HSBC Premier Savings Account (H)					Closed	03/28/21	J		
78.									
79. Suntrust Checking/Savings (H)	E	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Ungaro, Ursula

Date of Report

6/15/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional Explanations: Although not required, I am reporting the following: I own a 1.1 % limited partnership interest in Mt. Blue Ltd. Partners which owns a 2.73% interest in another entity, the Shopping Center Partnership. I did not report this interest until 2010 because, during my entire tenure, it has never had a value of \$1,000 or more. In other words, there has not been a secondary market for my interest at any time during my service as a District Judge. However, it carries the potential for a tax liability (the amount of which and timing I cannot predict). Although I am not obligated to report this interest as an asset I am continuing to report it in an abundance of caution because I am concerned that some tax liability could arise in connection with this interest. It should be noted, however that I have no information from the general partner and no information regarding the partnership from any other source that suggests any current tax liability exposure. I am relying simply on my knowledge of current economic conditions, my understanding of the tax liabilities that can be associated with limited partnership interests generally and my knowledge of the history of these entities.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Ungaro, Ursula

Date of Report

6/15/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Ursula Ungaro**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544