

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>VERMAAT, MAARTEN                                                                                                                     | <b>2. Court or Organization</b><br><br>U.S. DISTRICT COURT, WESTERN DISTRICT OF MICHIGAN                                                                                                                                                                                                 | <b>3. Date of Report</b><br><br>04/27/2021                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>U.S. MAGISTRATE JUDGE (FULL-TIME)                                             | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2020<br>to<br>12/31/2020 |
| <b>7. Chambers or Office Address</b><br><br>U.S. DISTRICT COURT, WESTERN DISTRICT OF MICHIGAN<br>202 W. WASHINGTON ST., BOX 698<br>MARQUETTE, MI 49855                                                    |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |       |       |
|----|-------|-------|
| 1. | _____ | _____ |
| 2. | _____ | _____ |
| 3. | _____ | _____ |
| 4. | _____ | _____ |
| 5. | _____ | _____ |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |       |       |
|----|-------|-------|
| 1. | _____ | _____ |
| 2. | _____ | _____ |
| 3. | _____ | _____ |

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Name of Person Reporting

VERMAAT, MAARTEN

Date of Report

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## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

### A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*



NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                            |
|-------------|---------------------------------------------------|
| 1. 2020     | TRIMEDIA ENVIRONMENTAL & ENGINEERING SERVICES LLC |
| 2.          |                                                   |
| 3.          |                                                   |
| 4.          |                                                   |

## IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*



NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

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Name of Person Reporting

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## V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. mBank checking and money market accts                                                                                   | A                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. USAA Federal Savings Bank checking account                                                                              | A                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Michigan Education Savings Plan (MESP) Global Equity Index 529                                                          |                                        | None                                          | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. MESP Principia Pluse Interest 529                                                                                       |                                        | None                                          | K                                              | T                                         | Sold (part)                                     | 12/28/20                | K                               | D                              |                                                                   |
| 5. Vanguard Total Stock Market Index Fund Admiral Shs 529                                                                  | A                                      | Dividend                                      | K                                              | T                                         | Sold (part)                                     | 08/11/20                | K                               | E                              |                                                                   |
| 6. SA Invesco (formerly Oppenheimer) Main Street Large Cap Fund                                                            |                                        | None                                          | J                                              | T                                         | Sold (part)                                     | 04/24/20                | J                               | D                              |                                                                   |
| 7. SA JP Morgan Global Equities Portfolio                                                                                  |                                        | None                                          | J                                              | T                                         | Sold (part)                                     | 04/24/20                | J                               |                                |                                                                   |
| 8. SA JP Morgan Equity-Income Portfolio Fund                                                                               |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. SA Dogs of Wall Street Portfolio Fund                                                                                   |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. SA Federated Hermes Corporate Bond Fund                                                                                |                                        | None                                          | J                                              | T                                         | Buy (add'l)                                     | 10/05/20                | J                               |                                |                                                                   |
| 11. SA PineBridge High-YieldBond Portfolio Fund                                                                            |                                        | None                                          | J                                              | T                                         | Buy (add'l)                                     | 04/24/20                | J                               |                                |                                                                   |
| 12. SA Morgan Stanley Int'l Equities Fund                                                                                  |                                        | None                                          | J                                              | T                                         | Sold (part)                                     | 04/24/20                | J                               | B                              |                                                                   |
| 13. SA WellsCap Aggressive Growth Fund                                                                                     |                                        | None                                          | J                                              | T                                         | Buy (add'l)                                     | 04/24/20                | J                               |                                |                                                                   |
| 14.                                                                                                                        |                                        |                                               |                                                |                                           | Sold (part)                                     | 10/05/20                | J                               | B                              |                                                                   |
| 15. SA AB Small & Mid Cap Value Portfolio Fund                                                                             |                                        | None                                          | J                                              | T                                         | Buy (add'l)                                     | 04/24/20                | J                               |                                |                                                                   |
| 16.                                                                                                                        |                                        |                                               |                                                |                                           | Sold (part)                                     | 10/05/20                | J                               | B                              |                                                                   |
| 17. SA JP Morgan Emerging Markets Portfolio (Y)                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

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Date of Report

04/27/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. SA Fidelity Institutional AM Real Estate (Y)                                                                           |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 19. SA JP Morgan MFS Core Bond Fund                                                                                        |                                        | None                                          | J                                              | T                                         | Buy<br>(add'l)                                  | 04/24/20                | J                               |                                |                                                                   |
| 20.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 10/05/20                | J                               |                                |                                                                   |
| 21. American Funds USG Money Market Fund<br>F2 (AFGXX) (Y)                                                                 |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 22. iShares Core S&P Small Cap (IJR)                                                                                       | A                                      | Dividend                                      |                                                |                                           | Sold<br>(part)                                  | 01/13/20                | J                               | B                              |                                                                   |
| 23.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 01/16/20                | J                               | B                              |                                                                   |
| 24. iShares S&P 500 (IVV)                                                                                                  | A                                      | Dividend                                      |                                                |                                           | Sold<br>(part)                                  | 01/13/20                | K                               | C                              |                                                                   |
| 25.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 01/16/20                | K                               | B                              |                                                                   |
| 26. iShares Russell 1000 Value ETF (IWD)                                                                                   | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 27. iShares Russell 1000 Growth ETF (IWF)                                                                                  | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 28. iShares Russell 2000 ETF (IWM)                                                                                         | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 03/31/20                | J                               | A                              |                                                                   |
| 29. iShares Core US Value ETF (IUSV)                                                                                       | A                                      | Dividend                                      |                                                |                                           | Sold<br>(part)                                  | 01/13/20                | K                               | B                              |                                                                   |
| 30.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 01/16/20                | J                               | B                              |                                                                   |
| 31. iShares Core US Growth (IUSG)                                                                                          | A                                      | Dividend                                      |                                                |                                           | Sold<br>(part)                                  | 01/13/20                | J                               | B                              |                                                                   |
| 32.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 01/16/20                | J                               | B                              |                                                                   |
| 33.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 03/31/20                | J                               | A                              |                                                                   |
| 34. Fedelity Cash Fund (FCASH)                                                                                             | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/31/20                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. Fidelity Government Cash Reserves (FDRXX)                                                                              | A                                      | Dividend                               | J                                              | T                                  | Buy (add'l)                               | 12/31/20         | J                        |                         |                                                            |
| 36. Vanguard Dividend Appreciation (VIG)                                                                                   | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 37. iShares iBoxx \$ Investment Grade Corporate Bond (LQD)                                                                 | A                                      | Dividend                               |                                                |                                    | Sold (part)                               | 01/13/20         | K                        | C                       |                                                            |
| 38.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 01/16/20         | J                        | A                       |                                                            |
| 39. iShares Select Divident ETF (DVY)                                                                                      | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 40. Rydex S&P 500 Pure Growth H (RYAWX)                                                                                    | A                                      | Dividend                               |                                                |                                    | Sold                                      | 03/31/20         | J                        | A                       |                                                            |
| 41. iShares Barclays Short Treasury Bond (SHV)                                                                             | A                                      | Dividend                               |                                                |                                    | Sold                                      | 01/16/20         | J                        | A                       |                                                            |
| 42. iShares 3-7 Year Treasury Bond ETF (IEI)                                                                               | A                                      | Dividend                               |                                                |                                    | Sold (part)                               | 01/13/20         | K                        | A                       |                                                            |
| 43.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 01/16/20         | J                        | A                       |                                                            |
| 44. Banguard S&P 500 Growth ETF (VOOG)                                                                                     | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 45. iShares Core MSCI Emerging Markets (IEMG)                                                                              | A                                      | Dividend                               |                                                |                                    | Sold                                      | 03/31/20         | J                        | A                       |                                                            |
| 46. iShares Core MSCI EAFE ETF (IEFA)                                                                                      | A                                      | Dividend                               |                                                |                                    | Sold                                      | 03/31/20         | J                        | A                       |                                                            |
| 47. iShares Broad USD High Yield Corp Bond ETF (USHY)                                                                      | C                                      | Dividend                               | L                                              | T                                  | Sold (part)                               | 01/13/20         | J                        | A                       |                                                            |
| 48.                                                                                                                        |                                        |                                        |                                                |                                    | Buy (add'l)                               | 01/29/20         | J                        |                         |                                                            |
| 49.                                                                                                                        |                                        |                                        |                                                |                                    | Buy (add'l)                               | 07/31/20         | J                        |                         |                                                            |
| 50.                                                                                                                        |                                        |                                        |                                                |                                    | Buy (add'l)                               | 12/01/20         | J                        |                         |                                                            |
| 51. Schwab Short-Term US Treasury (SCHO)                                                                                   | A                                      | Dividend                               | J                                              | T                                  | Buy (add'l)                               | 01/13/20         | J                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/16/20         | J                        |                         |                                                            |
| 53.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/03/20         | J                        |                         |                                                            |
| 54.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/29/20         | J                        |                         |                                                            |
| 55.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/31/20         | J                        |                         |                                                            |
| 56.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 11/05/20         | J                        | A                       |                                                            |
| 57. iShares Trust Core MSCI Europe ETF<br>(IEUR)                                                                           | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 01/13/20         | J                        |                         |                                                            |
| 58.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/16/20         | J                        |                         |                                                            |
| 59.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 01/29/20         | J                        | A                       |                                                            |
| 60.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 01/30/20         | J                        | A                       |                                                            |
| 61.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/31/20         | J                        |                         |                                                            |
| 62.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 04/15/20         | J                        | A                       |                                                            |
| 63.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 04/17/20         | J                        | A                       |                                                            |
| 64.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 05/29/20         | J                        | A                       |                                                            |
| 65.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 06/01/20         | J                        | A                       |                                                            |
| 66. iShares Core MSCI Pacific (IPAC)                                                                                       | A                                      | Dividend                               |                                                |                                    | Sold<br>(part)                            | 03/03/20         | J                        | A                       |                                                            |
| 67.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 03/04/20         | J                        | A                       |                                                            |
| 68. SPDR S&P 500 Growth (SPYG)                                                                                             | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 01/13/20         | K                        |                         |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/16/20         | J                        |                         |                                                            |
| 70.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/29/20         | J                        |                         |                                                            |
| 71.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/30/20         | J                        |                         |                                                            |
| 72.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/15/20         | J                        |                         |                                                            |
| 73.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/29/20         | J                        |                         |                                                            |
| 74.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/01/20         | J                        |                         |                                                            |
| 75.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/31/20         | J                        | A                       |                                                            |
| 76.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 08/31/20         | J                        | B                       |                                                            |
| 77. SPDR S&P 500 Value (SPYV)                                                                                              | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 01/13/20         | K                        |                         |                                                            |
| 78.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/16/20         | J                        |                         |                                                            |
| 79.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/29/20         | J                        |                         |                                                            |
| 80.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/30/20         | J                        |                         |                                                            |
| 81.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/15/20         | J                        |                         |                                                            |
| 82.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/29/20         | J                        |                         |                                                            |
| 83.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/01/20         | J                        |                         |                                                            |
| 84.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/31/20         | J                        | A                       |                                                            |
| 85.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 11/05/20         | J                        | A                       |                                                            |

1 Income Gain Codes:  
(See Columns B1 and D4)

2 Value Codes  
(See Columns C1 and D3)

3 Value Method Codes  
(See Column C2)

A =\$1,000 or less  
F =\$50,001 - \$100,000  
J =\$15,000 or less  
N =\$250,001 - \$500,000  
P3 =\$25,000,001 - \$50,000,000  
Q =Appraisal  
U =Book Value

B =\$1,001 - \$2,500  
G =\$100,001 - \$1,000,000  
K =\$15,001 - \$50,000  
O =\$500,001 - \$1,000,000  
R =Cost (Real Estate Only)  
V =Other

C =\$2,501 - \$5,000  
H1 =\$1,000,001 - \$5,000,000  
L =\$50,001 - \$100,000  
P1 =\$1,000,001 - \$5,000,000  
P4 =More than \$50,000,000  
S =Assessment  
W =Estimated

D =\$5,001 - \$15,000  
H2 =More than \$5,000,000  
M =\$100,001 - \$250,000  
P2 =\$5,000,001 - \$25,000,000  
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. iShares 3-7 Year Treasury Bond (SPIB)                                                                                  | B                                      | Dividend                               | L                                              | T                                  | Buy                                       | 01/13/20         | K                        |                         |                                                            |
| 87.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/16/20         | J                        |                         |                                                            |
| 88.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/29/20         | J                        |                         |                                                            |
| 89.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 03/03/20         | J                        | A                       |                                                            |
| 90.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/29/20         | J                        |                         |                                                            |
| 91.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/31/20         | J                        |                         |                                                            |
| 92.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/31/20         | J                        |                         |                                                            |
| 93.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 11/05/20         | J                        | A                       |                                                            |
| 94.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/01/20         | J                        |                         |                                                            |
| 95. iShares US Treasury Bond (GOVT)                                                                                        | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 01/13/20         | K                        |                         |                                                            |
| 96.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/16/20         | J                        |                         |                                                            |
| 97.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 01/29/20         | J                        | A                       |                                                            |
| 98.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 01/30/20         | J                        | A                       |                                                            |
| 99.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 03/03/20         | J                        | A                       |                                                            |
| 100.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 03/04/20         | J                        | A                       |                                                            |
| 101.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/01/20         | J                        |                         |                                                            |
| 102.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/31/20         | J                        |                         |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 11/05/20         | J                        | A                       |                                                            |
| 104. SPDR S&P 600 Small Cap Value (SLYV)                                                                                   | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 01/13/20         | J                        |                         |                                                            |
| 105.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/16/20         | J                        |                         |                                                            |
| 106.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/29/20         | J                        |                         |                                                            |
| 107.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/30/20         | J                        |                         |                                                            |
| 108.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/31/20         | J                        |                         |                                                            |
| 109.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/31/20         | J                        | A                       |                                                            |
| 110.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/05/20         | J                        |                         |                                                            |
| 111.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/01/20         | J                        | A                       |                                                            |
| 112. SPDR S&P 600 Small Cap Growth (SLYG)                                                                                  | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 01/13/20         | J                        |                         |                                                            |
| 113.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/16/20         | J                        |                         |                                                            |
| 114.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 01/29/20         | J                        | A                       |                                                            |
| 115.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 01/30/20         | J                        | A                       |                                                            |
| 116.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/03/20         | J                        |                         |                                                            |
| 117.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/04/20         | J                        |                         |                                                            |
| 118.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/31/20         | J                        |                         |                                                            |
| 119.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/05/20         | J                        |                         |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. Vanguard Mid-Cap Growth (VOT)                                                                                         | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 01/13/20         | J                        |                         |                                                            |
| 121.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/16/20         | J                        |                         |                                                            |
| 122.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 01/29/20         | J                        | A                       |                                                            |
| 123.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 01/30/20         | J                        | A                       |                                                            |
| 124.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/03/20         | J                        |                         |                                                            |
| 125.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/04/20         | J                        |                         |                                                            |
| 126.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/05/20         | J                        |                         |                                                            |
| 127. Vanguard Mid-Cap Value (VOE)                                                                                          | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 01/13/20         | J                        |                         |                                                            |
| 128.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/16/20         | J                        |                         |                                                            |
| 129.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/29/20         | J                        |                         |                                                            |
| 130.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/30/20         | J                        |                         |                                                            |
| 131.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/31/20         | J                        | A                       |                                                            |
| 132.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 11/05/20         | J                        | A                       |                                                            |
| 133.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/05/20         | J                        |                         |                                                            |
| 134. iShares National Munit Bond (MUB)                                                                                     | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 03/31/20         | J                        |                         |                                                            |
| 135. iShares Short-Term National Muni (SUB)                                                                                | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 03/31/20         | J                        |                         |                                                            |
| 136. VanEck Vectors Hight Yield Muni (HYD)                                                                                 | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 03/31/20         | J                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 138.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 139.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |

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### VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The assets listed in VII.A., lines 3-5 are held in 529 plans. The account statements for the Michigan Educations Savings Plan (MESP) accounts (529 plans) identified in lines 3 and 4 do not list income. Instead, the statements list unit value. Thus, I have not listed income for these two accounts.

The assests listed in VII.A., lines 6-20 are part of an AIG Polaris Variable Annuity. The statements associated with these assets do on identify income. The statements identify unit value. Thus, I have not listed income for these accounts.

The asset identified in VII.A., line 6 was identified as "SA Oppenheimer Main St. Large Cap" in my report for 2019. The name has changed to "SA Invesco Main Street Large Cap."

The asset identified in VII.A., line 10 was identified as "SA Federated Corporate Bond Fund" in my report for 2019. The name has changed to "SA Federated Hermes Corporate Bond Fund."

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04/27/2021

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ MAARTEN VERMAAT**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544